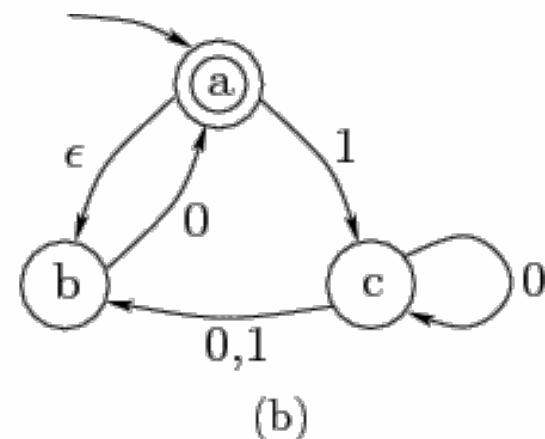
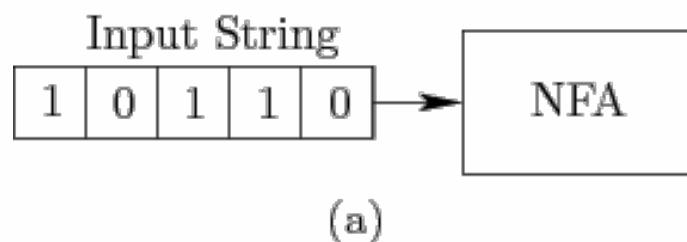


CS 32 I

Theory of Computation

Part I: Finite Automata and Regular Languages

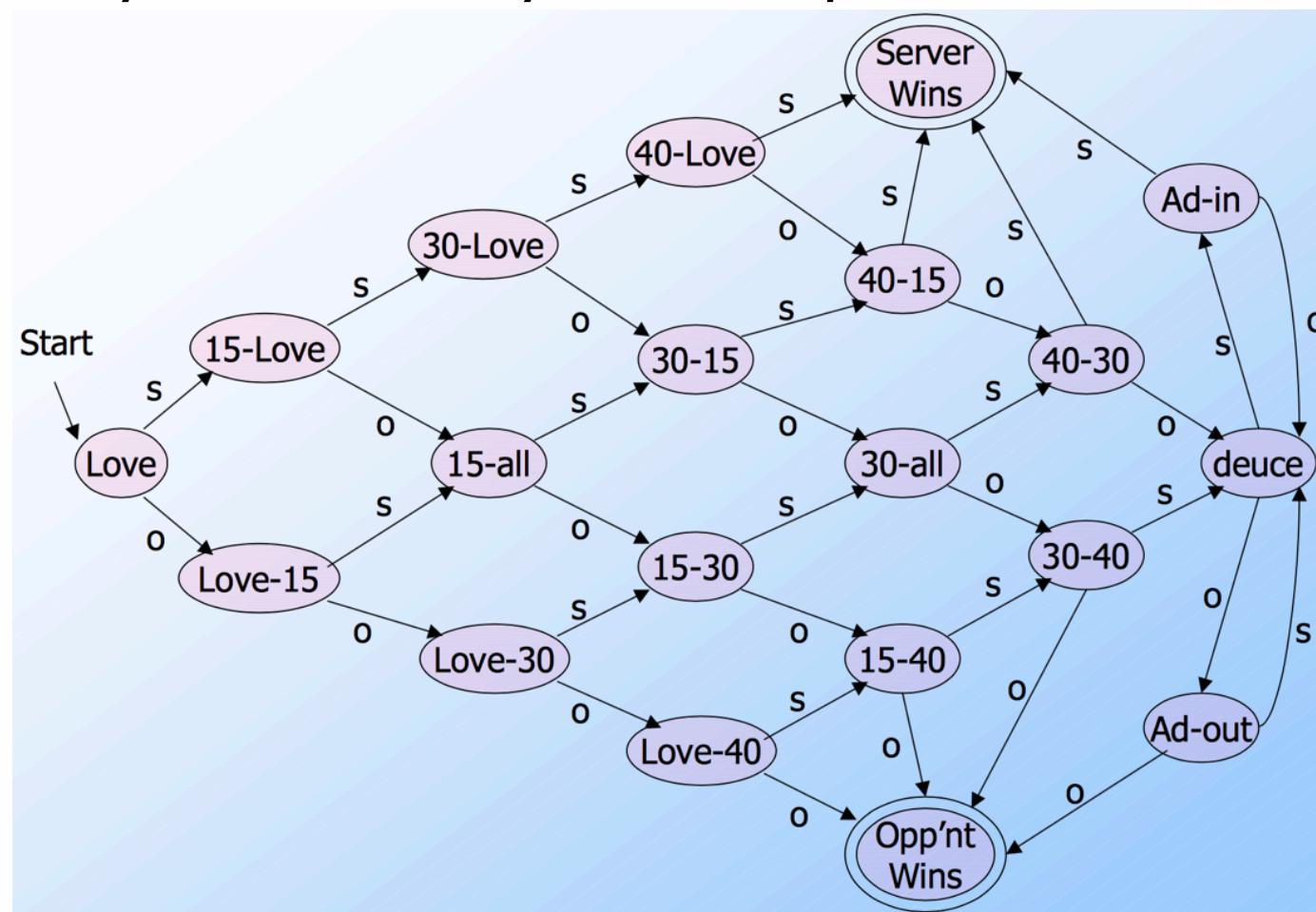


Instructor: Liang Huang

(some slides courtesy of J. Ullman and H. Potter)

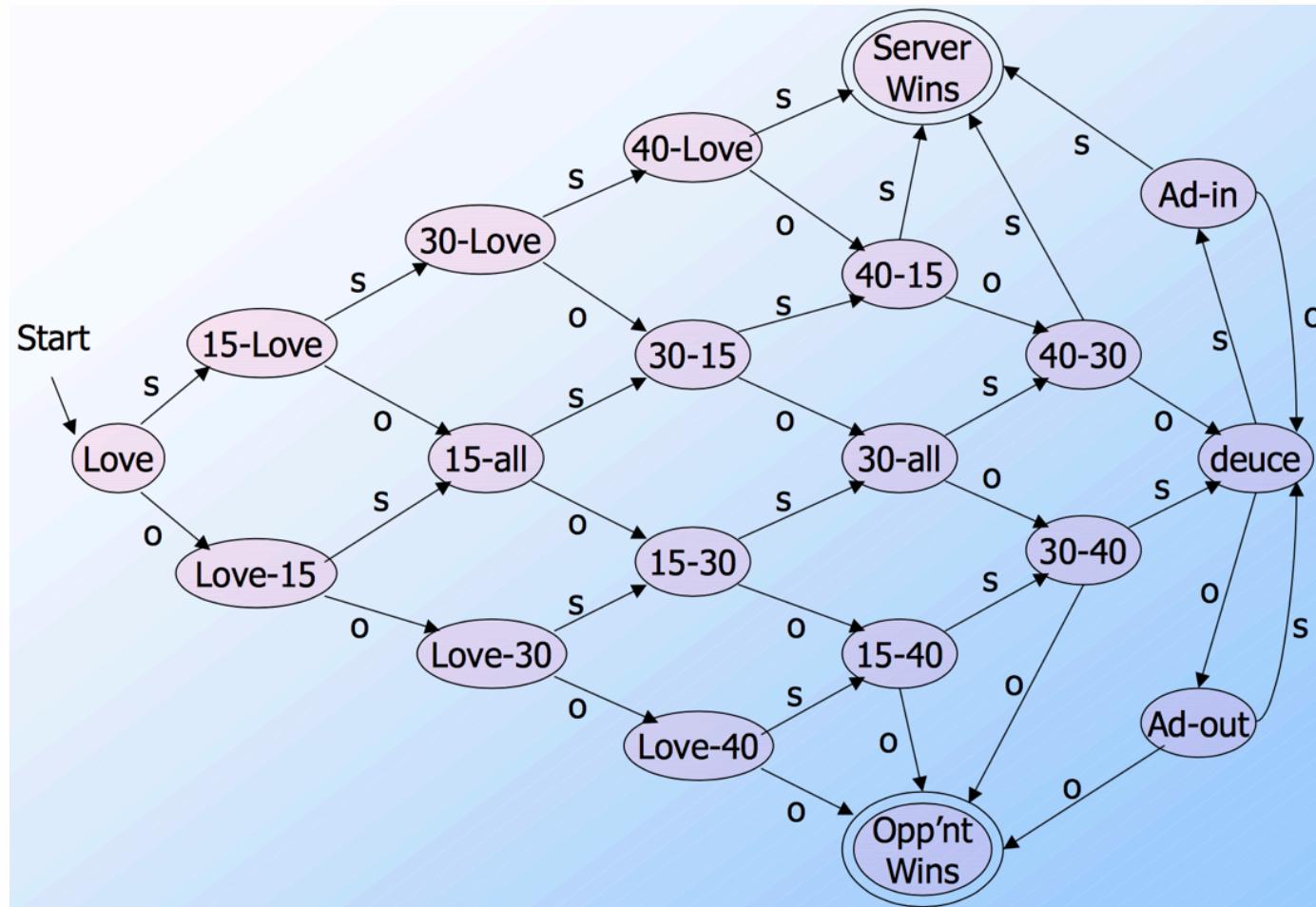
Finite Automata Example

- in Tennis, match = 3-5 sets; set = 6 or more games
- in one game, one person serves throughout
 - to win, you must win by at least 2 points



