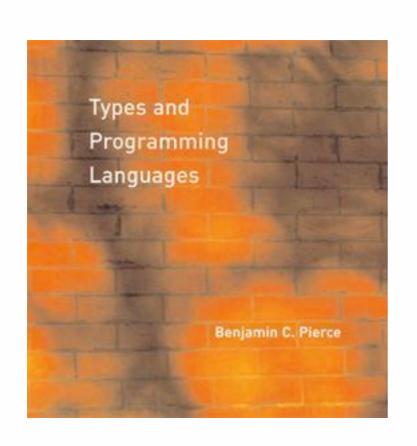
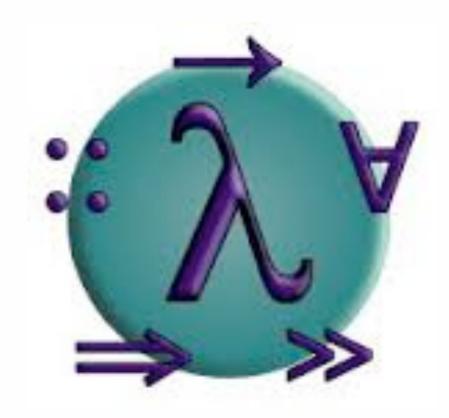
Programming Languages Fall 2014





Lecture 7: Simple Types and Simply-Typed Lambda Calculus

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Types

```
t::=
    true
    false
    if t then t else t
    pair t t
    fst t
    snd t

v ::=
    true
    false
    pair v v
```

stuck terms?

how to fix it?

```
S \longrightarrow NP VP
S \longrightarrow S conj S
NP \longrightarrow Noun
NP \longrightarrow Det Noun
NP \longrightarrow NP PP
NP \longrightarrow NP conj NP
VP \longrightarrow Verb
VP \longrightarrow Verb NP
VP \longrightarrow Verb NP NP
VP \longrightarrow VP PP
VP \longrightarrow VP PP
VP \longrightarrow P NP
```

Plan

- ► For Firestay, we'll go back to the simple language of arithmetic and boolean expressions and show how to equip it with a (very simple) type system
- ► The key property of this type system will be *soundness*: Well-typed programs do not get stuck
- Nextexitme, we'll develop a simple type system for the lambda-calculus
- We'll spend a good part of the rest of the semester adding features to this type system

Outline

- 1. begin with a set of terms, a set of values, and an evaluation relation
- 2. define a set of *types* classifying values according to their "shapes"
- 3. define a *typing relation* t : T that classifies terms according to the shape of the values that result from evaluating them
- 4. check that the typing relation is sound in the sense that,

```
4.1 if t : T and t \longrightarrow^* v, then v : T
```

4.2 if t: T, then evaluation of t will not get stuck

Review: Arithmetic Expressions – Syntax

```
terms
 ::=
        true
                                                 constant true
        false
                                                 constant false
                                                 conditional
        if t then t else t
                                                 constant zero
        succ t
                                                 successor
                                                 predecessor
        pred t
        iszero t
                                                 zero test
                                               values
                                                 true value
        true
                                                 false value
        false
                                                 numeric value
        nv
                                               numeric values
nv :=
                                                 zero value
                                                 successor value
        succ nv
```

Evaluation Rules

$$\begin{array}{c} \begin{array}{c} t_1 \longrightarrow t_1' \\ \hline \text{succ } t_1 \longrightarrow \text{succ } t_1' \end{array} & \text{(E-Succ)} \\ \\ pred 0 \longrightarrow 0 & \text{(E-PREDZERO)} \\ \\ pred (\text{succ } \text{nv}_1) \longrightarrow \text{nv}_1 & \text{(E-PREDSUCC)} \\ \\ \hline \frac{t_1 \longrightarrow t_1'}{\text{pred } t_1 \longrightarrow \text{pred } t_1'} & \text{(E-PRED)} \\ \\ \text{iszero } 0 \longrightarrow \text{true} & \text{(E-ISZEROZERO)} \\ \\ \text{iszero } (\text{succ } \text{nv}_1) \longrightarrow \text{false} & \text{(E-ISZEROSucc)} \\ \\ \hline \frac{t_1 \longrightarrow t_1'}{\text{iszero } t_1 \longrightarrow \text{iszero } t_1'} & \text{(E-ISZERO)} \end{array}$$

Types

In this language, values have two possible "shapes": they are either booleans or numbers.

