

# CS 261 – Data Structures

## AVL Trees

# Binary Search Tree

- Complexity of BST operations:
  - proportional to the length of the path from a node to the root
- Unbalanced tree: operations may be  $O(n)$ 
  - E.g.: adding elements in a sorted order

# Balanced Binary Search Tree

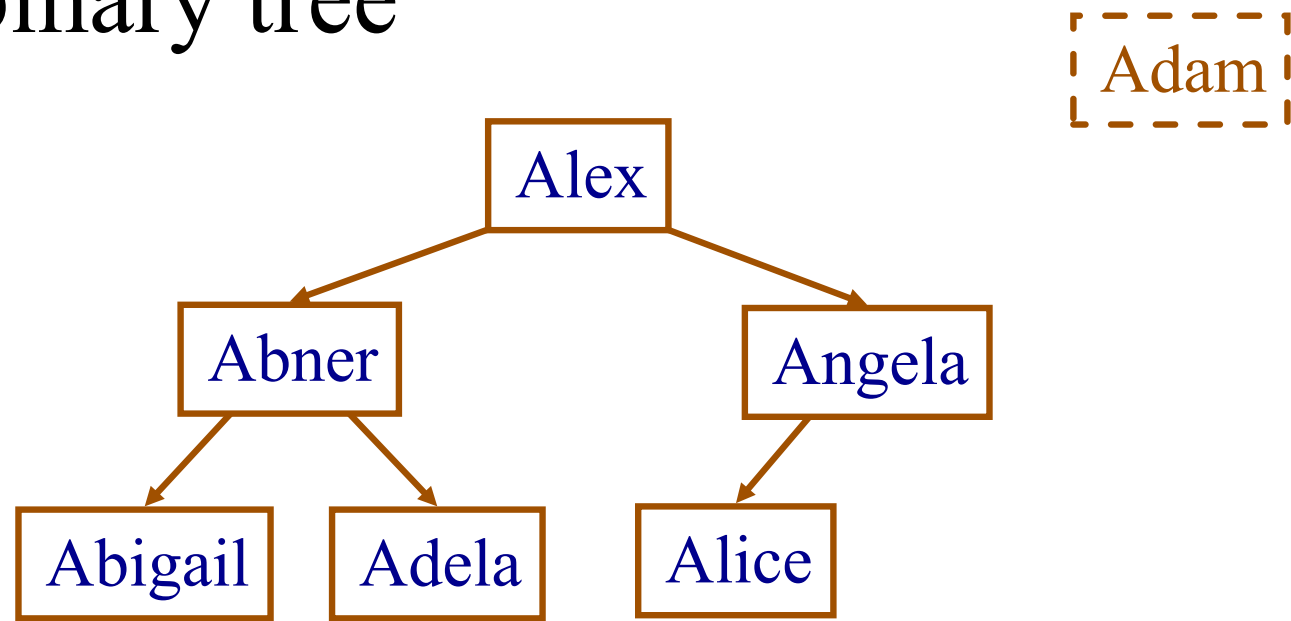
- Balanced tree: the length of the longest path is roughly  $\log n$
- **BALANCE IS IMPORTANT!**

# Complete Binary Tree is Balanced

- Has the smallest height for any binary tree with the same number of nodes
- The longest path guaranteed to be  $\leq \log n$
- $\Rightarrow$  Keep the tree complete

# Requiring Complete Trees

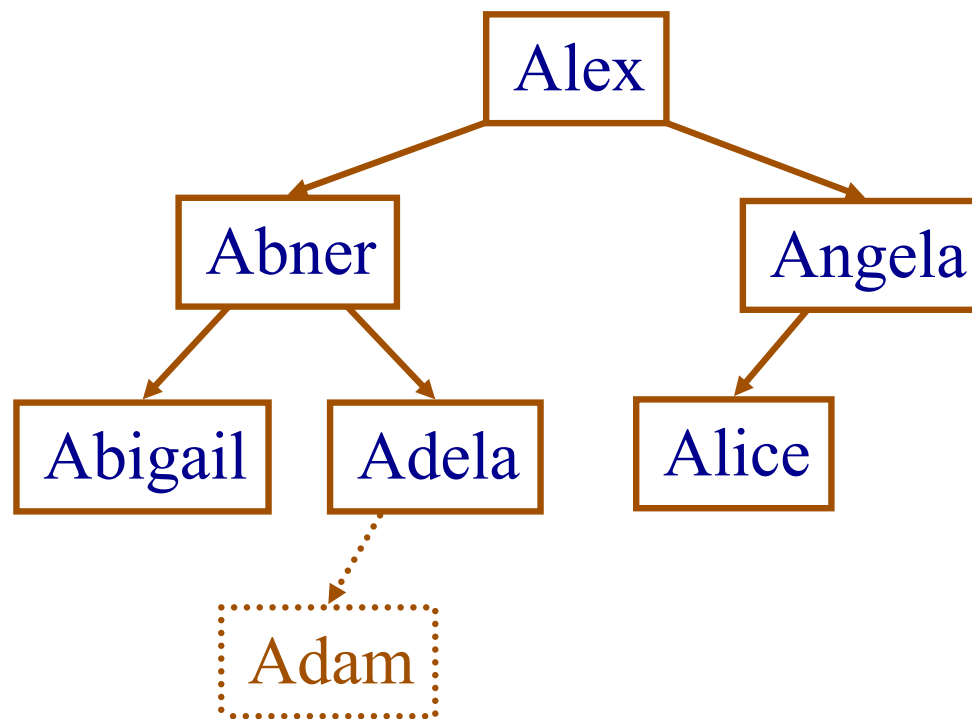
- However, it is very costly to maintain a complete binary tree



