

# Incremental Parsing with Minimal Features Using Bi-Directional LSTM

James Cross

EECS, Oregon State University  
crossj@oregonstate.edu

Liang Huang

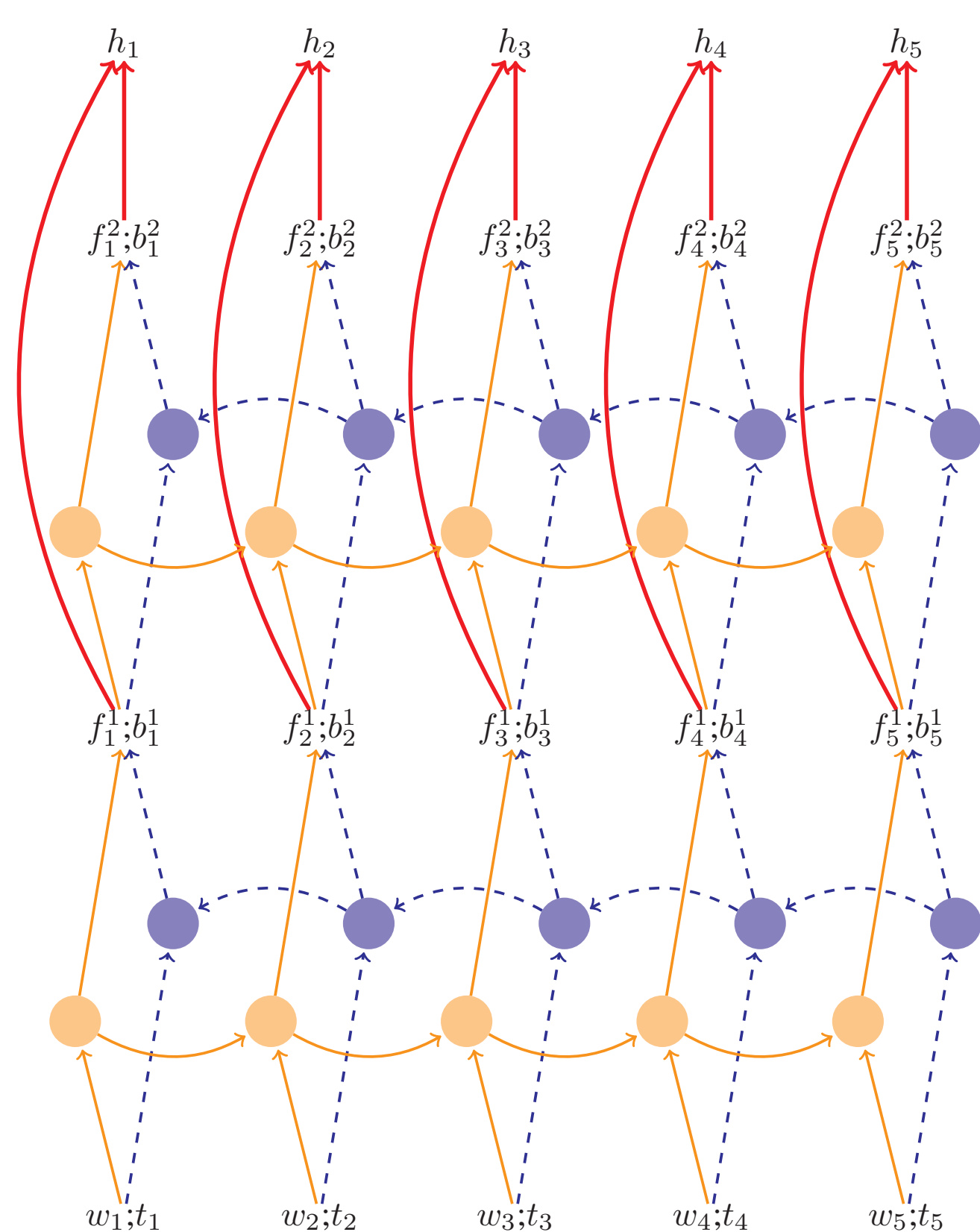
EECS, Oregon State University  
liang.huang.sh@gmail.com

## OVERVIEW

- We use bi-directional LSTM to train greedy transition parsers with a bare minimum of features.
- A new transition system for constituency parsing offers competitive performance even with greedy inference.
- State-of-the-art performance among greedy parsers (at time of submission) for both dependency and constituency parsers.