T ::=

Bool
Nat

types
type of booleans
type of numbers

Typing Rules

```
(T-True)
           true : Bool
                                              (T-FALSE)
           false: Bool
t_1: Bool \qquad t_2: T \qquad t_3: T
                                                   (T-IF)
 if t<sub>1</sub> then t<sub>2</sub> else t<sub>3</sub>: T
                                               (T-Zero)
              0 : Nat
              t<sub>1</sub>: Nat
                                               (T-Succ)
          succ t_1 : Nat
              t<sub>1</sub>: Nat
                                               (T-Pred)
          pred t<sub>1</sub>: Nat
              t_1: Nat
                                             (T-IsZero)
        iszero t<sub>1</sub>: Bool
```

Typing Derivations

Every pair (t, T) in the typing relation can be justified by a derivation tree built from instances of the inference rules.

Proofs of properties about the typing relation often proceed by induction on typing derivations.

Imprecision of Typing

Like other static program analyses, type systems are generally *imprecise*: they do not predict exactly what kind of value will be returned by every program, but just a conservative (safe) approximation.

$$\frac{t_1: Bool}{if t_1 then t_2 else t_3: T}$$
 (T-IF)

Using this rule, we cannot assign a type to

even though this term will certainly evaluate to a number.

Properties of the Typing Relation

Type Safety

The safety (or soundness) of this type system can be expressed by two properties:

- 1. Progress: A well-typed term is not stuck

 If t: T, then either t is a value or else $t \longrightarrow t'$ for some t'.
- 2. Preservation: Types are preserved by one-step evaluation If t: T and $t \longrightarrow t'$, then t': T.

Inversion

Lemma:

- 1. If true : R, then R = Bool.
- 2. If false: R, then R = Bool.
- 3. If if t_1 then t_2 else t_3 : R, then t_1 : Bool, t_2 : R, and t_3 : R.
- 4. If 0 : R, then R = Nat.
- 5. If succ $t_1 : R$, then R = Nat and $t_1 : Nat$.
- 6. If pred t_1 : R, then R = Nat and t_1 : Nat.
- 7. If iszero $t_1 : R$, then R = Bool and $t_1 : Nat$.

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Lemma:

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1. If true : R, then R = Bool.
 2. If false: R, then R = Bool.
 3. If if t_1 then t_2 else t_3: R, then t_1: Bool, t_2: R, and
    t_3:R
 4. If 0 : R, then R = Nat.
 5. If succ t_1 : R, then R = Nat and t_1 : Nat.
 6. If pred t_1: R, then R = Nat and t_1: Nat.
 7. If iszero t_1 : R, then R = Bool and t_1 : Nat.
Proof: ...
```

Inversion

Lemma:

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1. If true : R, then R = Bool.
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 3. If if t_1 then t_2 else t_3: R, then t_1: Bool, t_2: R, and
    t_3:R.
 4. If 0 : R, then R = Nat.
 5. If succ t_1 : R, then R = Nat and t_1 : Nat.
 6. If pred t_1: R, then R = Nat and t_1: Nat.
 7. If iszero t_1: R, then R = Bool and t_1: Nat.
Proof: ...
```

This leads directly to a recursive algorithm for calculating the type of a term...

Typechecking Algorithm

```
typeof(t) = if t = true then Bool
            else if t = false then Bool
            else if t = if t1 then t2 else t3 then
              let T1 = typeof(t1) in
              let T2 = typeof(t2) in
              let T3 = typeof(t3) in
              if T1 = Bool and T2=T3 then T2
              else "not typable"
            else if t = 0 then Nat
            else if t = succ t1 then
              let T1 = typeof(t1) in
              if T1 = Nat then Nat else "not typable"
            else if t = pred t1 then
              let T1 = typeof(t1) in
              if T1 = Nat then Nat else "not typable"
            else if t = iszero t1 then
              let T1 = typeof(t1) in
              if T1 = Nat then Bool else "not typable"
```

Lemma:

- 1. If v is a value of type Bool, then v is either true or false.
- 2. If v is a value of type Nat, then v is a numeric value.