Add to tree

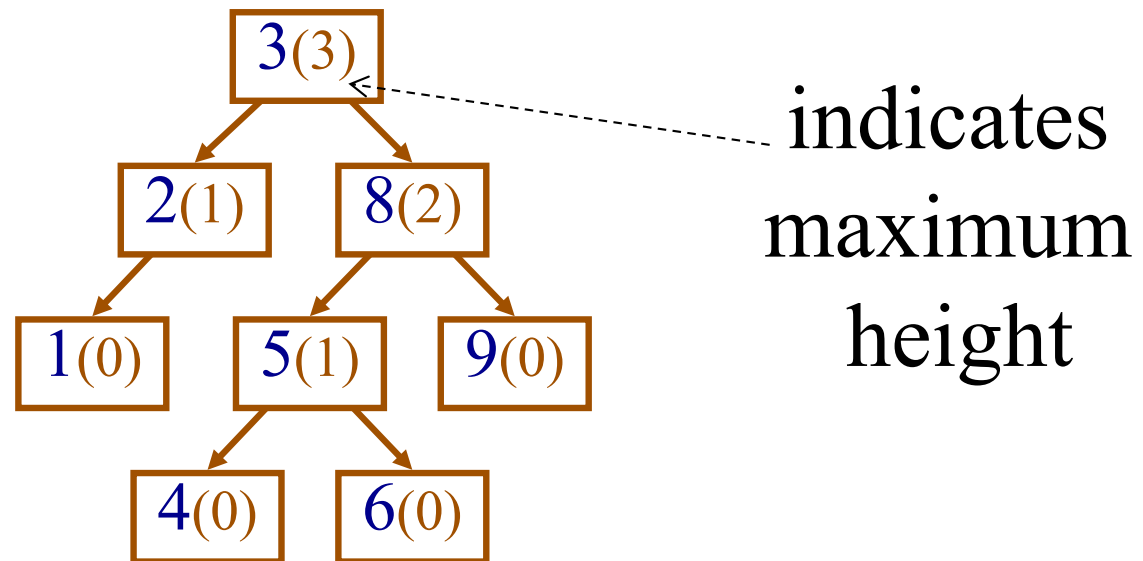
# Requiring Complete Trees

- However, it is very costly to maintain a complete binary tree



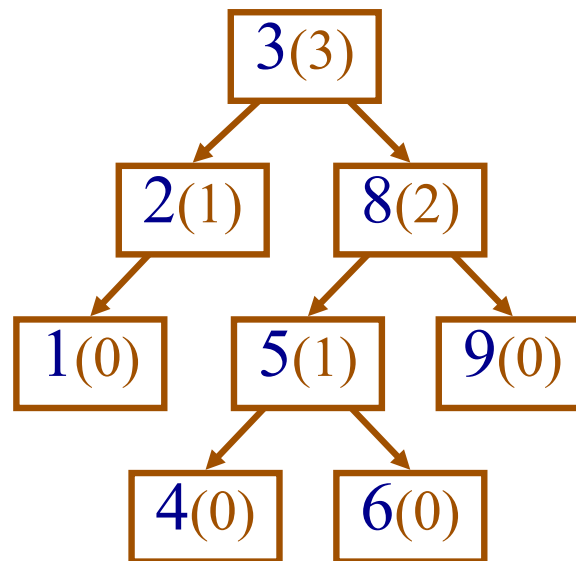
# Height-Balanced Trees

- For each node, the height difference between the left and right subtrees is  $\leq 1$



# Height-Balanced Trees

- Are locally balanced, but globally (slightly) unbalanced





# Height-Balanced Trees

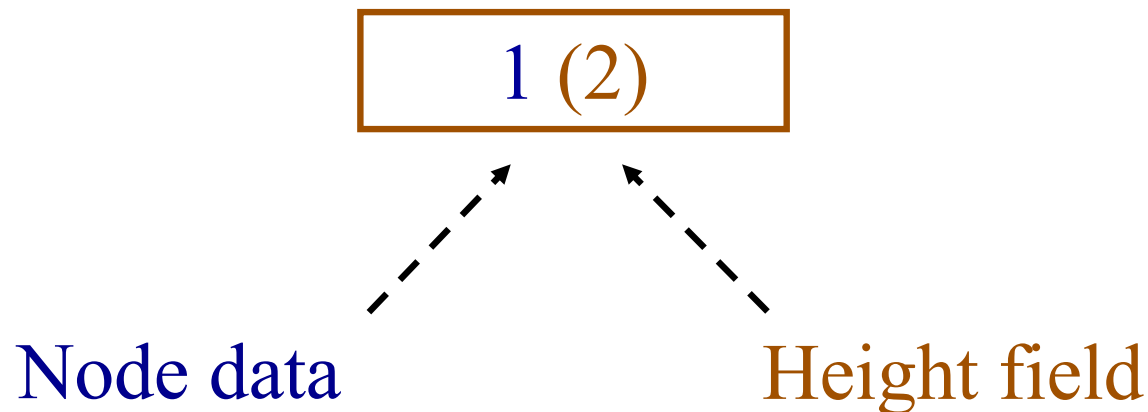
- Mathematically, the longest path has been shown to be, at worst, 44% longer than  $\log n$
- Algorithms that run in time proportional to the path length are still  $O(\log n)$ 
  - Why?

# AVL Trees

- Named after the inventors' initials:
  - Adelson-Velskii and Landis
- Maintain the height balanced property of Binary Search Trees

# AVL Trees

- Add an integer height field to each node:
  - Null child has a height of  $-1$
  - A node is *unbalanced* when the absolute height difference between the left and right subtrees is *greater than one*



# AVL Implementation

```
struct AVLNode {  
    TYPE          val;  
    struct AVLNode *left;  
    struct AVLNode *right;  
    int           hght; /* Height of node*/  
};
```

# Get Height

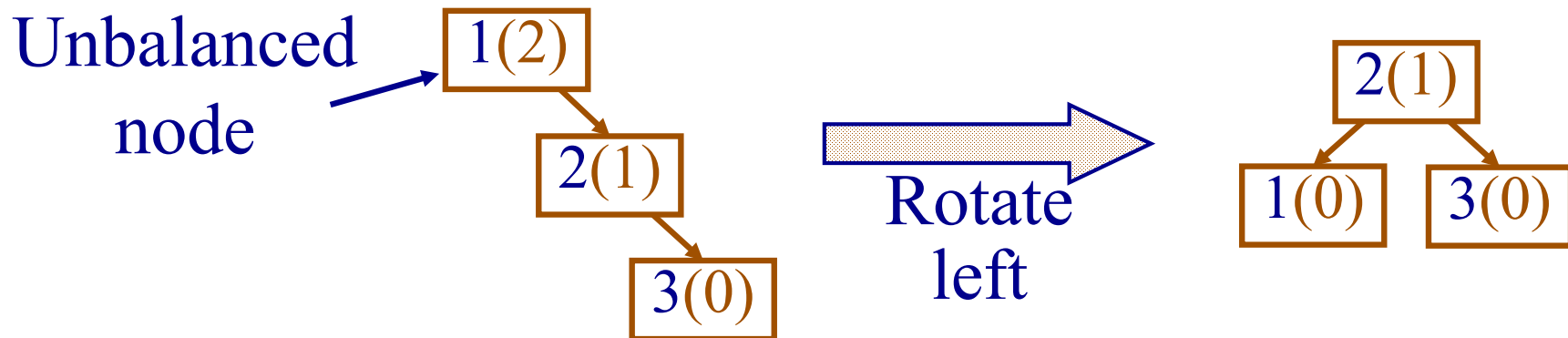
```
int _height(struct AVLNode *cur)
{
    if(cur == 0)
        return -1
    else return cur->hght;
}
```

# Compute Height

```
void _setHeight(struct AVLNode *cur) {  
    int lh = _height(cur->left);  
    int rh = _height(cur->right);  
    if(lh < rh)  
        cur->hght = 1 + rh;  
    else  
        cur->hght = 1 + lh;  
}
```