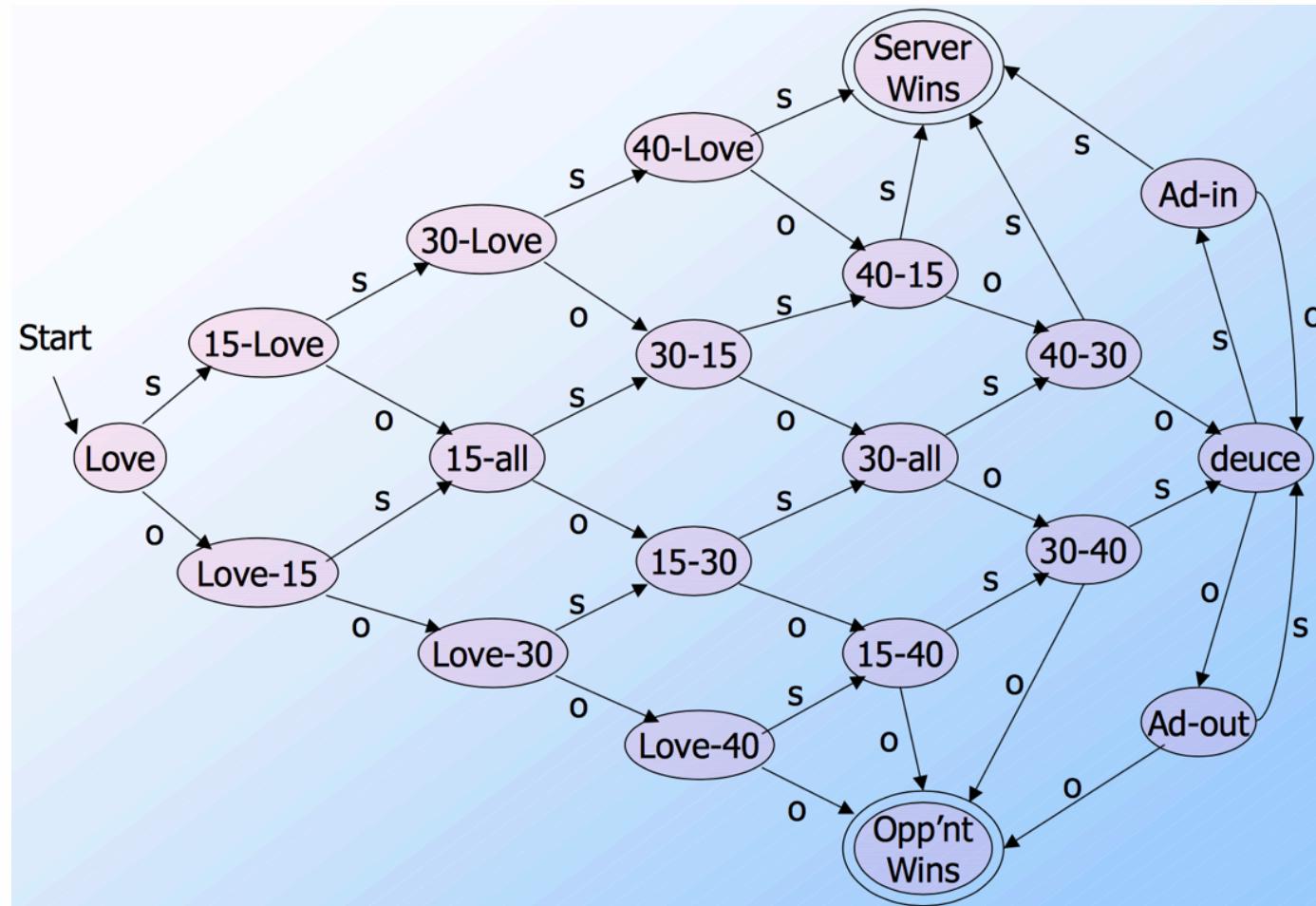
Finite Automata Example

- **states**: encode status info. **finite**: only knows current state
 - state changes in response to **inputs** (s, o in this example)
 - **transitions**: rules for state change. *at state x, on input a, goto state y*

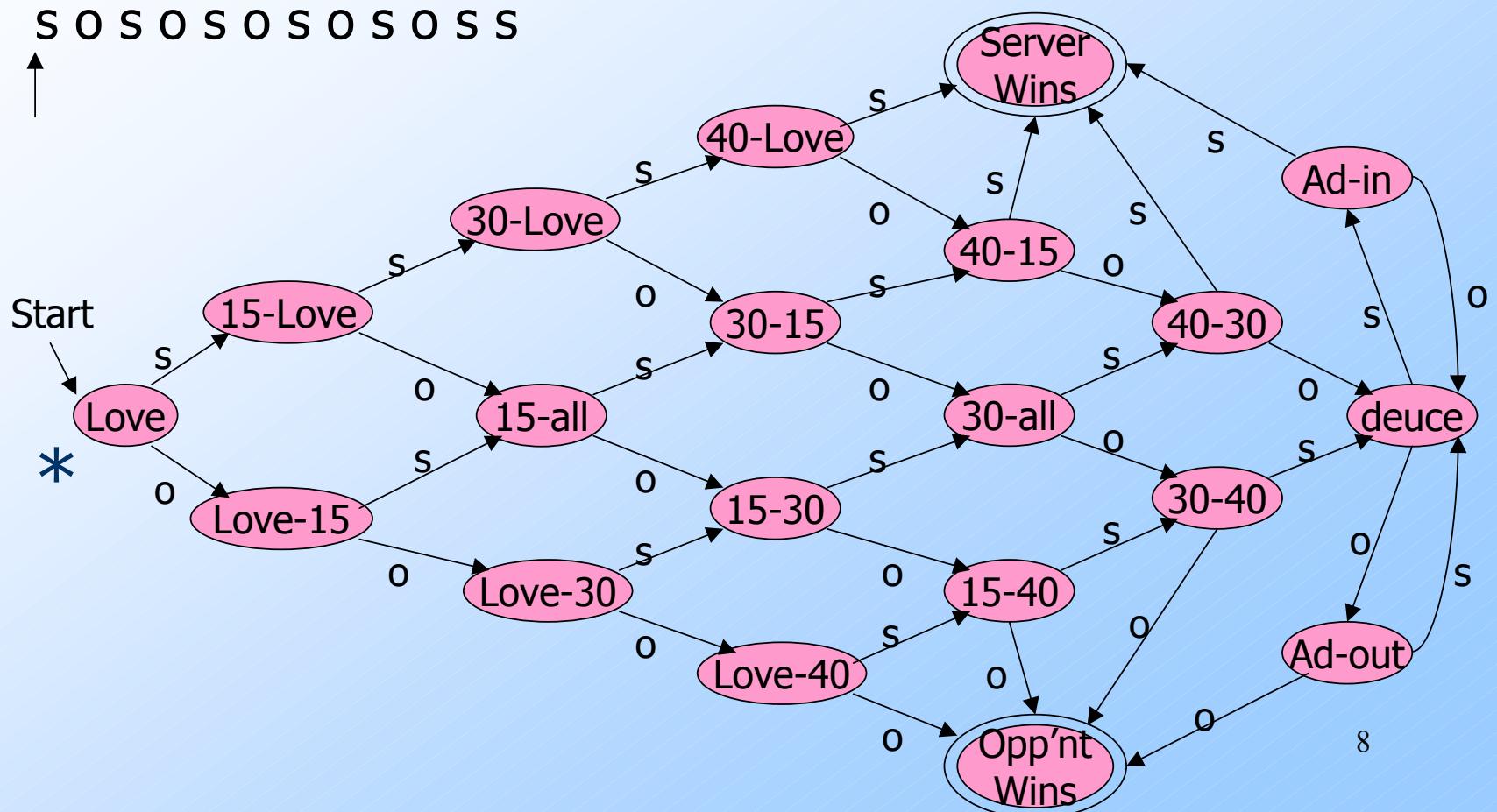


Acceptance/Rejection of Inputs

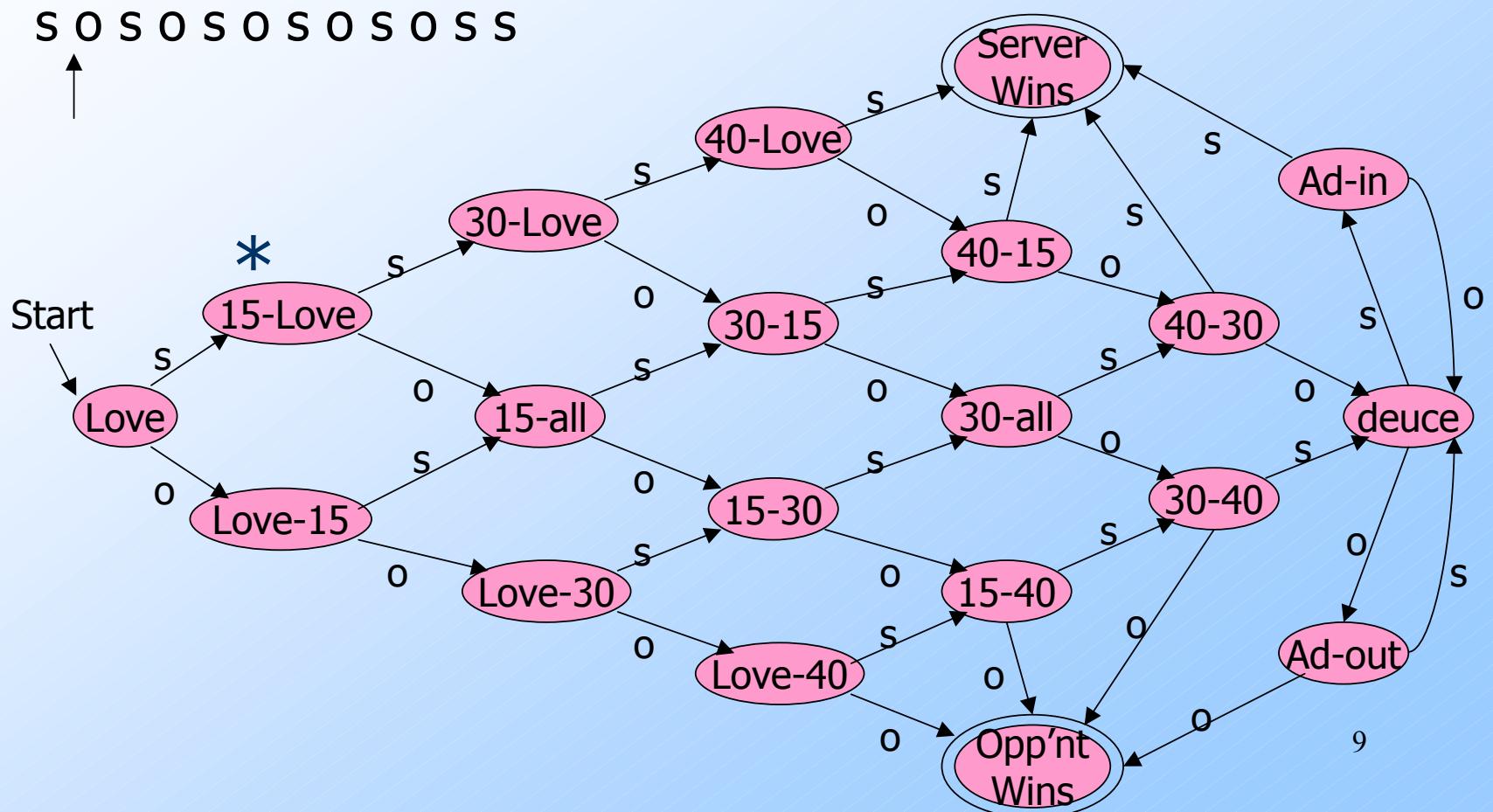
- given an **input string**, start at the start state and follow transitions
- input is **accepted** if you wind up in a final (accepting) state after all inputs have been read; otherwise **rejected**