## LSTM POSITION FEATURES

Sentences are modeled with a recurrent neural network using **word** and **part-of-speech embeddings** (learned only from the training data) as input.



We found the best results by concatenating the output of each of two subsequent bi-directional LSTM layers.

Parser	UAS	LAS
One-layer Bi-LSTM <sup>†</sup>	93.31	91.01
† - Backward-LSTM	91.12	88.72
† - Forward-LSTM	91.85	88.39
† - tag embeddings	92.46	89.81

Ablation studies on PTB dev set (wsj 22). Forward and backward context, and part-of-speech input were all critical to strong performance.

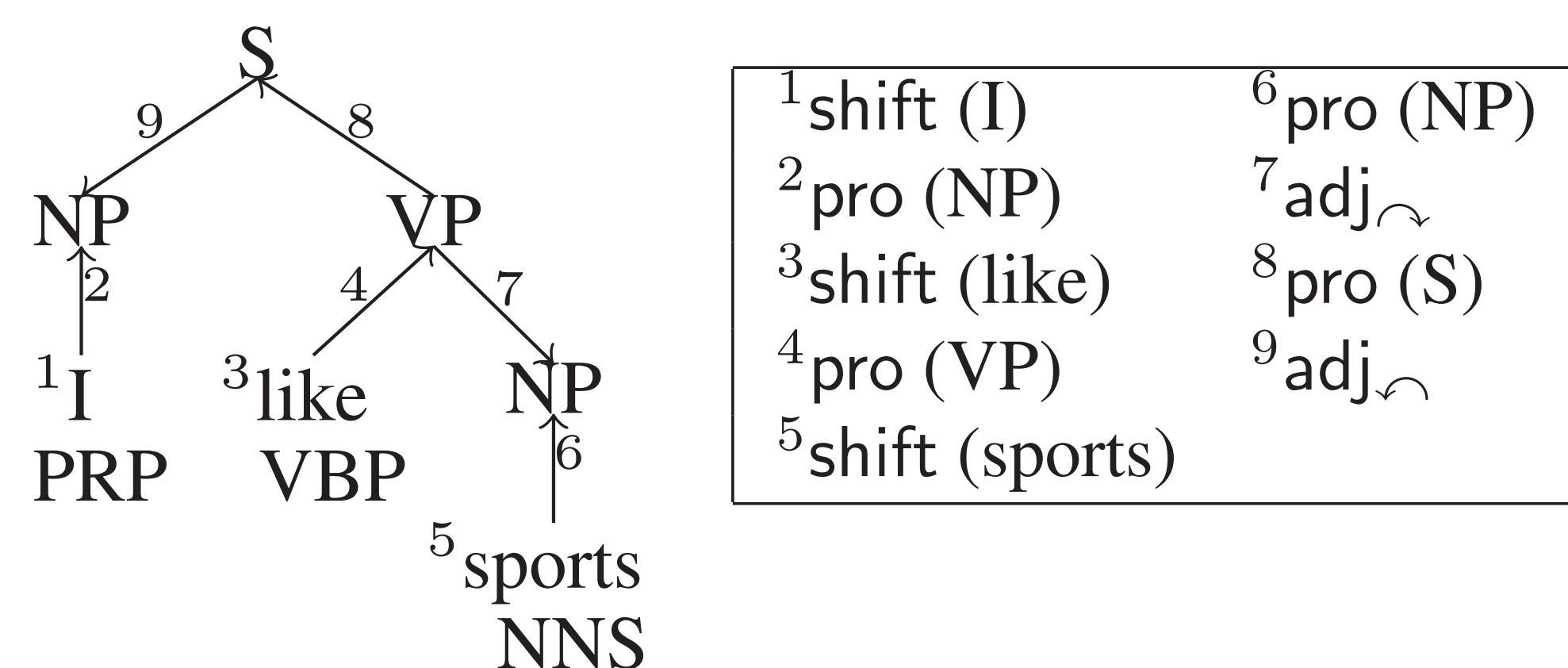
## DEDUCTIVE SYSTEMS

Arc-Standard (Dependency)	Shift-Promote-Adjoin (Constituency)
input: $w_0 \dots w_{n-1}$	
axiom $\langle \epsilon, 0 \rangle: \emptyset$	shift $\frac{\langle S, j \rangle}{\langle S   j, j+1 \rangle} \quad j < n$
shift $\frac{\langle S, j \rangle: A}{\langle S   j, j+1 \rangle: A} \quad j < n$	pro( $X$ ) $\frac{\langle S   t, j \rangle}{\langle S   X(t), j \rangle}$
re $\curvearrowright$ $\frac{\langle S   s_1   s_0, j \rangle: A}{\langle S   s_0, j \rangle: A \cup \{s_1 \curvearrowright s_0\}}$	adj $\curvearrowright$ $\frac{\langle S   t   X(t_1 \dots t_k), j \rangle}{\langle S   X(t, t_1 \dots t_k), j \rangle}$
goal $\langle s_0, n \rangle: A$	goal $\langle s_0, n \rangle$

## SHIFT-PROMOTE-ADJOIN CONSTITUENCY PARSING

We propose a novel transition system for constituency parsing, inspired by arc-standard dependency parsing, which:

- Does not require binarization.
- Has only  $3 + X$  actions, where  $X$  is the number of non-terminal labels.
- Promotes the head constituent of each phrase before attaching siblings.