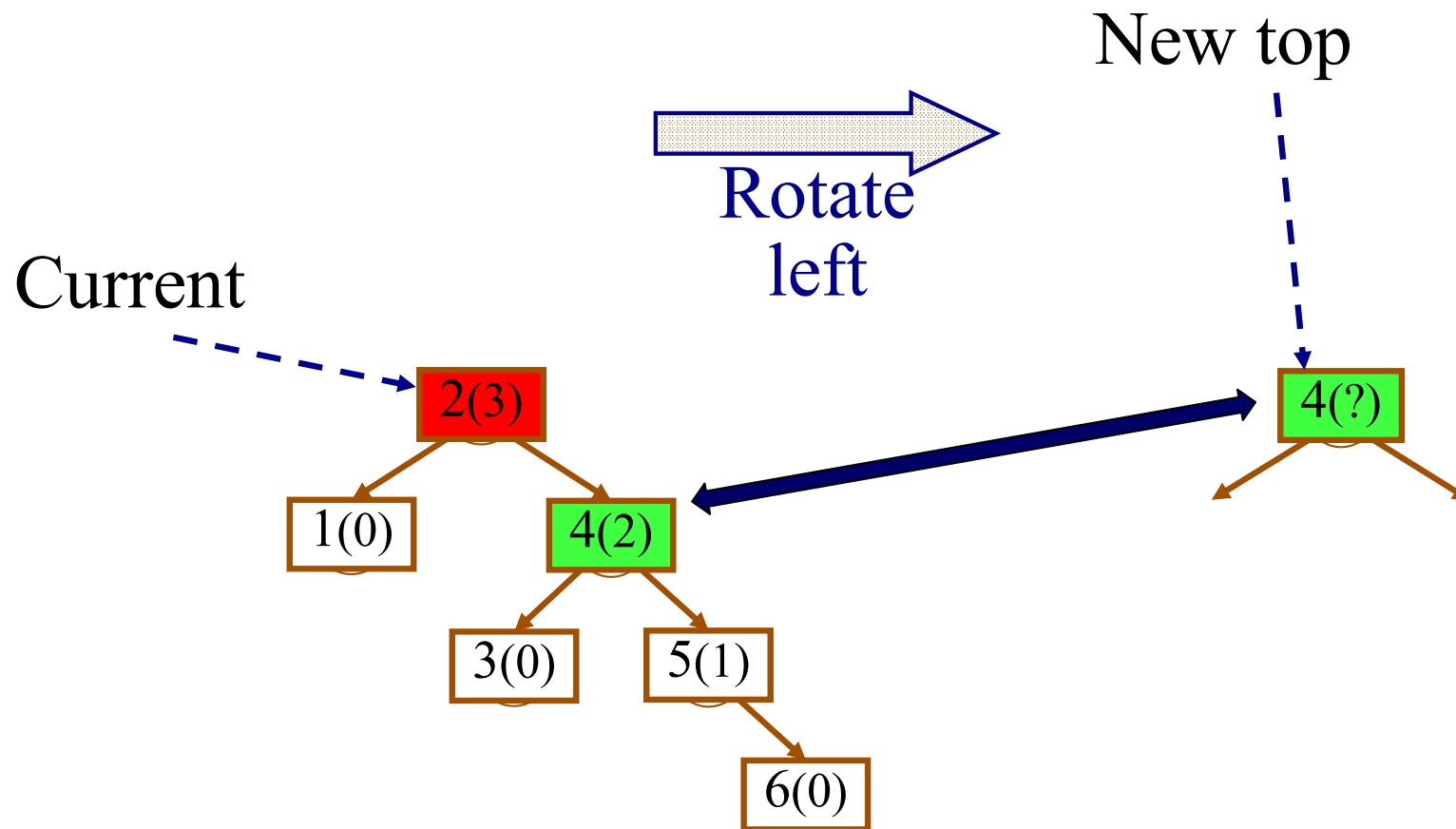
# Maintaining the Height Balanced Property

- When unbalanced, perform a “rotation” to balance the tree



# Left Rotation

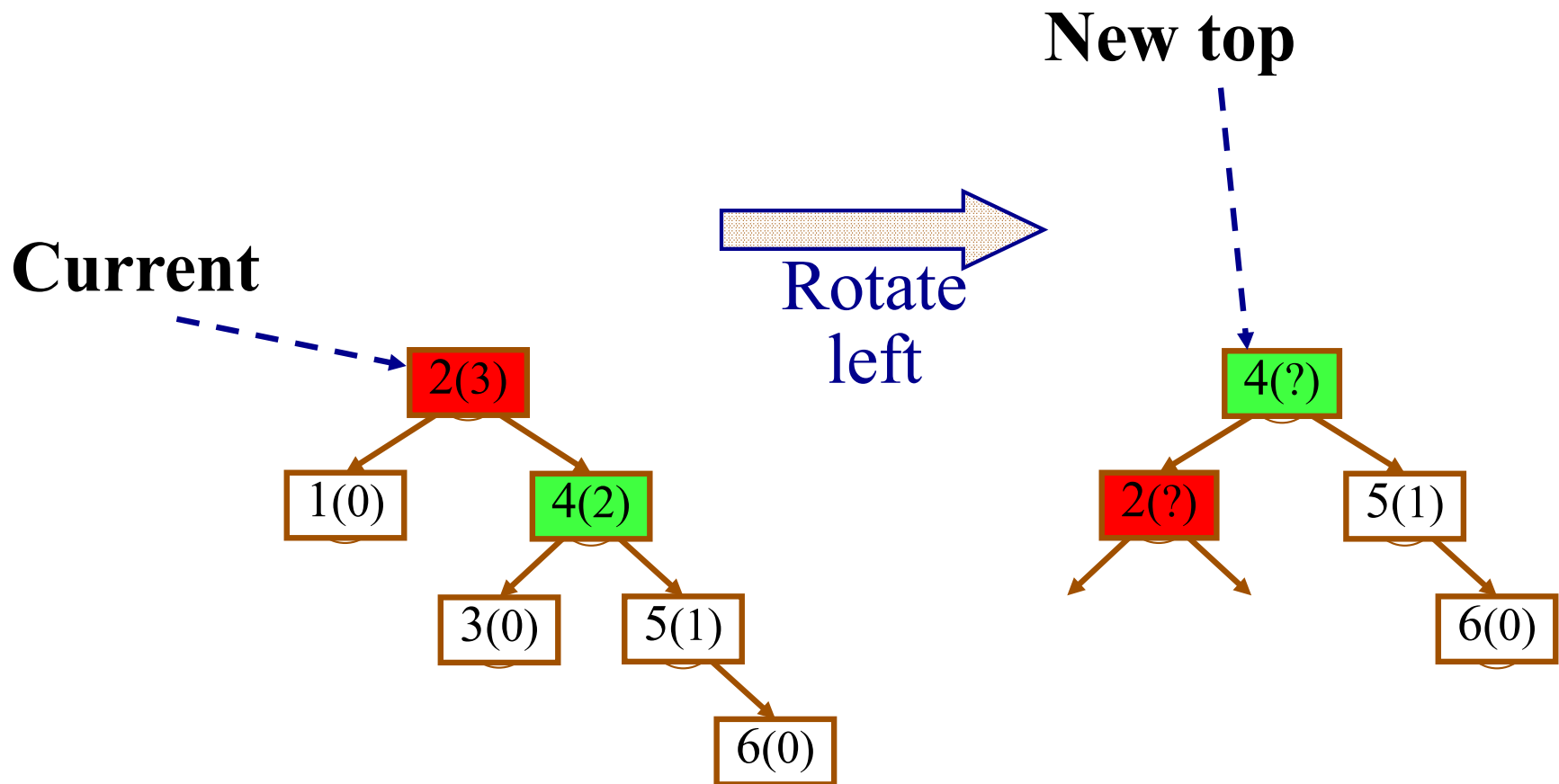
1. Input: **current**
2. New top = **current's right child**