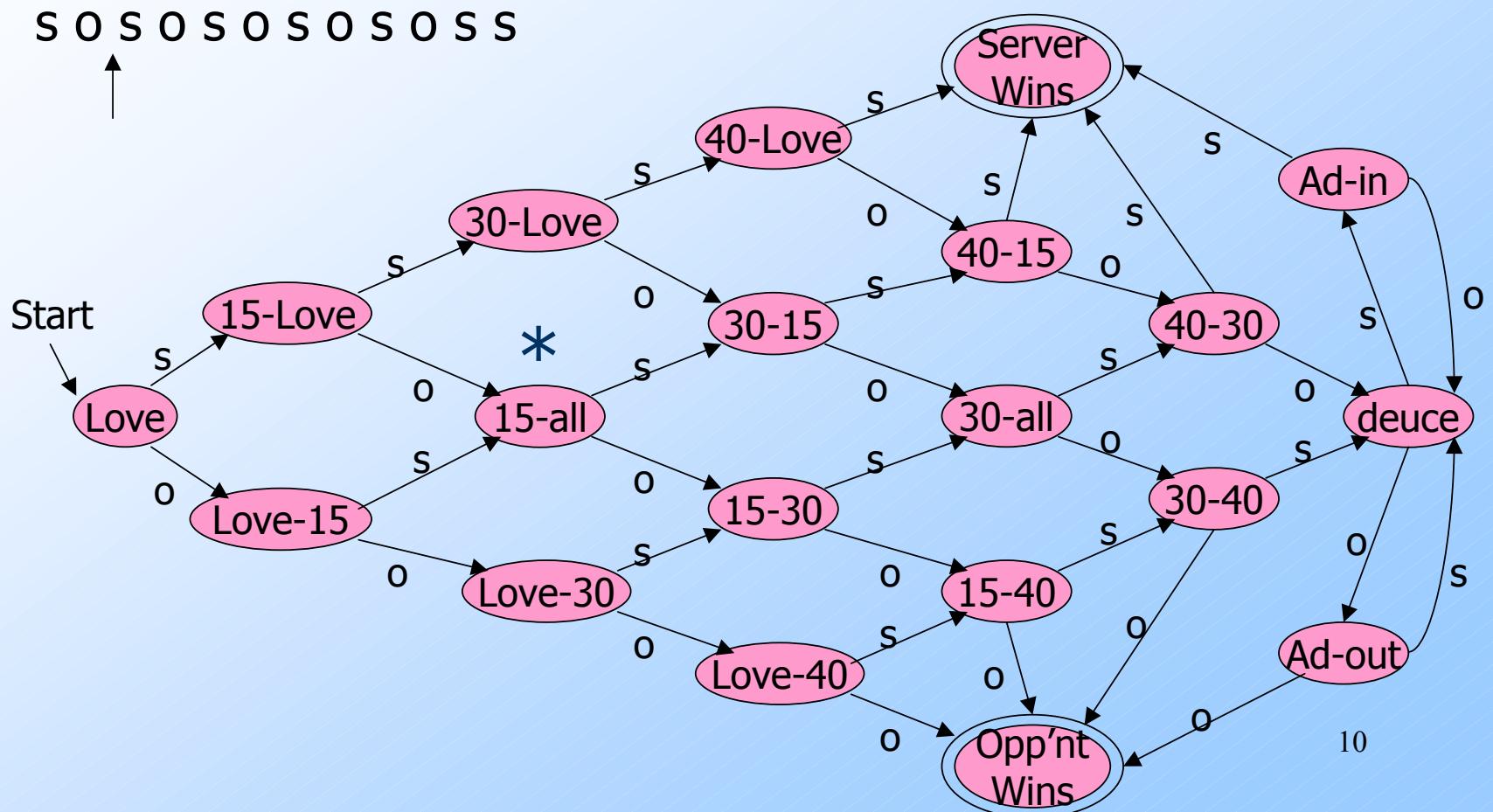
Example: Processing a String



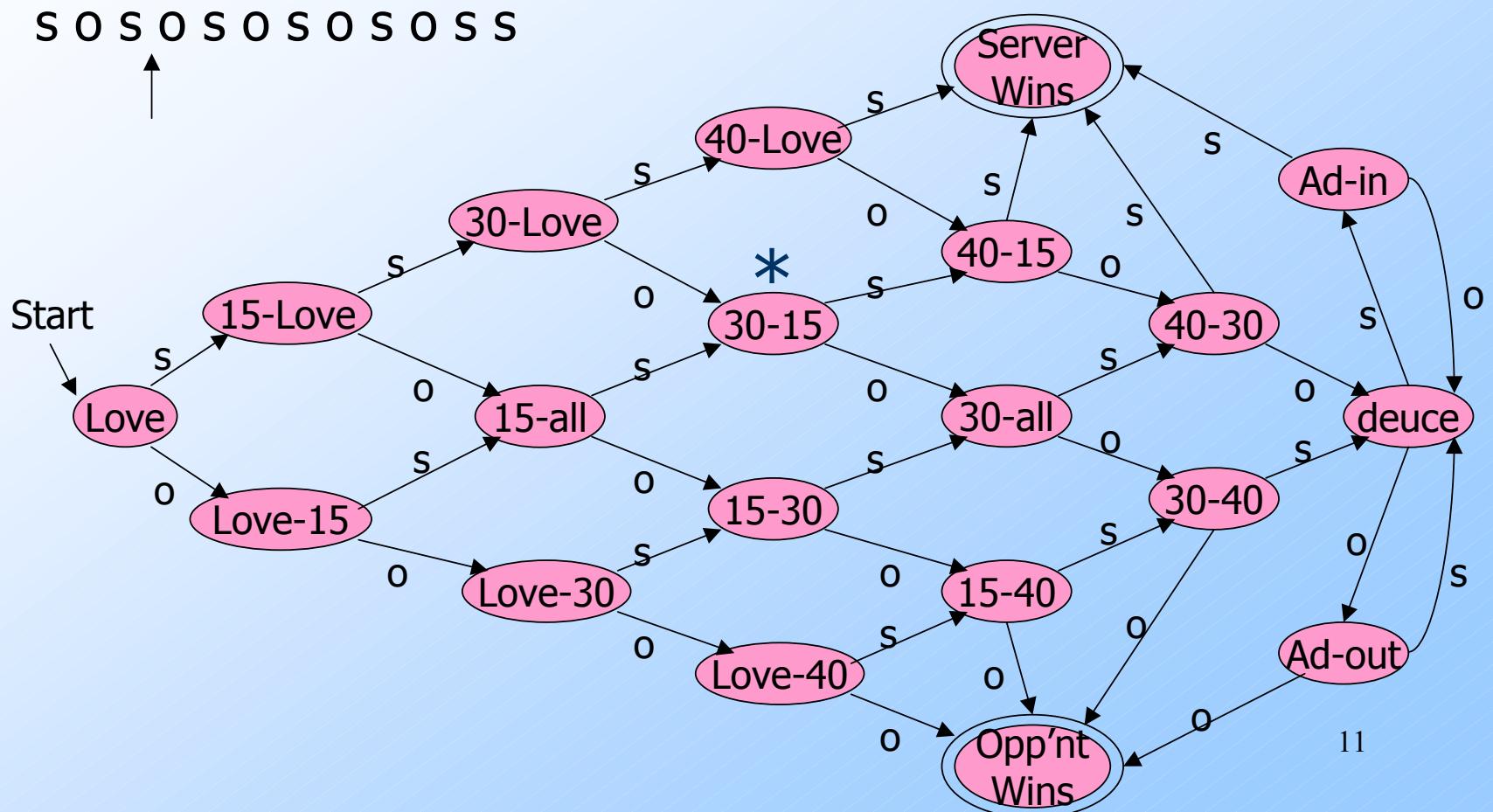
Example: Processing a String



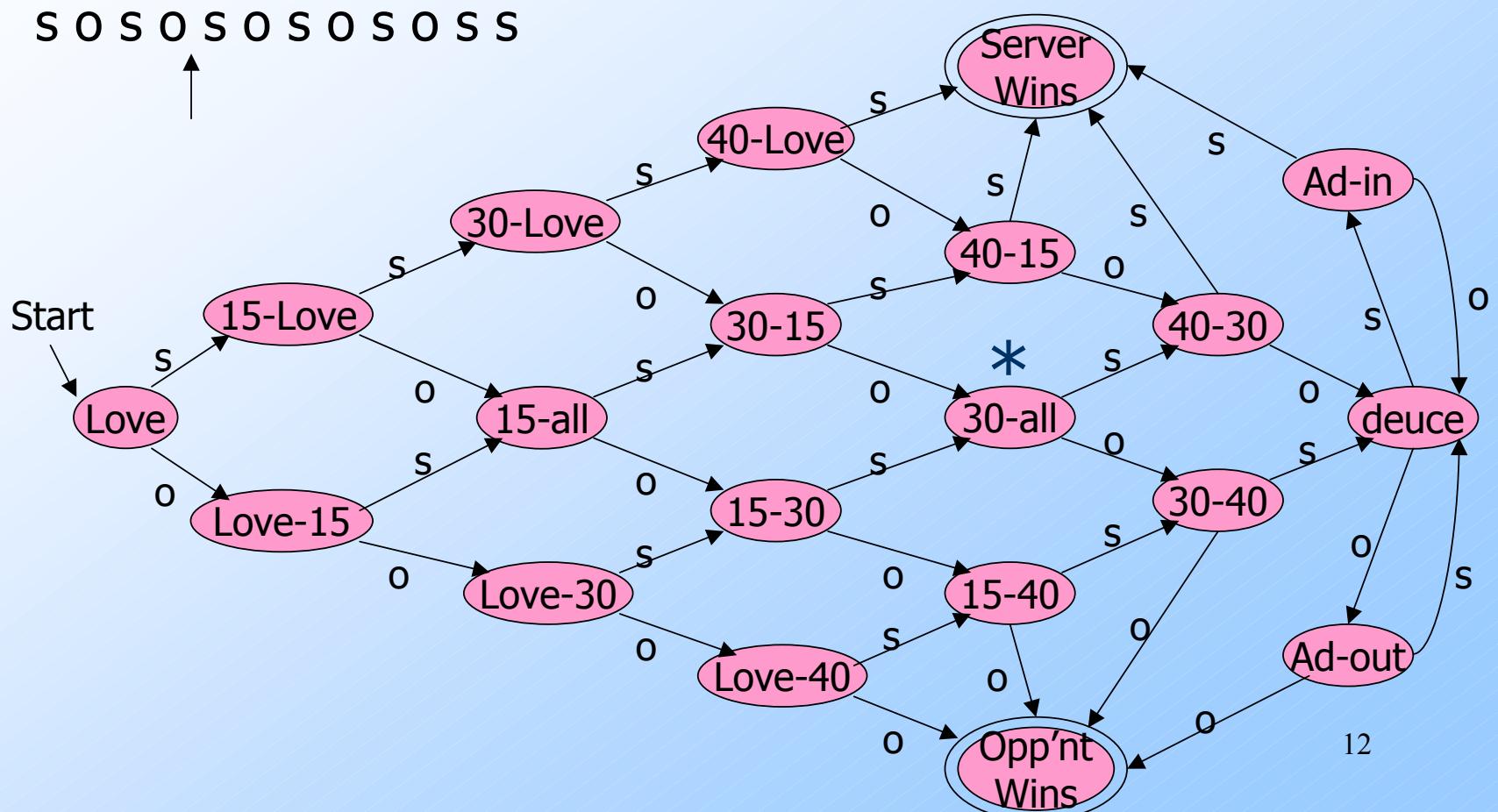
Example: Processing a String



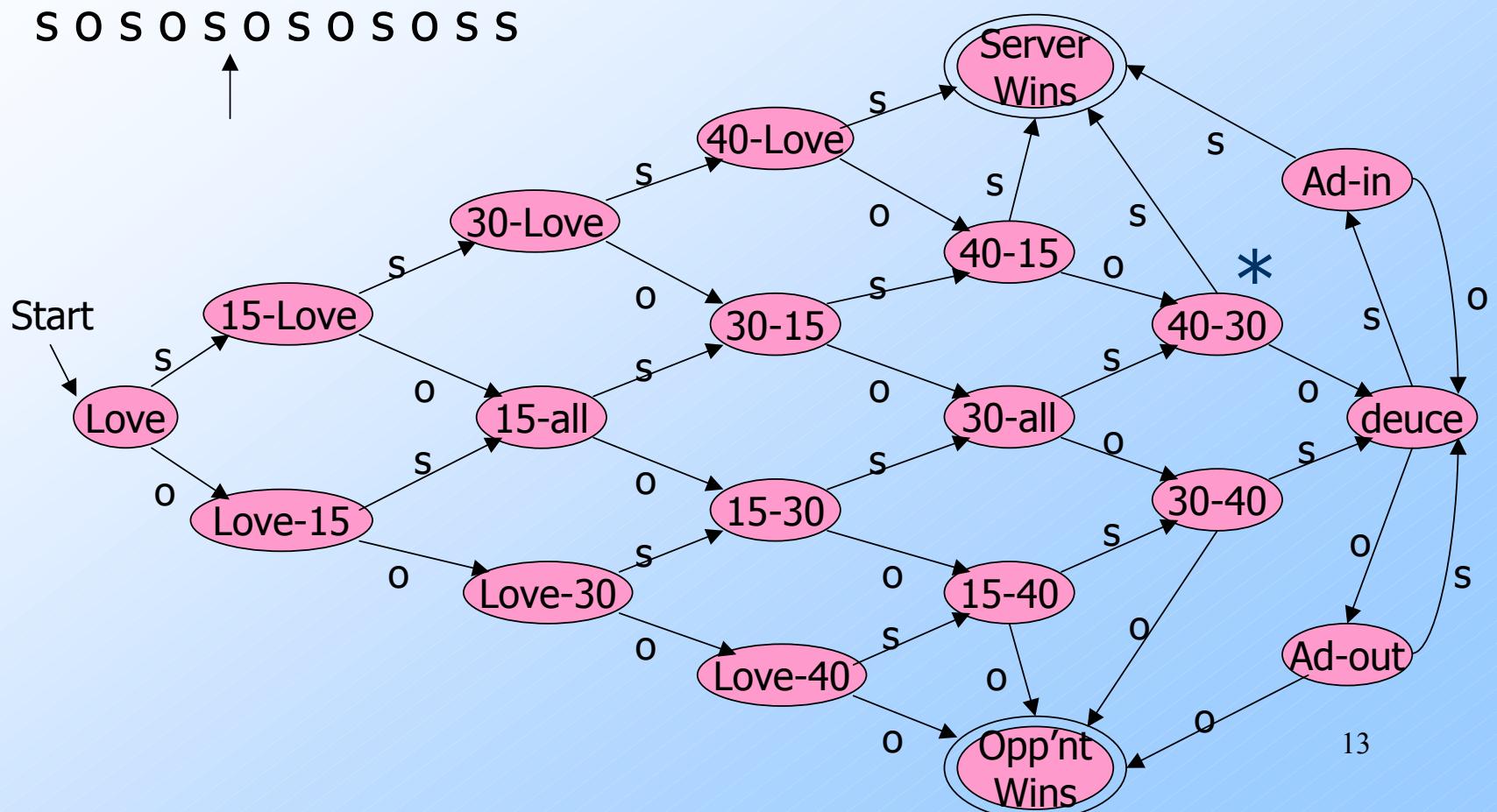
Example: Processing a String