Proof:

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For part 1, if v is true or false, the result is immediate.

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Lemma:

- 1. If v is a value of type Bool, then v is either true or false.
- 2. If v is a value of type Nat, then v is a numeric value.

Proof: Recall the syntax of values:

```
v ::= values
    true true value
    false false value
    nv numeric value
    numeric values
    o zero value
    succ nv successor value
```

For part 1, if v is true or false, the result is immediate. But v cannot be 0 or succ nv, since the inversion lemma tells us that v would then have type Nat, not Bool. Part 2 is similar.

Theorem: Suppose t is a well-typed term (that is, t: T for some T). Then either t is a value or else there is some t' with $t \longrightarrow t'$.

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The T-True, T-False, and T-Zero cases are immediate, since t in these cases is a value.

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Proof: By induction on a derivation of t: T.

The T-TRUE, T-FALSE, and T-ZERO cases are immediate, since t in these cases is a value.

```
Case T-IF: t = if t_1 then t_2 else t_3 t_1 : Bool t_2 : T t_3 : T
```

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Proof: By induction on a derivation of t: T.

The T-TRUE, T-FALSE, and T-ZERO cases are immediate, since t in these cases is a value.

```
Case T-IF: t = if t_1 then t_2 else t_3
 t_1 : Bool t_2 : T t_3 : T
```

By the induction hypothesis, either t_1 is a value or else there is some t_1' such that $t_1 \longrightarrow t_1'$. If t_1 is a value, then the canonical forms lemma tells us that it must be either true or false, in which case either E-IFTRUE or E-IFFALSE applies to t. On the other hand, if $t_1 \longrightarrow t_1'$, then, by E-IF, $t \longrightarrow if \ t_1'$ then t_2 else t_3 .

Theorem: If t : T and $t \longrightarrow t'$, then t' : T.

```
Theorem: If t : T and t \longrightarrow t', then t' : T.
```

Proof: By induction on the given typing derivation.

```
Theorem: If t : T and t \longrightarrow t', then t' : T.
```

Proof: By induction on the given typing derivation.

```
Case T-True: t = true T = Bool
```

Then t is a value, so it cannot be that $t \longrightarrow t'$ for any t', and the theorem is vacuously true.

```
Theorem: If t : T and t \longrightarrow t', then t' : T.
```

Proof: By induction on the given typing derivation.

Case T-IF:

```
t = if t_1 then t_2 else t_3 t_1 : Bool t_2 : T t_3 : T
```

There are three evaluation rules by which $t \longrightarrow t'$ can be derived: E-IFTRUE, E-IFFALSE, and E-IF. Consider each case separately.

```
Theorem: If t : T and t \longrightarrow t', then t' : T.
```

Proof: By induction on the given typing derivation.

Case T-IF:

```
t = if t_1 then t_2 else t_3 t_1 : Bool t_2 : T t_3 : T
```

There are three evaluation rules by which $t \longrightarrow t'$ can be derived: E-IFTRUE, E-IFFALSE, and E-IF. Consider each case separately.

```
Subcase E-IfTrue: t_1 = true t' = t_2
```

Immediate, by the assumption $t_2 : T$.

(E-IFFALSE subcase: Similar.)

Theorem: If t : T and $t \longrightarrow t'$, then t' : T.

Proof: By induction on the given typing derivation.

Case T-IF:

```
t = if t_1 then t_2 else t_3 t_1 : Bool t_2 : T t_3 : T
```

There are three evaluation rules by which $t \longrightarrow t'$ can be derived: E-IFTRUE, E-IFFALSE, and E-IF. Consider each case separately.

```
Subcase E-IF: t_1 \longrightarrow t_1' t' = if \ t_1' then t_2 else t_3 Applying the IH to the subderivation of t_1: Bool yields t_1': Bool. Combining this with the assumptions that t_2: T and t_3: T, we can apply rule T-IF to conclude that if t_1' then t_2 else t_3: T, that is, t': T.
```

Recap: Type Systems

- Very successful example of a lightweight formal method
- big topic in PL research
- enabling technology for all sorts of other things, e.g.
 language-based security
- the skeleton around which modern programming languages are designed

The Simply Typed Lambda-Calculus

The simply typed lambda-calculus

The system we are about to define is commonly called the *simply* typed lambda-calculus, or λ_{\rightarrow} for short.