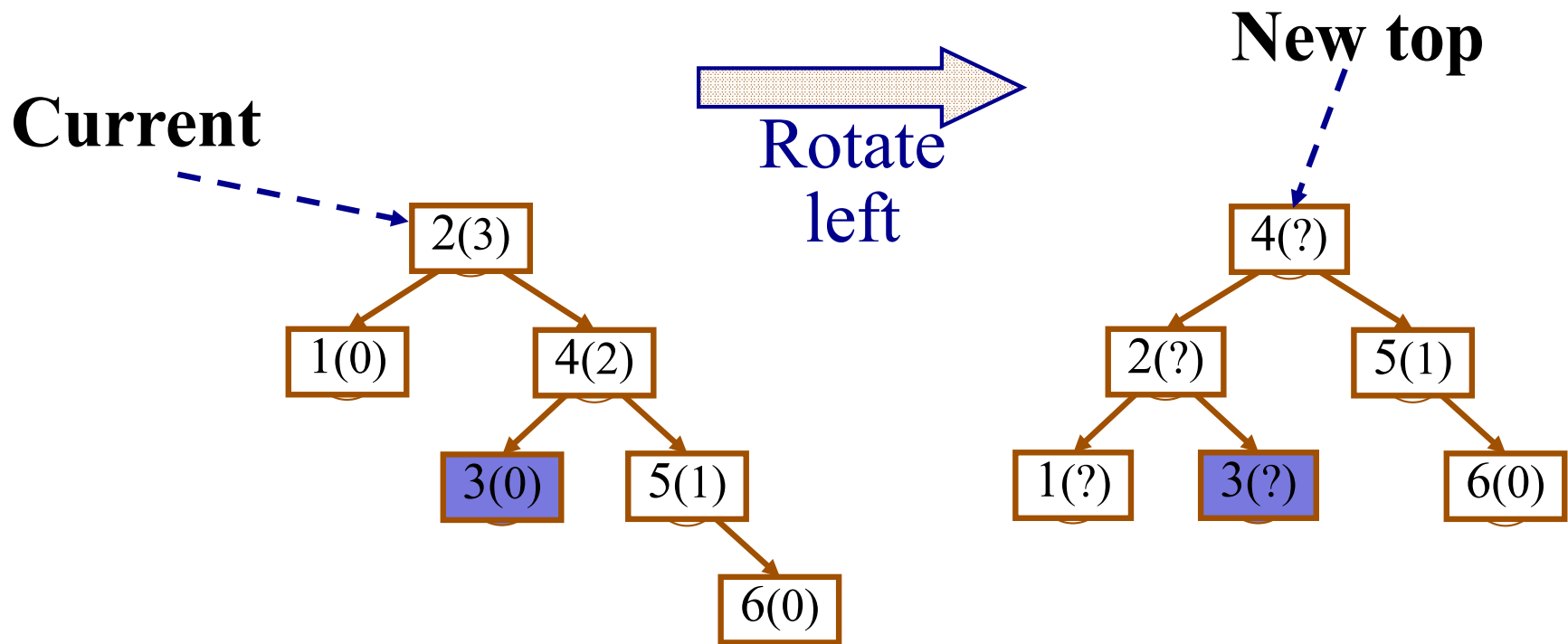
# Left Rotation

1. Input: **current**
2. New top = **current's right child**
3. New top's new left child = **current**



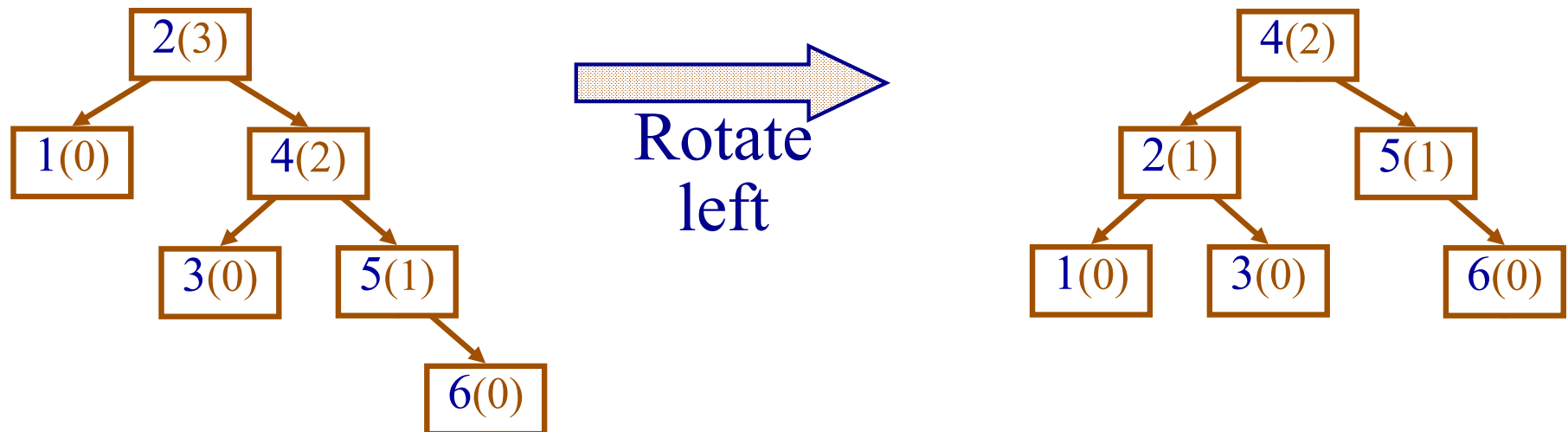
# Left Rotation

1. Input: **current**
2. New top = **current's right child**
3. New top's new left child = **current**
4. Current's new right child = **new top's left child**