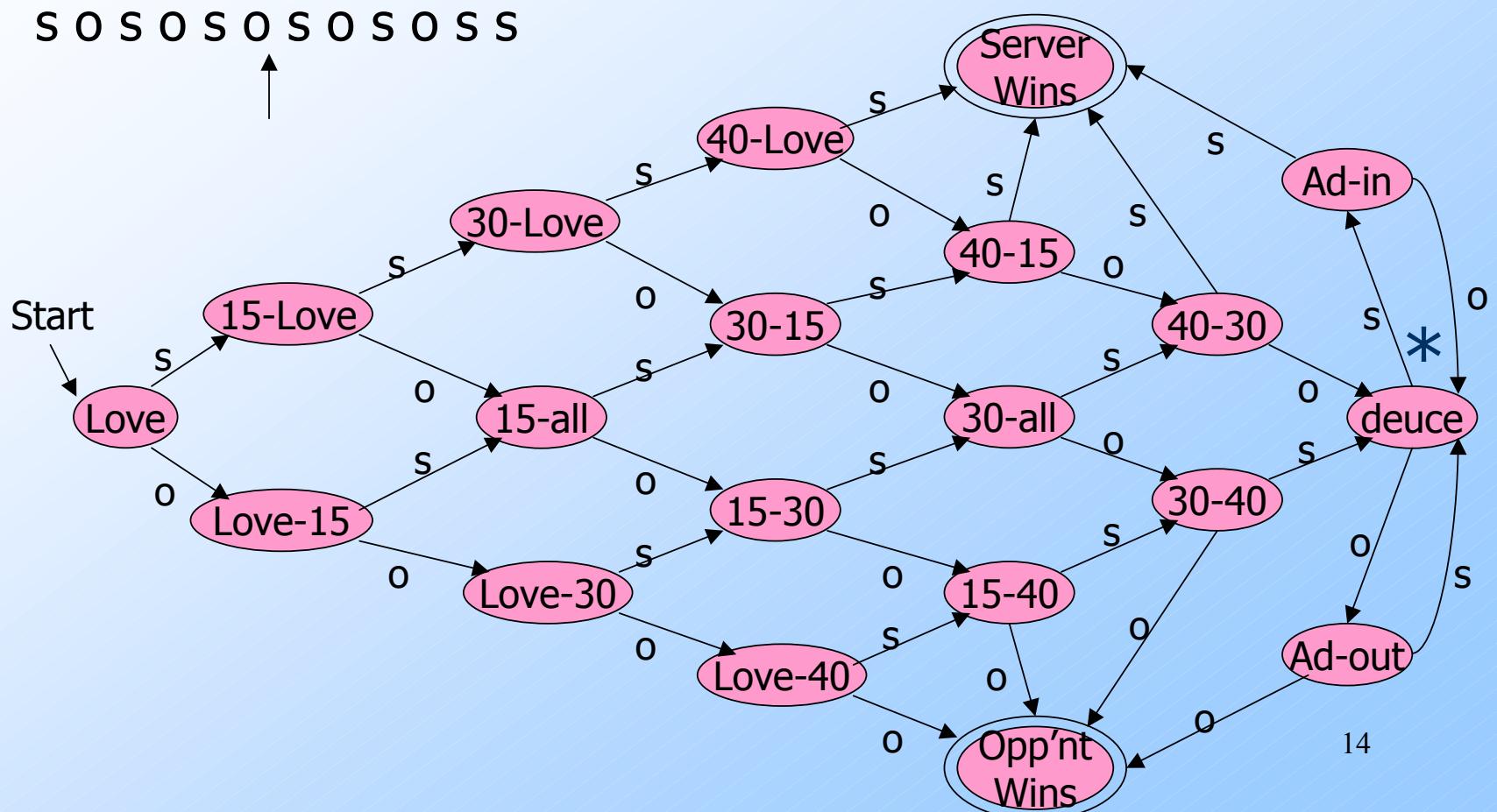
Example: Processing a String



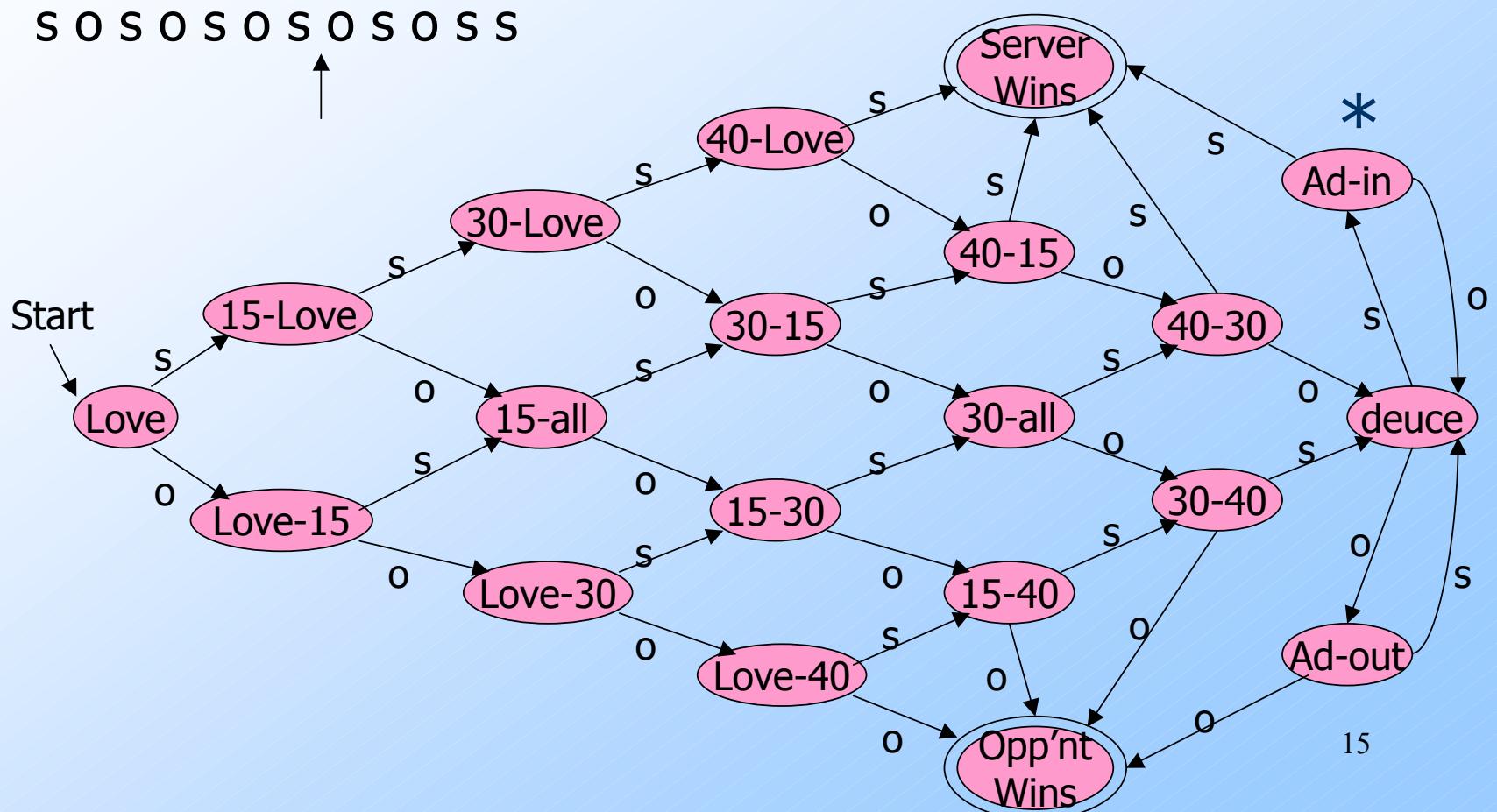
Example: Processing a String



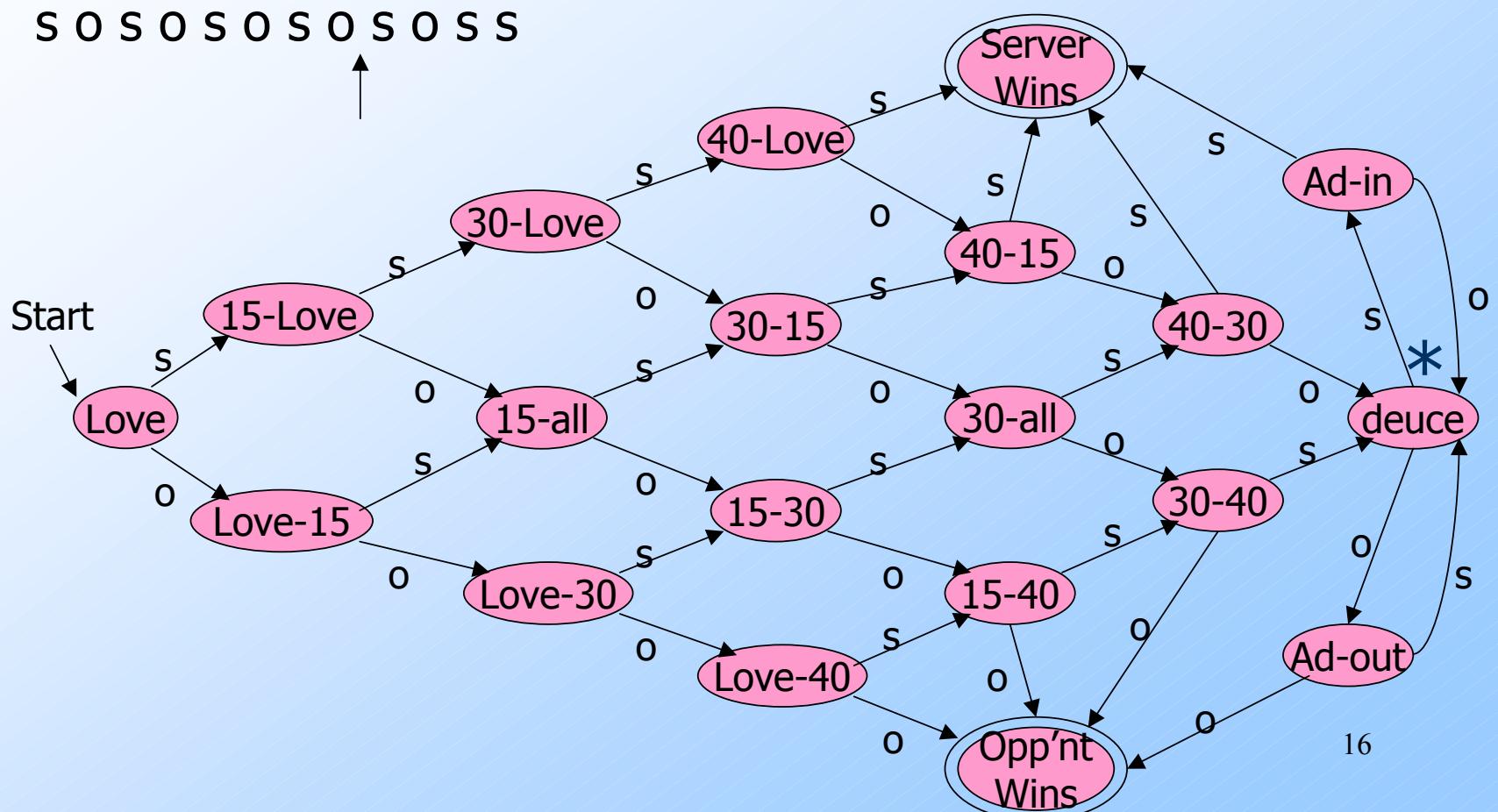
Example: Processing a String



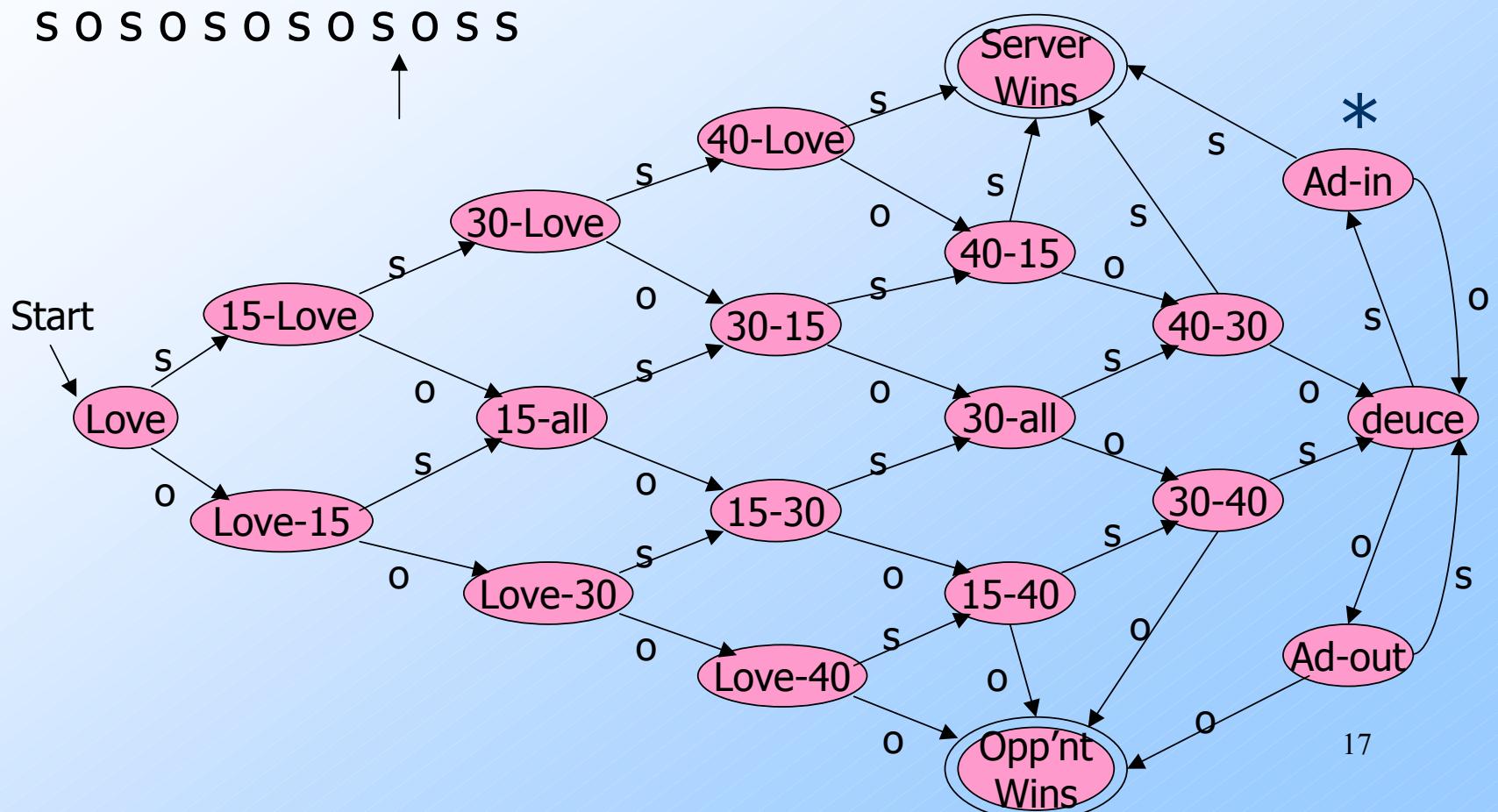
Example: Processing a String