Unlike the untyped lambda-calculus, the "pure" form of λ_{\rightarrow} (with no primitive values or operations) is not very interesting; to talk about λ_{\rightarrow} , we always begin with some set of "base types."

- So, strictly speaking, there are many variants of λ_{\rightarrow} , depending on the choice of base types.
- For now, we'll work with a variant constructed over the booleans.

Untyped lambda-calculus with booleans

```
terms
                                         variable
X
\lambda x.t
                                         abstraction
                                         application
t t
                                         constant true
true
                                         constant false
false
                                         conditional
if t then t else t
                                       values
                                         abstraction value
\lambda x.t
                                         true value
true
                                         false value
false
```

"Simple Types"

types
type of booleans
types of functions

Type Annotations

We now have a choice to make. Do we...

annotate lambda-abstractions with the expected type of the argument

$$\lambda x:T_1.$$
 t₂

(as in most mainstream programming languages), or

continue to write lambda-abstractions as before

$$\lambda x$$
. t₂

and ask the typing rules to "guess" an appropriate annotation (as in OCaml)?

Both are reasonable choices, but the first makes the job of defining the typin rules simpler. Let's take this choice for now.

```
\begin{array}{c} \text{true} : \text{Bool} & \text{(T-True)} \\ \\ \text{false} : \text{Bool} & \text{(T-FALSE)} \\ \\ \hline \frac{\texttt{t}_1 : \text{Bool}}{\texttt{if} \ \texttt{t}_1 \ \texttt{then} \ \texttt{t}_2 \ \texttt{else} \ \texttt{t}_3 : \texttt{T}} \\ \\ \hline \text{if} \ \texttt{t}_1 \ \texttt{then} \ \texttt{t}_2 \ \texttt{else} \ \texttt{t}_3 : \texttt{T} \\ \end{array}
```

```
	ext{true}: 	ext{Bool} \qquad \qquad (	ext{T-True})
	ext{false}: 	ext{Bool} \qquad (	ext{T-FALSE})
	ext{t}_1: 	ext{Bool} \qquad t_2: 	ext{T} \qquad t_3: 	ext{T}
	ext{if } t_1 	ext{ then } t_2 	ext{ else } t_3: 	ext{T}
	ext{7-IF})
	ext{7.7}
	ext{$\lambda$x: T_1.t_2: T_1 $\rightarrow$T_2}
	ext{(T-Abs)}
```

```
(T-True)
                true : Bool
                                                                (T-FALSE)
               false: Bool
t_1: Bool \qquad t_2: T \qquad t_3: T
                                                                       (T-IF)
  if t<sub>1</sub> then t<sub>2</sub> else t<sub>3</sub>: T
            \Gamma, x:T<sub>1</sub> \vdasht<sub>2</sub> : T<sub>2</sub>
                                                                   (T-ABS)
       \Gamma \vdash \lambda x: T_1.t_2: T_1 \rightarrow T_2
                   x:T \in \Gamma
                                                                   (T-VAR)
                   \Gamma \vdash_{\mathbf{X}} : \mathsf{T}
```

```
(T-True)
                           Γ⊢true : Bool
                                                                                           (T-FALSE)
                          □ False : Bool
\Gamma \vdash t_1 : Bool \qquad \Gamma \vdash t_2 : T \qquad \Gamma \vdash t_3 : T
                                                                                                    (T-IF)
         \Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T
                          \Gamma, x:T<sub>1</sub> \vdasht<sub>2</sub> : T<sub>2</sub>
                                                                                               (T-ABS)
                   \Gamma \vdash \lambda x: T_1.t_2: T_1 \rightarrow T_2
                                   x:T\in\Gamma
                                                                                               (T-VAR)
                                   \Gamma \vdash_{\mathbf{X}} : \mathsf{T}
         \Gamma \vdash \mathsf{t}_1 : \mathsf{T}_{11} \rightarrow \mathsf{T}_{12} \qquad \Gamma \vdash \mathsf{t}_2 : \mathsf{T}_{11}
                                                                                               (T-App)
                            \Gamma \vdash \mathsf{t}_1 \; \mathsf{t}_2 : \mathsf{T}_{12}
```