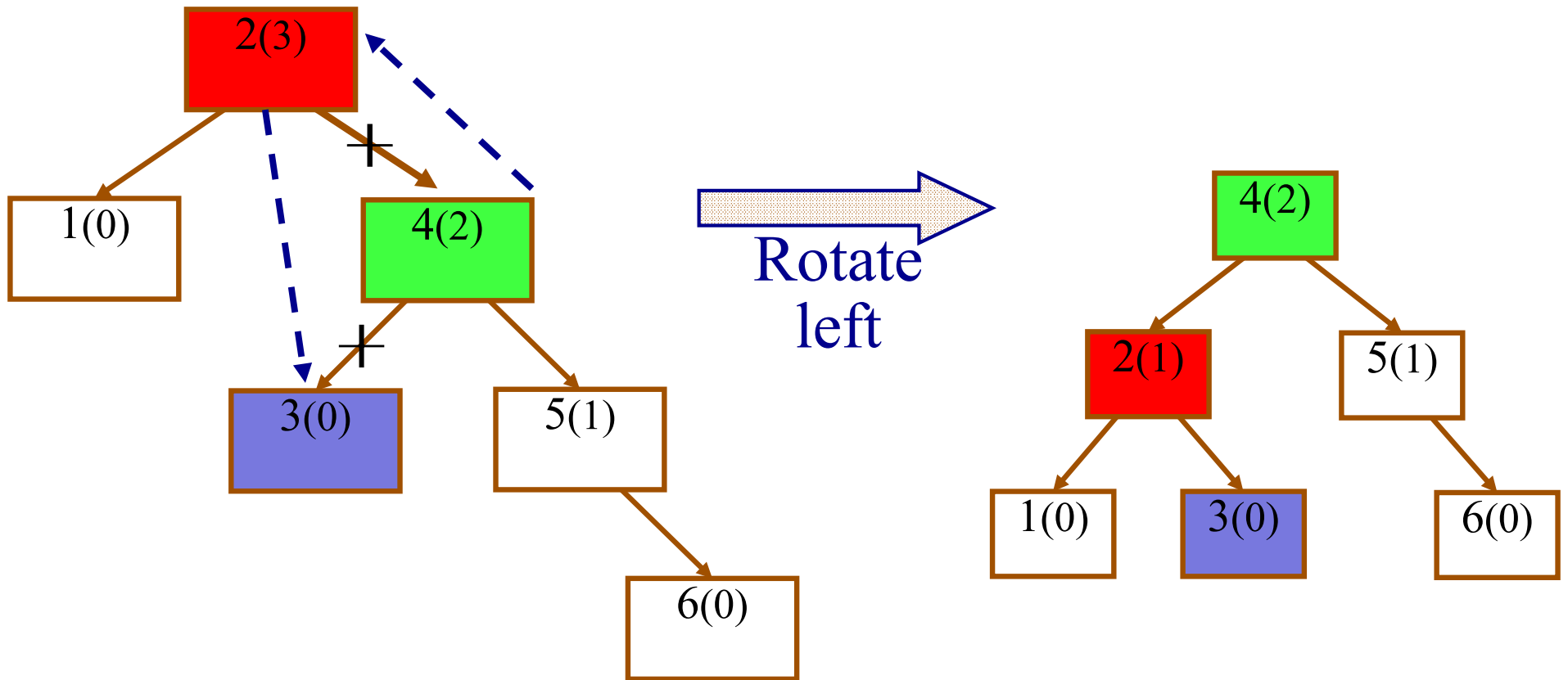


# Left Rotation

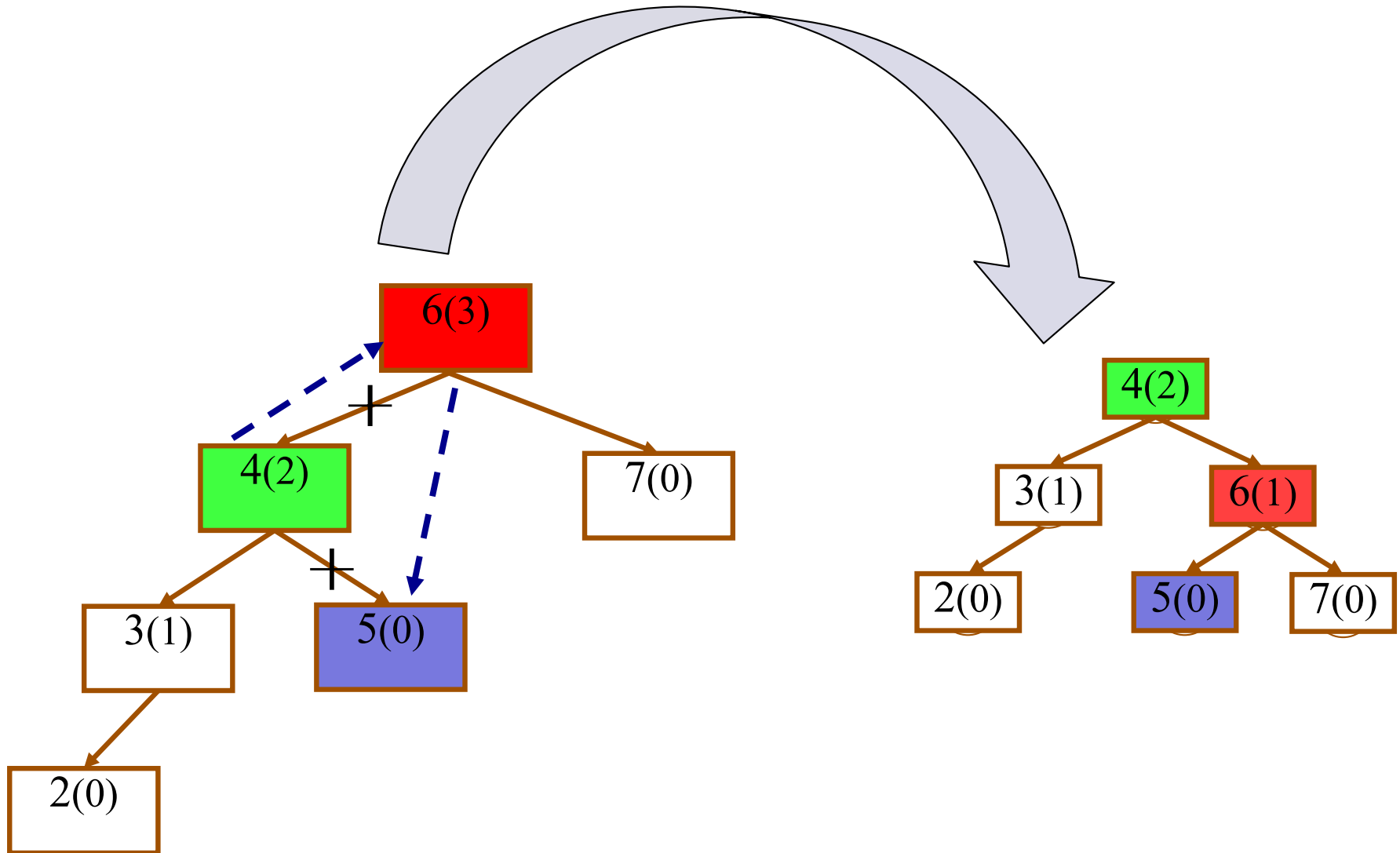
1. Input: **current**
2. New top = **current's right child**
3. New top's new left child = **current**
4. Current's new right child = **new top's left child**
5. Set height of `current`
6. Set height of new top node