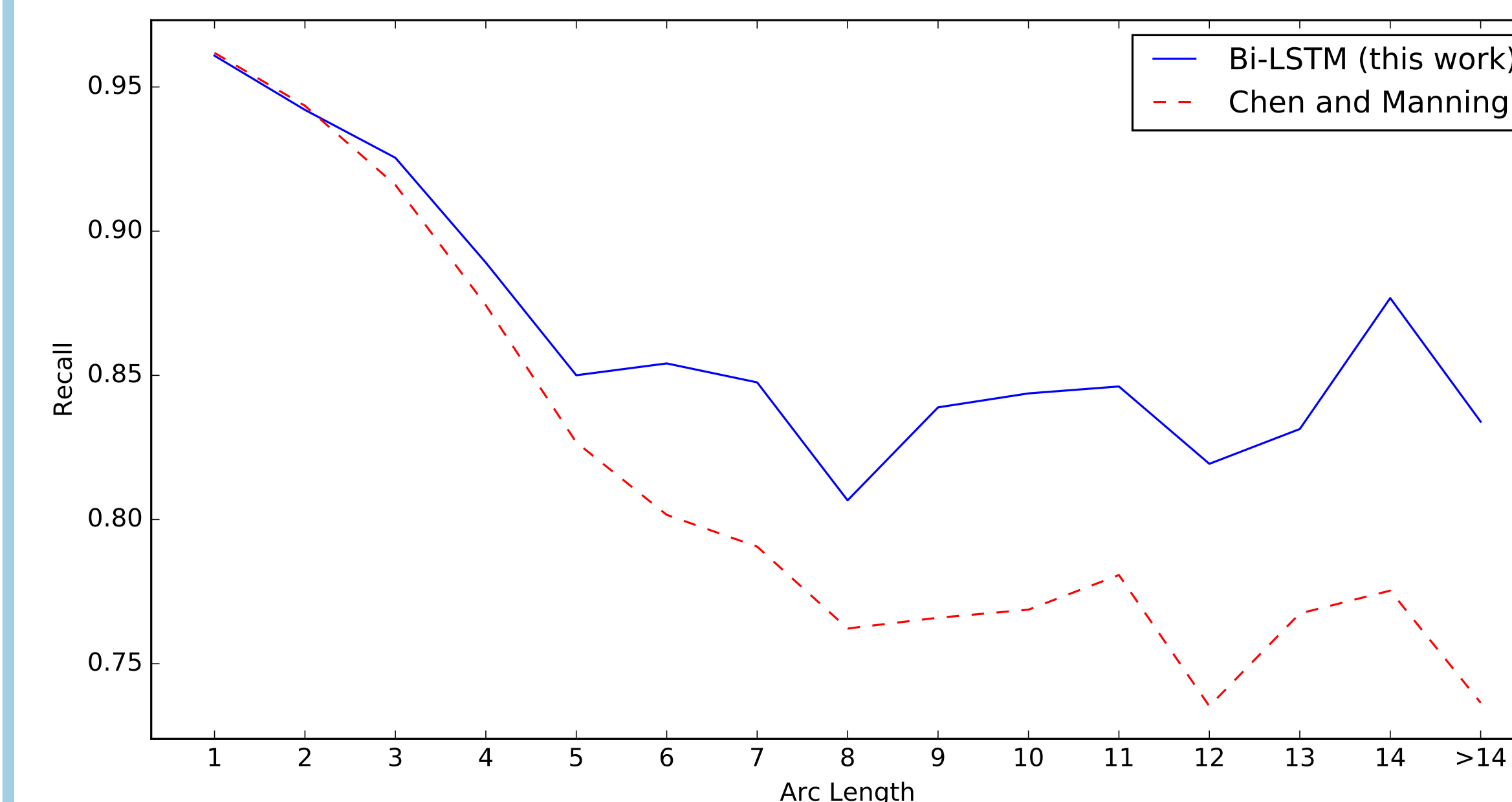
## FEATURES

	dependency	constituency
positional	$s_1, s_0, q_0$	$s_1, s_0, q_0, s_1.\text{left}, s_0.\text{left}$
labels	-	$s_0.\{\text{left}, \text{right}, \text{root}, \text{head}\}$ $s_1.\{\text{left}, \text{right}, \text{root}, \text{head}\}$

## EXPERIMENTAL RESULTS

Dependency	English		Chinese	
	UAS	LAS	UAS	LAS
Chen and Manning 2014	91.8	89.6	83.9	82.4
Dyer et al. 2015	93.1	90.9	<b>87.2</b>	85.7
Bi-LSTM	93.21	91.16	85.53	84.89
2-Layer Bi-LSTM	<b>93.42</b>	91.36	86.35	85.71

Greedy-parser accuracy on English and Chinese Penn Treebank test sets. Current state of the art (94.61 English UAS) by Andor et al. Globally Normalized Transition-Based Neural Networks. *ACL* 2016.



Recall on dependency arcs of various lengths in PTB dev set. The Bi-LSTM parser is particularly good at predicting longer arcs.

Constituency	English		Chinese	
	greedy	beam	greedy	beam
Zhu et al. (2013)	86.08	90.4	75.99	85.6
Mi & Huang (2005)	84.95	90.8	75.61	83.9
Bi-LSTM	89.75	-	79.44	-
2-Layer Bi-LSTM	<b>89.95</b>	-	<b>80.13</b>	-

$F_1$  score on English and Chinese Penn Treebank test sets for transition-based constituency parsers. In our upcoming paper (James Cross and Liang Huang. Span-Based Constituency Parsing with a Structure-Label System and Dynamic Oracles. *EMNLP* 2016 (to appear)), we describe a system with state-of-the-art accuracy (91.4 for English) with greedy inference.