Typing Derivations

What derivations justify the following typing statements?

```
ightharpoonup \vdash (\lambda x : Bool.x) true : Bool
```

- ► f:Bool→Bool | f (if false then true else false) :
 Bool
- ▶ f:Bool \rightarrow Bool \vdash λ x:Bool. f (if x then false else x) : Bool \rightarrow Bool

→ (typed)

Based on λ (5-3)

Γ⊢t:T

Syntax

x λx:T.t tt

v ::= λx:T.t

T ::= T→T

Γ ::= Ø Γ, x:T

terms: variable abstraction application

values: abstraction value

types: type of functions

contexts: empty context term variable binding **Evaluation**

$$t \rightarrow t'$$

$$\frac{\mathsf{t}_1 \to \mathsf{t}_1'}{\mathsf{t}_1 \; \mathsf{t}_2 \to \mathsf{t}_1' \; \mathsf{t}_2} \tag{E-APP1}$$

$$\frac{\mathsf{t}_2 \to \mathsf{t}_2'}{\mathsf{v}_1 \; \mathsf{t}_2 \to \mathsf{v}_1 \; \mathsf{t}_2'} \tag{E-APP2}$$

$$(\lambda x : T_{11} . t_{12}) v_2 \rightarrow [x \mapsto v_2] t_{12}$$
 (E-APPABS)

Typing

$$\frac{\mathbf{x} \colon \mathsf{T} \in \Gamma}{\Gamma \vdash \mathbf{x} \colon \mathsf{T}} \tag{T-VAR}$$

$$\frac{\Gamma, x: T_1 \vdash t_2: T_2}{\Gamma \vdash \lambda x: T_1.t_2: T_1 \rightarrow T_2}$$
 (T-ABS)

$$\frac{\Gamma \vdash \mathsf{t}_1 : \mathsf{T}_{11} \rightarrow \mathsf{T}_{12} \qquad \Gamma \vdash \mathsf{t}_2 : \mathsf{T}_{11}}{\Gamma \vdash \mathsf{t}_1 \; \mathsf{t}_2 : \mathsf{T}_{12}} \qquad (\text{T-APP})$$

-

Properties of λ_{\rightarrow}

The fundamental property of the type system we have just defined is *soundness* with respect to the operational semantics.

- 1. Progress: A closed, well-typed term is not stuck If $\vdash t : T$, then either t is a value or else $t \longrightarrow t'$ for some t'.
- 2. Preservation: Types are preserved by one-step evaluation If $\Gamma \vdash t : T$ and $t \longrightarrow t'$, then $\Gamma \vdash t' : T$.

Proving progress

Same steps as before...

Proving progress

Same steps as before...

- inversion lemma for typing relation
- canonical forms lemma
- progress theorem

- 1. If $\Gamma \vdash \text{true} : R$, then R = Bool.
- 2. If $\Gamma \vdash false : R$, then R = Bool.
- 3. If $\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$, then $\Gamma \vdash t_1 : \text{Bool and } \Gamma \vdash t_2, t_3 : R$.

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- 4. If $\Gamma \vdash x : R$, then $x : R \in \Gamma$.

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- 3. If $\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$, then $\Gamma \vdash t_1 : \text{Bool and } \Gamma \vdash t_2, t_3 : R$.
- 4. If $\Gamma \vdash x : R$, then $x : R \in \Gamma$.
- 5. If $\Gamma \vdash \lambda x : T_1 . t_2 : R$, then

- 1. If $\Gamma \vdash \text{true} : R$, then R = Bool.
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- 3. If $\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$, then $\Gamma \vdash t_1 : \text{Bool and } \Gamma \vdash t_2, t_3 : R$.
- 4. If $\Gamma \vdash x : R$, then $x : R \in \Gamma$.
- 5. If $\Gamma \vdash \lambda x : T_1 \cdot t_2 : R$, then $R = T_1 \rightarrow R_2$ for some R_2 with Γ , $x : T_1 \vdash t_2 : R_2$.