# Left Rotation

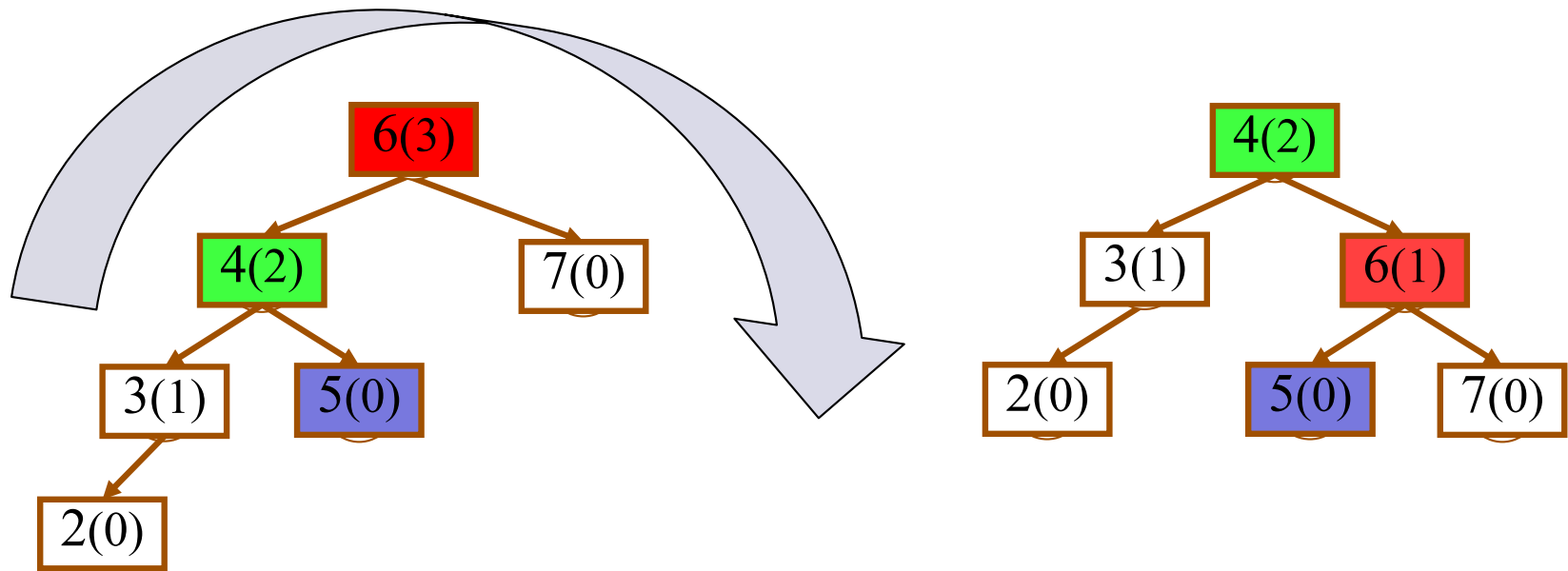


# Right Rotation



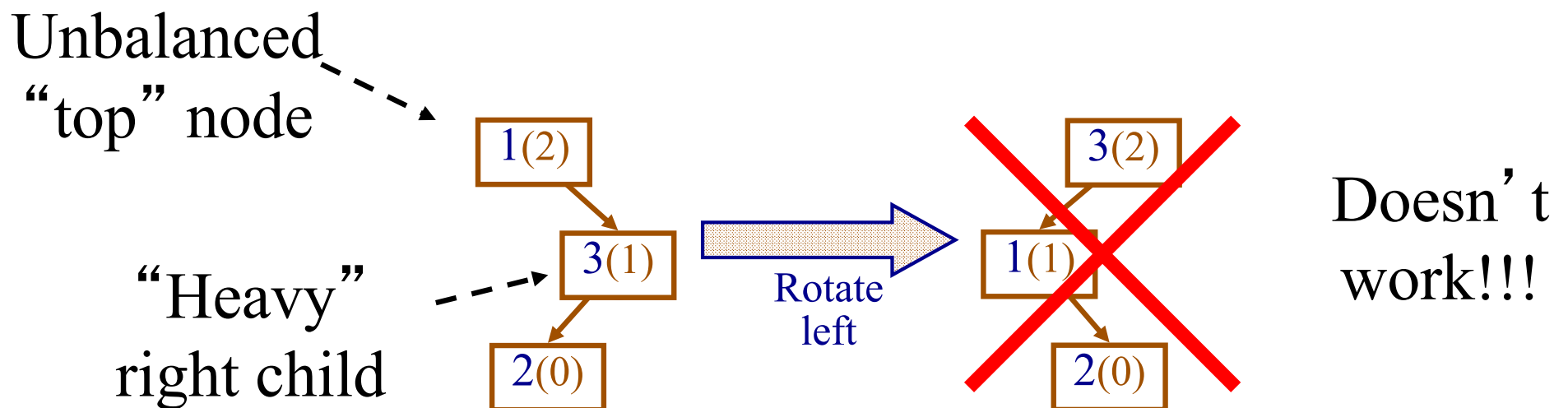
# Right Rotation

1. Input: **current**
2. New top = **current's left child**
3. New top's right child = **current**
4. Current's new left child = **new top's right child**
5. Set height of `current`
6. Set height of new top node



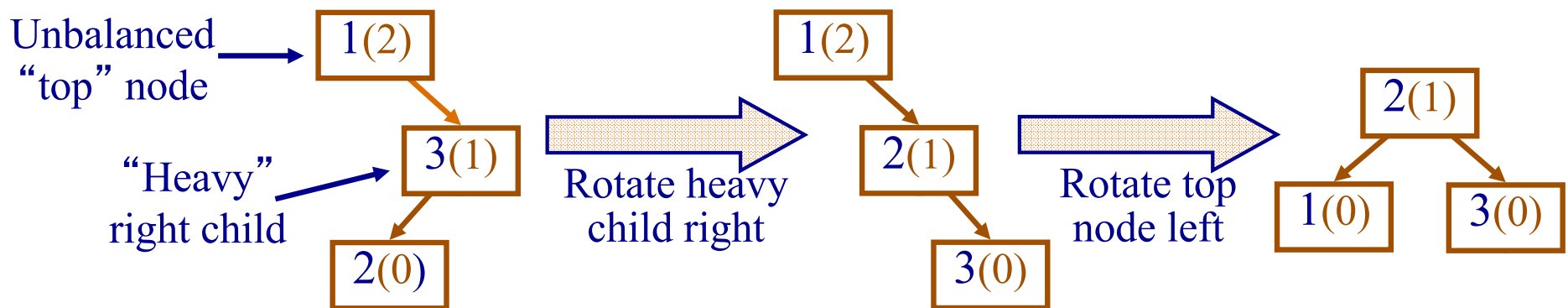
# Double Rotation Left

- A single rotation may not fix the problem:
  - When the **right** child is **heavy**, i.e.,
    - its parent is unbalanced
    - has only a right subtree



# Double Rotation Left

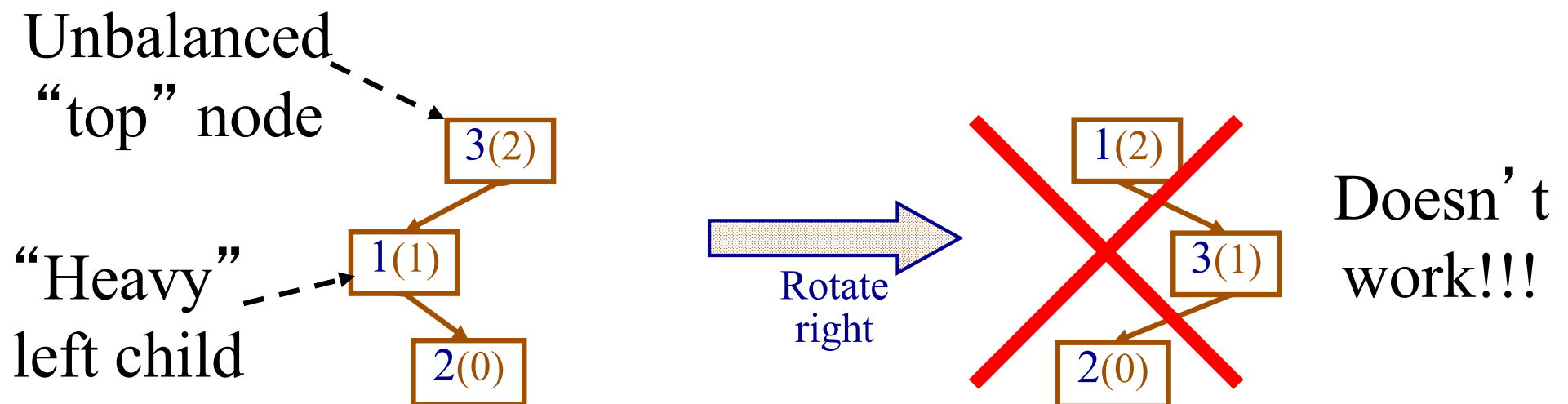
- Rotate the *child* before the regular rotation:
  1. Rotate the heavy right child to the **right**
  2. Rotate the “top” node to the **left**





# Double Rotation

- A single rotation may not fix the problem:
  - When the **left** child is **heavy**, i.e.,
    - its parent is unbalanced from the left
    - has only a left subtree

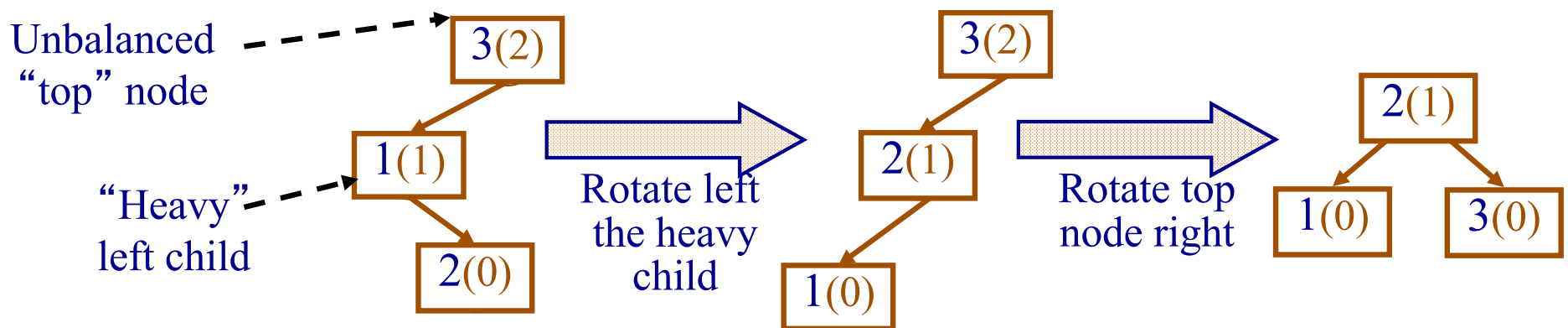


# Double Rotation Right

- This case requires *rotating the child* before the regular rotation:

1. Rotate the heavy left child to the **left**

2. Rotate the “top” node to the **right**

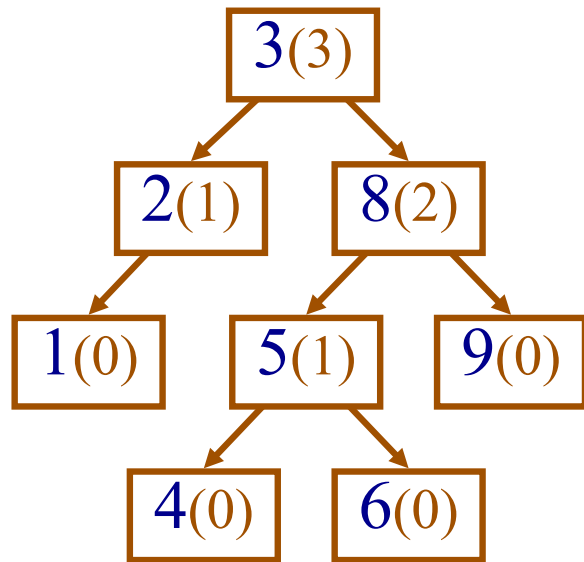


# Balancing an Unbalanced Node

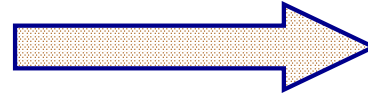
```
If left child is taller than right child { /* Rotation right */
    If left child is heavy { /* Double rotation right */
        Rotate left the heavy left child
    }
    Rotate right the node
} else { /* Rotation left */
    If right child is heavy { /* Double rotation left */
        Rotate right the heavy right child
    }
    Rotate left the node
}
Return node
```