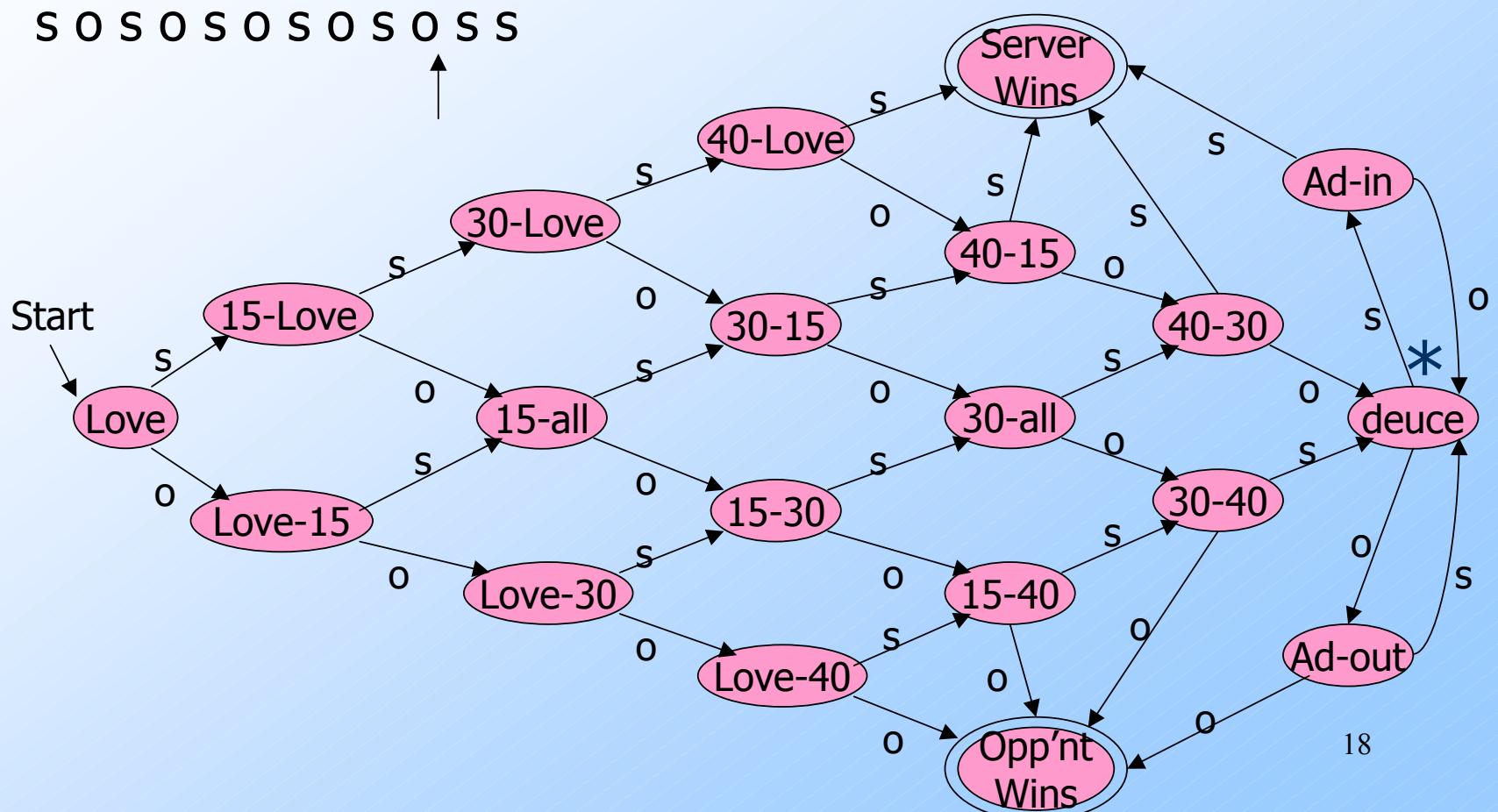
Example: Processing a String



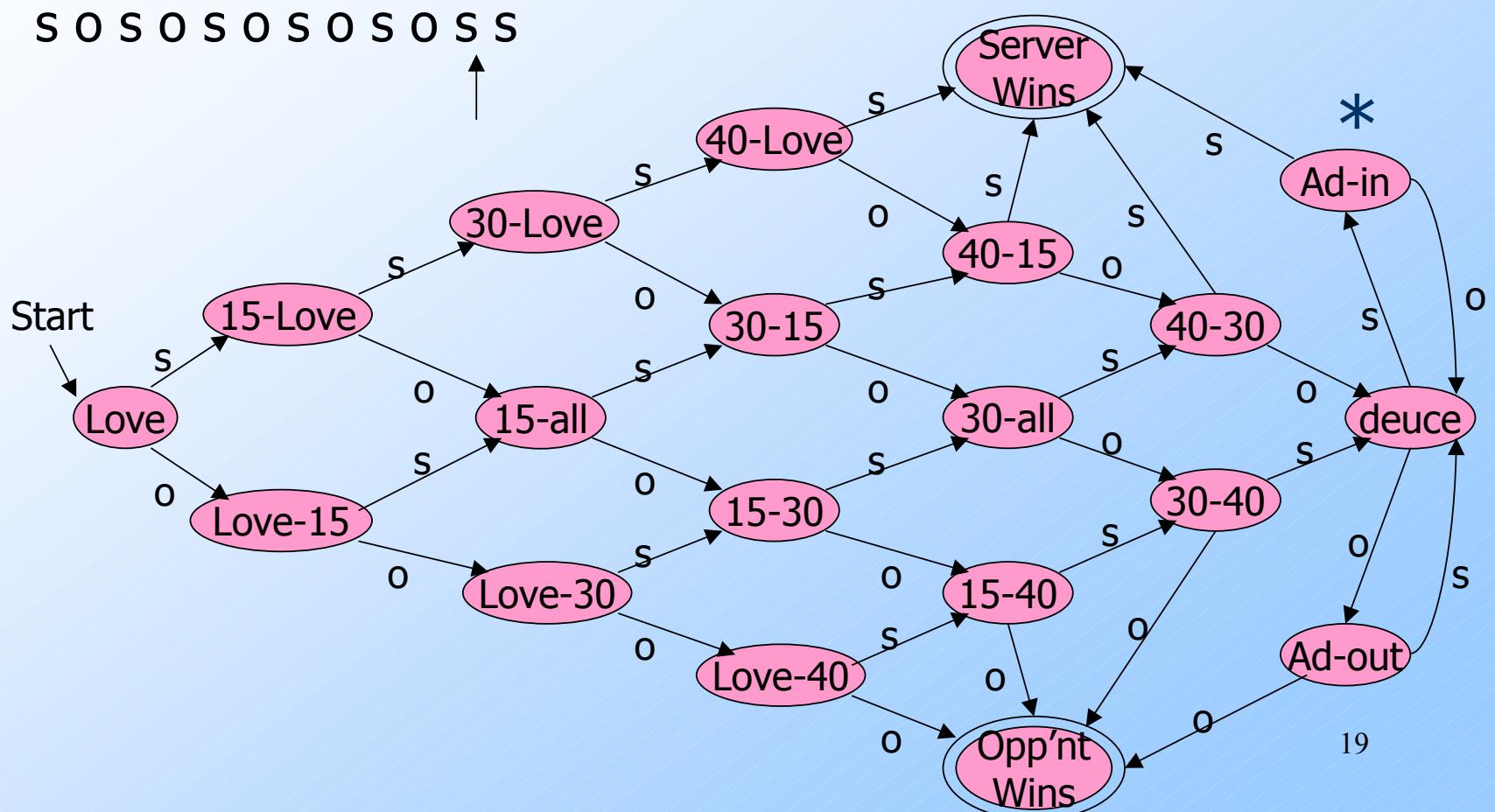
Example: Processing a String



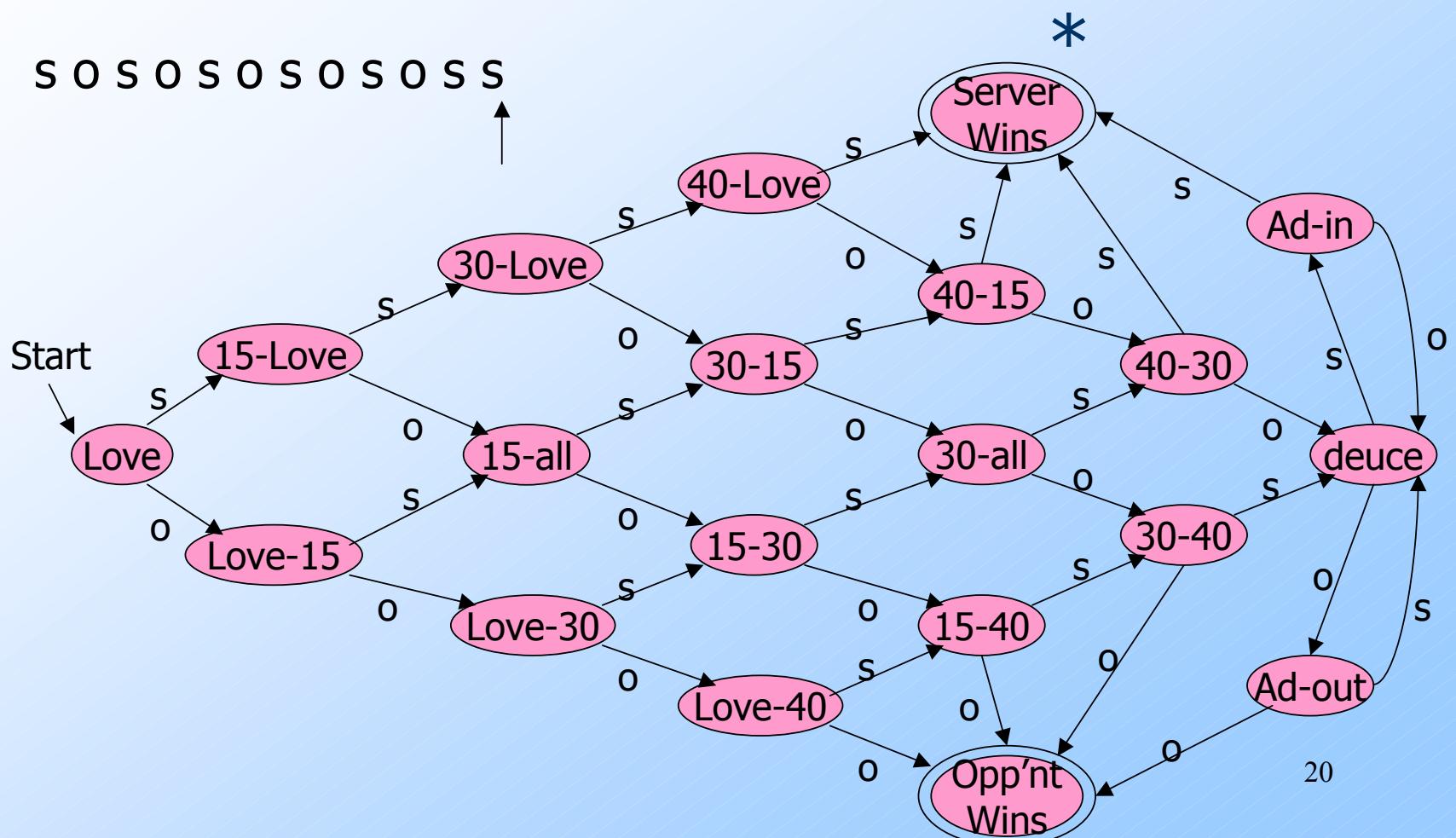
Example: Processing a String



Example: Processing a String



Example: Processing a String



Language of an Automaton

- the set of strings accepted by an automaton A is the **language** of A, denoted $L(A)$
- $L(\text{Tennis}) = \text{strings of } \{s, o\}$ that determine the winner

STRINGS

Σ = Alphabet = Set of Symbols.

$$\Sigma = \{a, b, c, d\}$$

(Always a finite set!)