- 1. If $\Gamma \vdash \text{true} : R$, then R = Bool.
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- 3. If $\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$, then $\Gamma \vdash t_1 : \text{Bool and } \Gamma \vdash t_2, t_3 : R$.
- 4. If $\Gamma \vdash x : R$, then $x : R \in \Gamma$.
- 5. If $\Gamma \vdash \lambda x : T_1 \cdot t_2 : R$, then $R = T_1 \rightarrow R_2$ for some R_2 with Γ , $x : T_1 \vdash t_2 : R_2$.
- 6. If $\Gamma \vdash t_1 \ t_2 : R$, then there is some type T_{11} such that $\Gamma \vdash t_1 : T_{11} \rightarrow R$ and $\Gamma \vdash t_2 : T_{11}$.

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- 2. If v is a value of type $T_1 \rightarrow T_2$, then

- 1. If v is a value of type Bool, then v is either true or false.
- 2. If v is a value of type $T_1 \rightarrow T_2$, then v has the form $\lambda x: T_1 \cdot t_2$.

Theorem: Suppose t is a closed, well-typed term (that is, $\vdash t : T$ for some T). Then either t is a value or else there is some t' with $t \longrightarrow t'$.

Proof: By induction

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Proof: By induction on typing derivations.

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Consider the case for application, where $t = t_1$ t_2 with $\vdash t_1 : T_{11} \rightarrow T_{12}$ and $\vdash t_2 : T_{11}$.

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Proof: By induction on typing derivations. The cases for boolean constants and conditions are the same as before. The variable case is trivial (because t is closed). The abstraction case is immediate, since abstractions are values.

Consider the case for application, where $\mathbf{t} = \mathbf{t_1} \ \mathbf{t_2}$ with $\vdash \mathbf{t_1} : T_{11} \rightarrow T_{12}$ and $\vdash \mathbf{t_2} : T_{11}$. By the induction hypothesis, either $\mathbf{t_1}$ is a value or else it can make a step of evaluation, and likewise $\mathbf{t_2}$.

Theorem: Suppose t is a closed, well-typed term (that is, $\vdash t : T$ for some T). Then either t is a value or else there is some t' with $t \longrightarrow t'$.

Proof: By induction on typing derivations. The cases for boolean constants and conditions are the same as before. The variable case is trivial (because t is closed). The abstraction case is immediate, since abstractions are values.

Consider the case for application, where $\mathbf{t} = \mathbf{t}_1 \ \mathbf{t}_2$ with $\vdash \mathbf{t}_1 : T_{11} \rightarrow T_{12}$ and $\vdash \mathbf{t}_2 : T_{11}$. By the induction hypothesis, either \mathbf{t}_1 is a value or else it can make a step of evaluation, and likewise \mathbf{t}_2 . If \mathbf{t}_1 can take a step, then rule E-APP1 applies to \mathbf{t} . If \mathbf{t}_1 is a value and \mathbf{t}_2 can take a step, then rule E-APP2 applies. Finally, if both \mathbf{t}_1 and \mathbf{t}_2 are values, then the canonical forms lemma tells us that \mathbf{t}_1 has the form $\lambda \mathbf{x} : T_{11} \cdot \mathbf{t}_{12}$, and so rule E-APPABS applies to \mathbf{t} .

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Theorem: If \Gamma \vdash t : T and t \longrightarrow t', then \Gamma \vdash t' : T.
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Proof: By induction

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Proof: By induction on typing derivations.

Which case is the hard one??

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 Proof: By induction on typing derivations. 
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By the inversion lemma for evaluation, there are three subcases...
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Uh oh.
```

The "Substitution Lemma"

Lemma: Types are preserved under substitition.

That is, if Γ , $x:S \vdash t:T$ and $\Gamma \vdash s:S$, then $\Gamma \vdash [x \mapsto s]t:T$.

The "Substitution Lemma"

Lemma: Types are preserved under substitition.

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That is, if \Gamma, x:S \vdash t:T and \Gamma \vdash s:S, then \Gamma \vdash [x \mapsto s]t:T.
```

Proof: ...

Recommended: Complete the proof of preservation