# Example: Add 7 to the tree

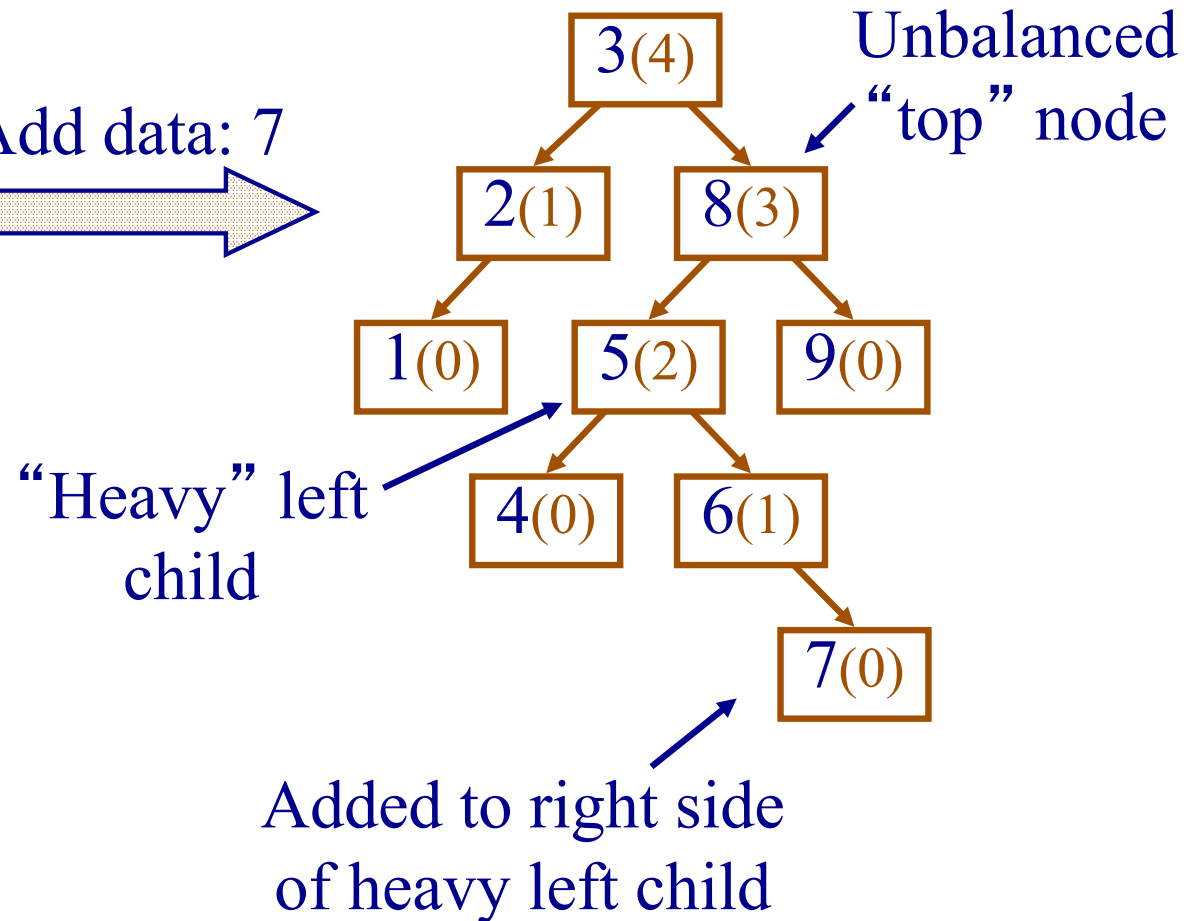
Height-Balanced Tree



Add data: 7

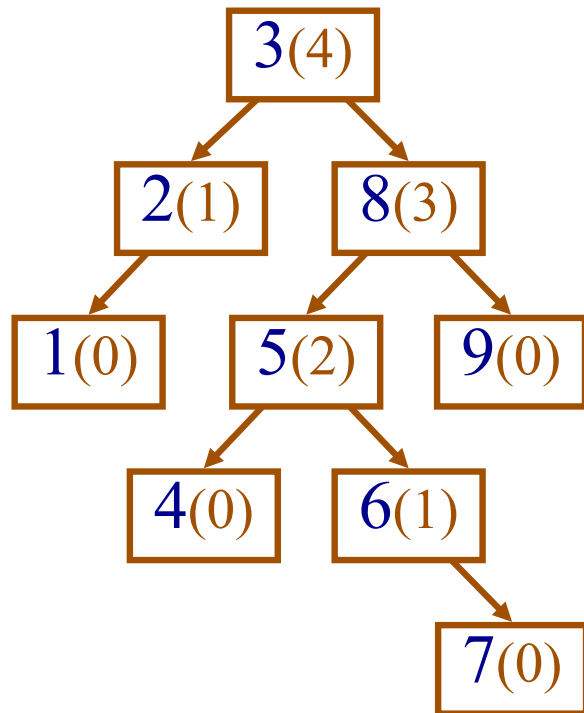


Unbalanced Tree

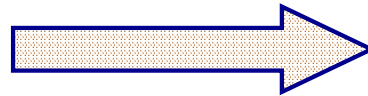


# Example – Suppose We Used Single Rotation

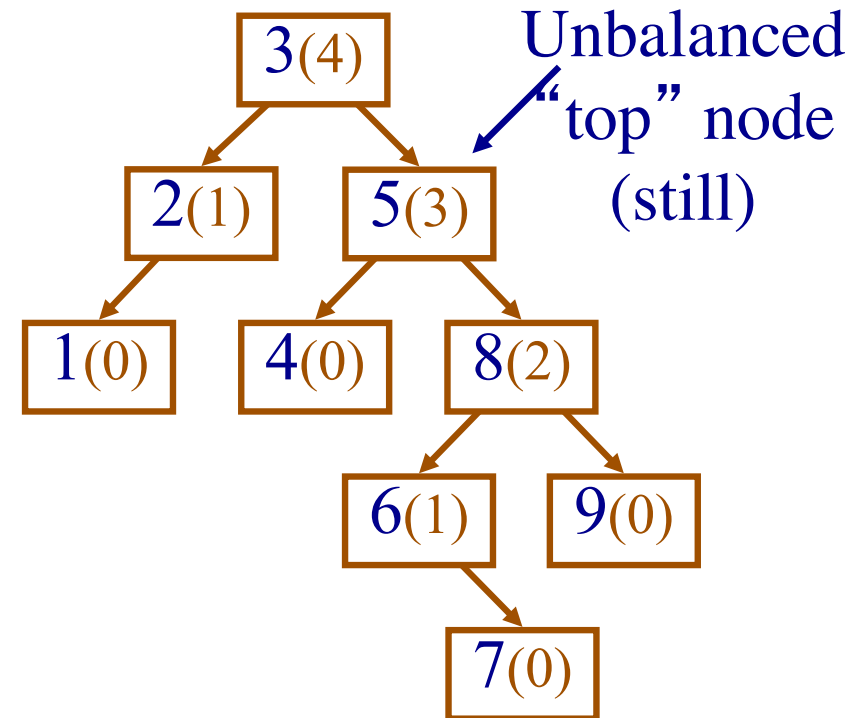
Unbalanced Tree



Single right rotation



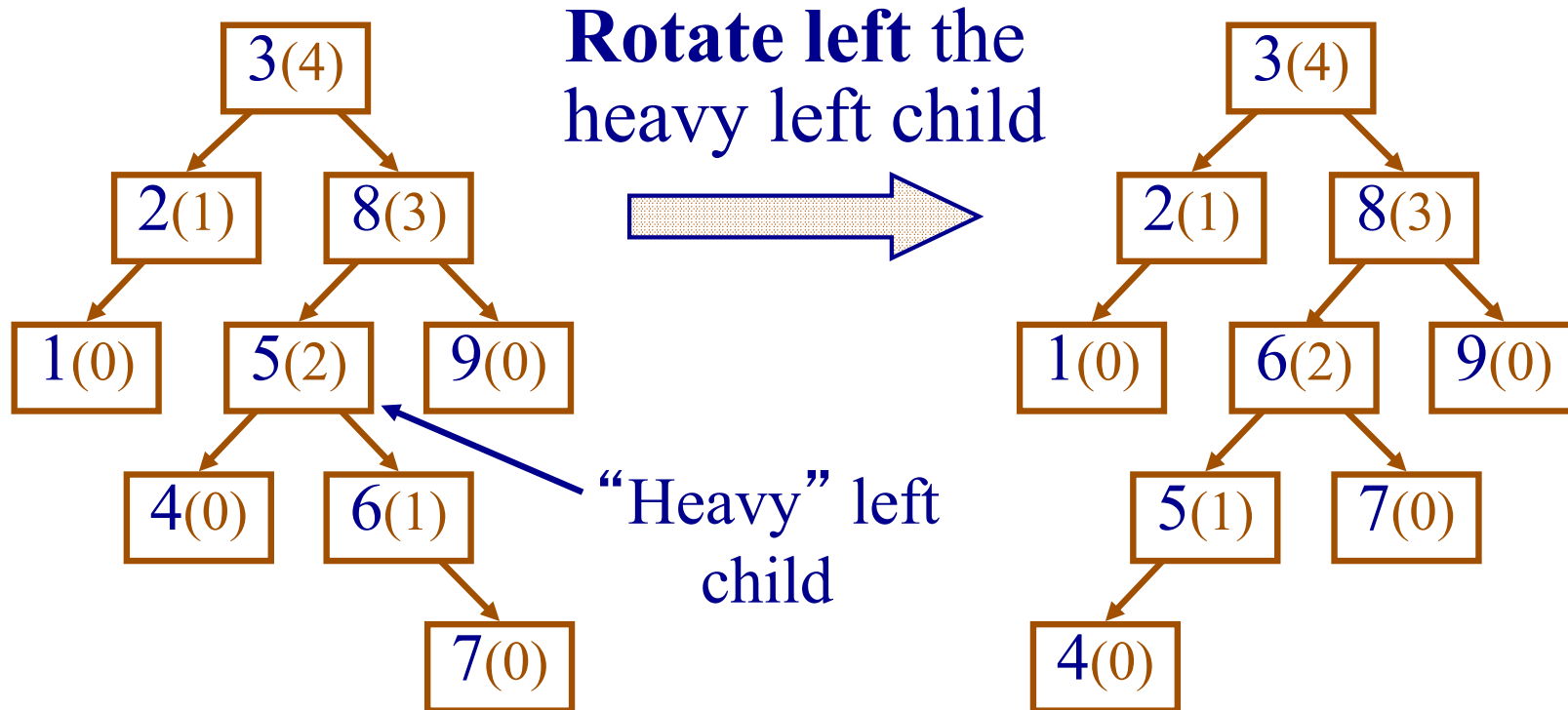
Tree Still Unbalanced



# Example – Double Rotation Right

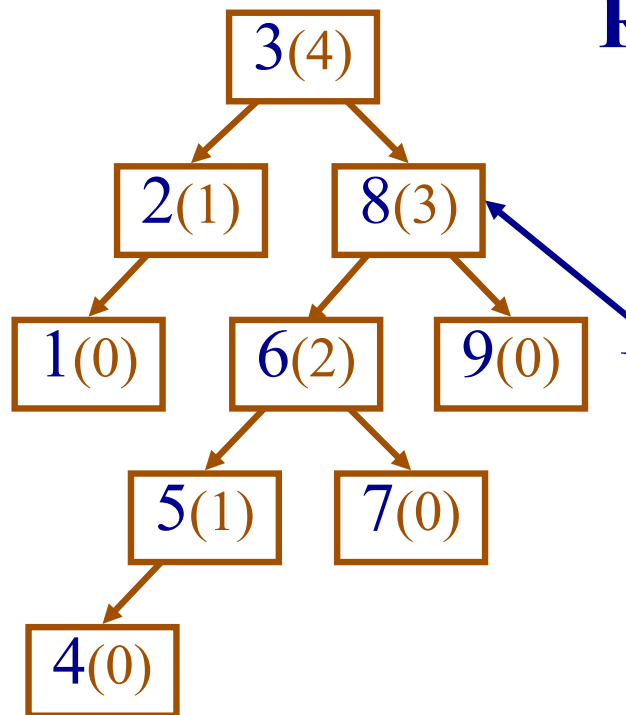
Unbalanced Tree

Tree Still Unbalanced, but ...

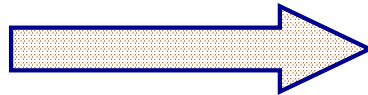


# Example – Double Rotation Right

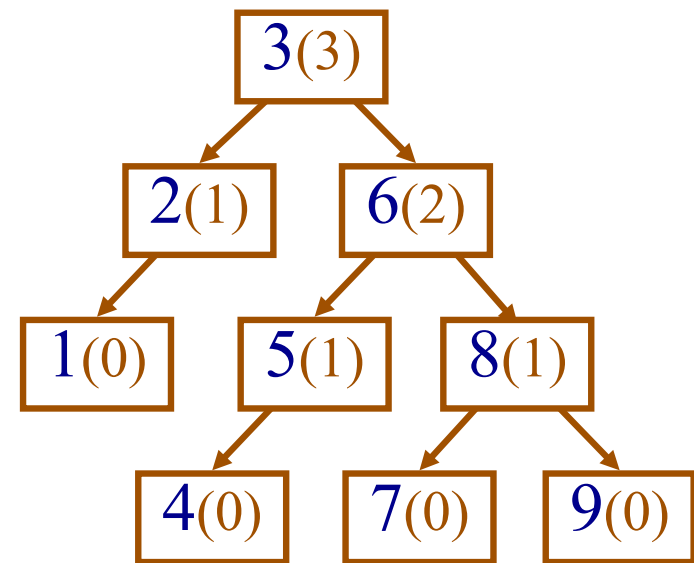
Unbalanced Tree  
(after 1<sup>st</sup> rotation)



Rotate right  
top node



Tree Now Balanced



# Your Turn

- Any questions
- Worksheet:
  - Start by inserting values 1-7 into an empty AVL tree
  - Then write code for left and right rotations