STRING = A finite sequence of symbols.

baccada

ϵ = Empty string = Epsilon (also ϵ)

Length of a string

$$w = \text{baccada}$$

$$|w| = |\text{baccada}| = 7$$

$$|\epsilon| = 0$$

xy = Concatenation

x^R = Reverse of x

$$\begin{aligned}x &= bac \\y &= cada \\xy &= baccad \\x^R &= cab\end{aligned}$$

Language

"A language is a set of strings."

$$L_1 = \{ab, bc, ac, dd\}$$

$$L_2 = \{\epsilon, ab, abab, ababab, \dots\}$$

The empty string:

ϵ

The empty language:

$$\{\} = \emptyset$$

The language containing only ϵ :

$$L_3 = \{\epsilon\}$$

How to describe languages?

Enumeration $\{b, ac, da\}$

Regular Expressions $c(ab)^*(d|c)$

Context-Free Grammars

Set Notation

$$\{x \mid x \in \dots\}$$

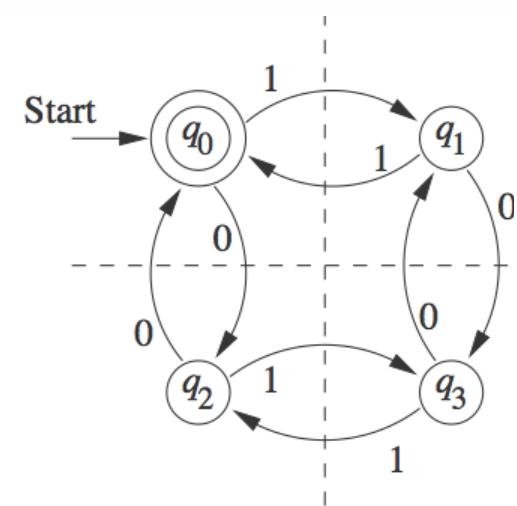
$$S \rightarrow dTd \\ T \rightarrow TT \mid aTb \mid \epsilon$$

Construct Finite Automata for ...

- $L1 = \{ aa, ab, ac, \dots, ba, bb, \dots, zz \}$ (finite)
 - start state, final states
- $L2 = \text{ all letter sequences }$ (infinite)
 - (cycle)
- $L3 = \text{ all alphanumeric strings that start with a letter}$

Construct Finite Automata for ...

- L4 = *all letter strings with at least a vowel*
- L5 = *all letter strings with vowels in order*
- L6 = *all 01 strings with even number of 0's and even number of 1's*



Formal Definitions

Described by a 5-tuple:

$$M = (Q, \Sigma, \delta, q_0, F)$$

Q = Set of States

Finite Number of states

Σ = Alphabet, a Finite Set of Symbols

δ = The TRANSITION FUNCTION

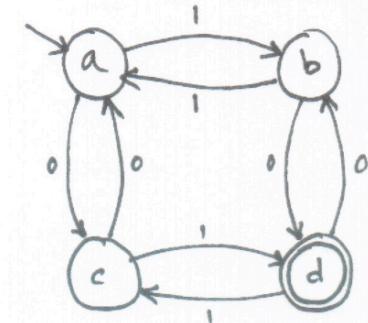
$$\delta: Q \times \Sigma \rightarrow Q$$

q_0 = The STARTING STATE

$q_0 \in Q$ (or "INITIAL" STATE)

F = The set of ACCEPT states
(or "FINAL" STATES)

$$F \subseteq Q$$



$$Q = \{a, b, c, d\}$$

$$\Sigma = \{0, 1\}$$

$$\delta = \begin{array}{c} a \\ \hline \{d\} \end{array}$$

$$F =$$

Symbol

States

	0	1
a	c	b
b	d	a
c	a	d
d	b	c

Language, String, Machine

"THE LANGUAGE THAT M ACCEPTS IS A ."

"THE LANGUAGE OF M "

" M RECOGNIZES A ." ← yes

" M ACCEPTS A ." M accepts/rejects Strings

THE EMPTY STRING
 ϵ (epsilon, ϵ)

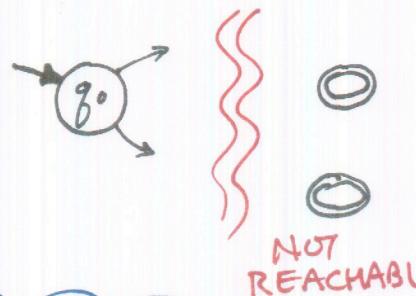
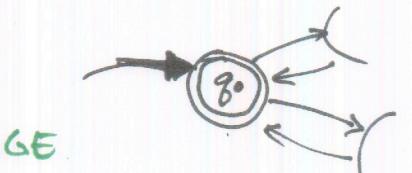
THE EMPTY LANGUAGE

$$\emptyset = \{\}$$

NOTE:

$$\{\epsilon\} \neq \emptyset$$

$$\epsilon \neq \emptyset$$



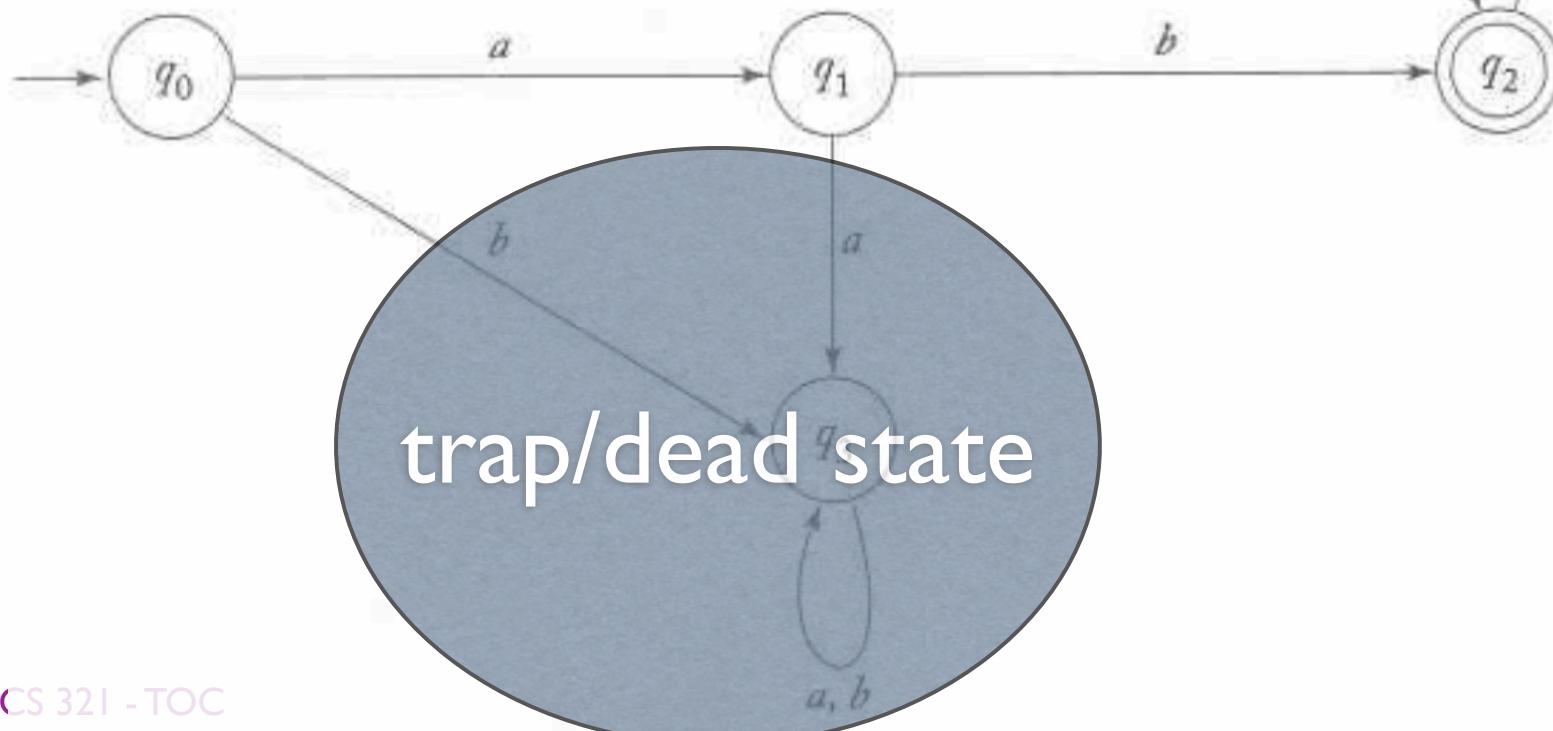
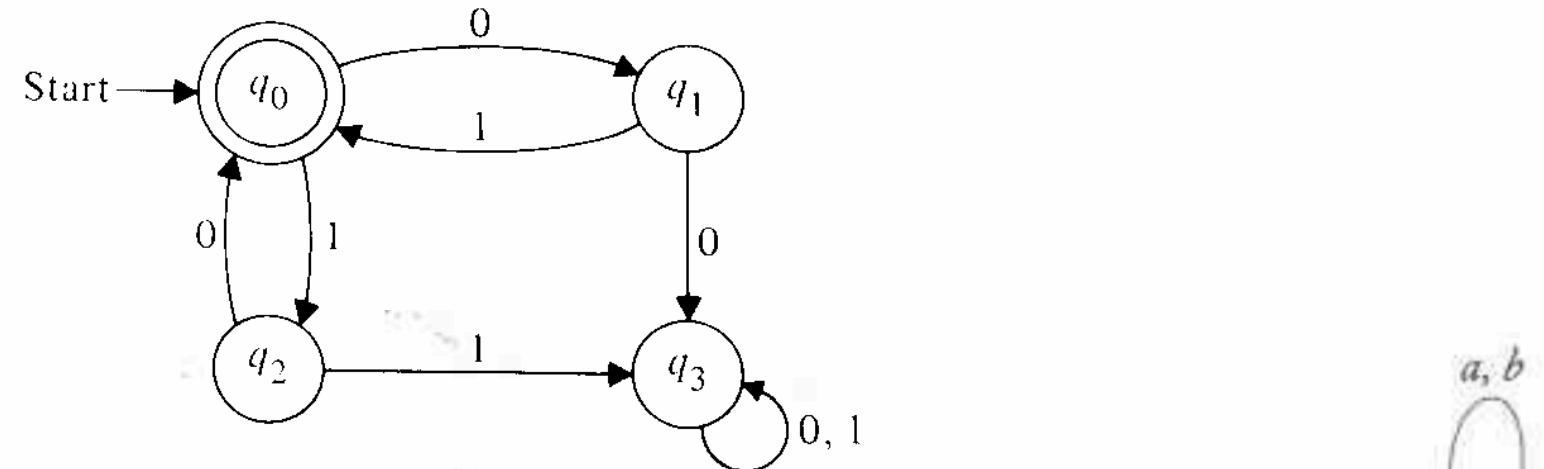
If a machine accepts NO strings
then it recognizes
the EMPTY LANGUAGE

does M accept any string?

does M accept empty string?

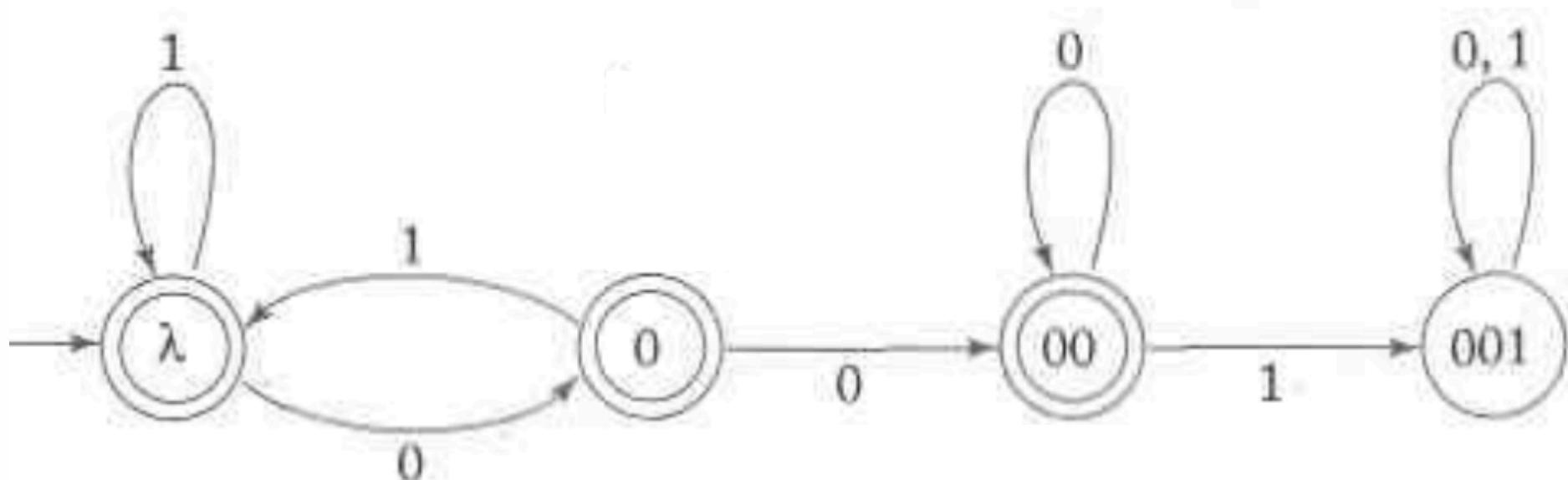
does M recognizes the
empty language?

What's the language of ...



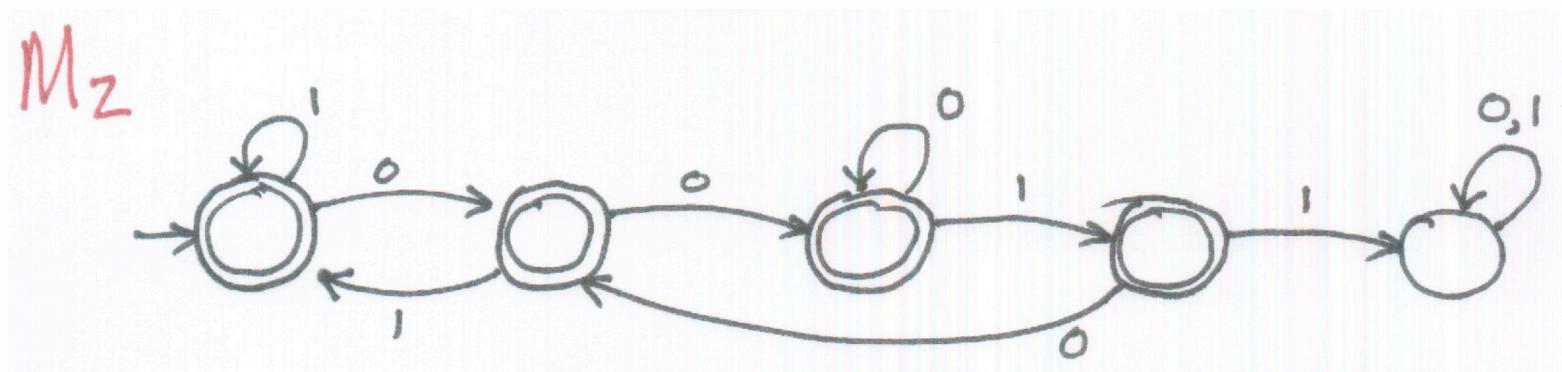
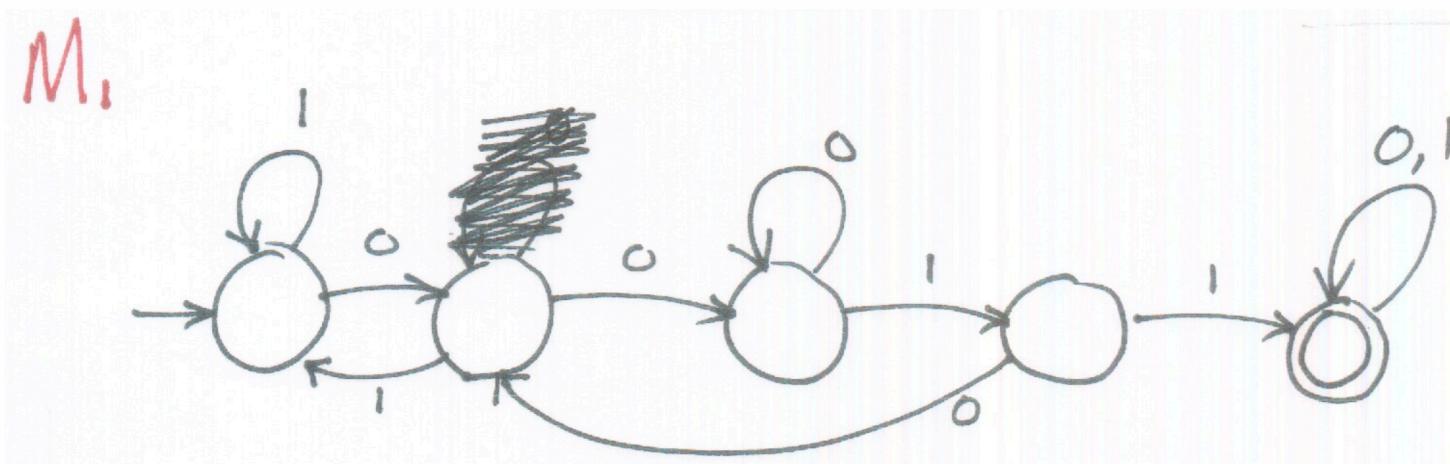
Construct Finite Automaton for ...

- any string that does not contain 001 in it
- try simpler problem: a string that does contain 001



Construct Finite Automaton for ...

- any string that does not contain 0011 in it
- try simpler problem: a string that does contain 0011



Compliment Language

Notation

$L(M_1)$ = The language that M_1 recognizes.
= The set of strings over $\{0,1\}^*$
that contain 0011 as a substring.

$L(M_2)$ = The set of strings over $\{0,1\}^*$
that do not contain 0011.

COMPLIMENTING A LANGUAGE

They are sets, after all.

$$L(M_1) = \overline{L(M_2)}$$

just flip final/non-final!

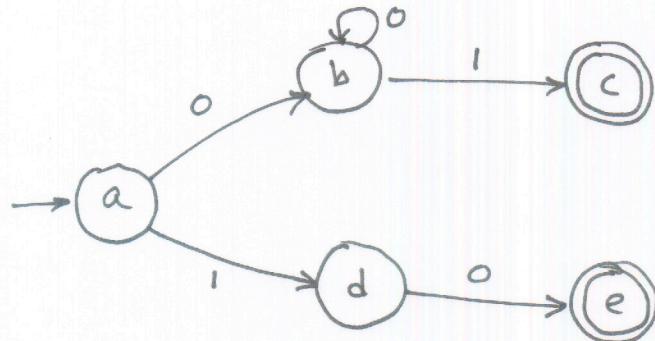
The "Universe"
All possible strings made with
symbols from the alphabet.

$$\Sigma = \{0,1\} \quad \text{Universe} = \{0,1\}^*$$

Set compliment is always relative
to some Universe; (implicitly).

Dead States Formally Defined

WHAT DOES THIS F.S.M. RECOGNIZE?



Recognizes 10

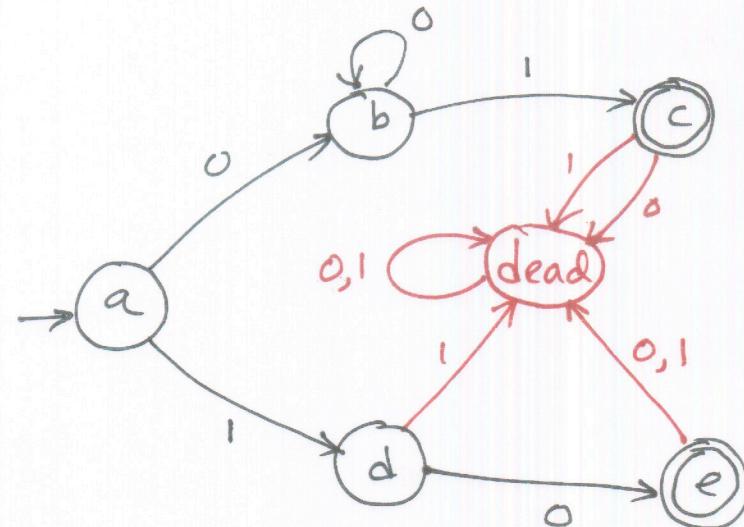
Also 01, 001, 0001, ... 0^+1

$L = \{w \mid w \text{ is either } 10 \text{ or a string of at least one } 0 \text{ followed by a single } 1\}$

What about

111 } What happens?
1010 }

DEAD STATES



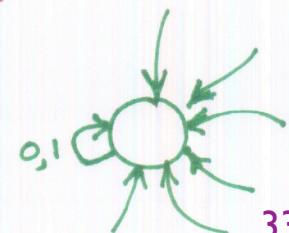
δ is a function

FORMALLY, must be defined

$$\delta(c, 1) = ?$$

If some transitions are missing,
add a dead state.

(Often, prefer not
to show dead
state.)



Formal Definition of Computation

Let $M = (Q, \Sigma, \delta, q_0, F)$

Let $w = w_1 w_2 \dots w_N$ be a string
where $w_i \in \Sigma$

M accepts w if there is a sequence of states

$r_0, r_1, r_2, \dots, r_N$ in Q

such that

$$r_0 = q_0$$

$$\delta(r_i, w_{i+1}) = r_{i+1} \quad \text{for } 0 \leq i \leq N$$

$$r_N \in F$$

We say...

M "recognizes" Language A
if $A = \{w \mid M \text{ accepts } w\}$