Machine Learning for Ecological Science and Environmental Policy

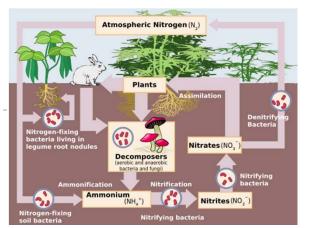
Tom Dietterich, Rebecca Hutchinson, Dan Sheldon Oregon State University

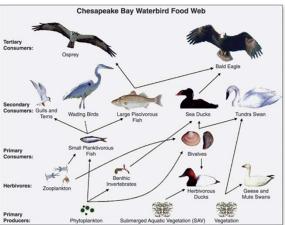
Introduction

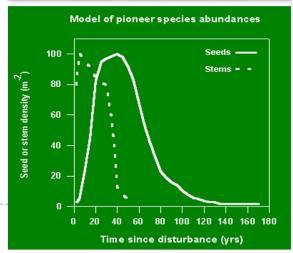
Ecological Science

- Processes governing the function and structure of ecosystems
 - Flows of energy and nutrients
 - Sunlight, water, carbon, nitrogen, phosphorus
 - Species distribution and interaction
 - □ Reproduction, Dispersal, Migration, Invasion
 - □ Competition, Food Webs, Mutualism
 - Non-equilibrium systems: Continual disturbance and system resilience
 - ☐ Many species depend on disturbances

Wikipedia; http://www.plantbio.uga.edu/~chris/wind.html



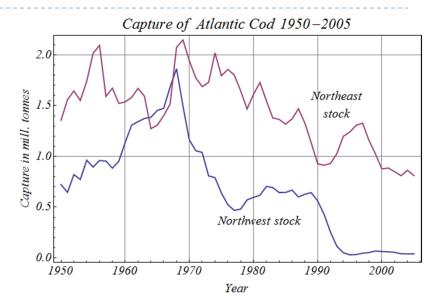




Introduction

Environmental Policy

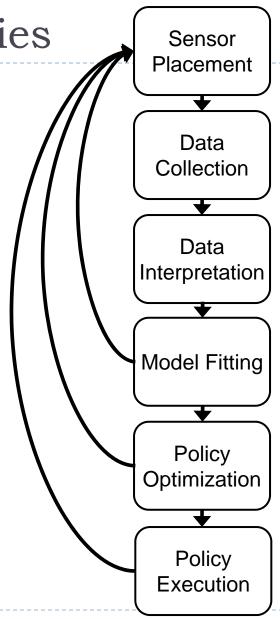
- Natural resource management
 - Fisheries
 - Forestry
 - Water resources (rivers, aquifers, estuaries)
- Conservation biology
 - Reserves and conservation easements
 - Endangered species
 - Endangered ecosystems
 - Invasive species management





Data → Models → Policies

- Data Acquisition
 - Sensor Placement
 - Data Interpretation
- Model Fitting
 - Species Distribution Models
 - Dynamical Models
- Policy Optimization
 - MDPs
 - **POMDPs**
 - Network cascades



Unique Aspects

Heterogeneity

- Physical quantities (nutrients, temperature, wind)
- Organisms and species (viruses, bacteria, fungi, plants, animals)
- Spatial Scale (inside a single organism, watershed, continent, planet)

Hidden dynamics

- Virtually all interactions are not directly observed
- Observations are noisy and incomplete
- Most movement (dispersal, migration) is not directly observed
- Non-stationary dynamics: climate change, land-use change, evolution

Optimization wrt learned dynamic models

- Large spatio-temporal MDPs
- Essential POMDPs
- Need for robust solutions
 - poorly-modeled dynamics
 - politics

Goals for the Tutorial

- Review the primary data sources, model types, and machine learning problems that arise in ecological science and environmental policy
- Provide examples of current ML work in each of these areas
- Point out open problems and opportunities for additional ML contributions
- Provide pointers to data sets and relevant literature

Outline

Data Acquisition

- Sensors: Physical sensors, human observers, repurposing data from other sources
- Data interpretation: Extracting signals from data

Ecological Models

- Species Distribution Models
- Dynamical Models: Dispersal, Migration, Invasion, Climate Change

Policy Optimization

- Conservation: Reserve design, Network design
- Invasive species: Eradication, restoration, monitoring
- ► Fisheries: Managing harvest levels

Part 1: Data Acquisition

Data Sources

- Instruments placed in the environment:
 - Weather stations: temperature, wind direction, wind speed, solar radiation, relative humidity, snow depth, precipitation
 - Stream gauging stations: water flow rate, temperature, height
 - Isotope and dye studies: Carbon, Nitrogen, Phosphorous
 - ▶ RFID tags: Fish
 - Radio collars: mammals and birds
 - Acoustic monitoring
 - ☐ Birds, insects, bats, whales



wildlifeacoustics.com









atstrack.com

Data Acquisition: Human Observation

▶ Trapping and identification

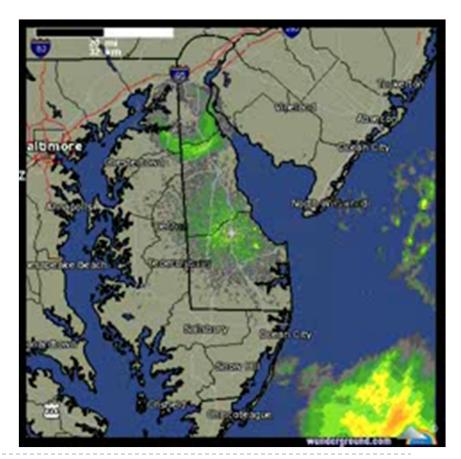
- Insect traps: emergence, malaise, UV light
- Electro-fishing
- Kick nets
- Volunteers
 - Bird sightings
 - Whale observations





Data Acquisition: Repurposing Data Gathered for Other Purposes

- Repurposing information gathered for other purposes
 - Fish catch data
 - Doppler weather radar

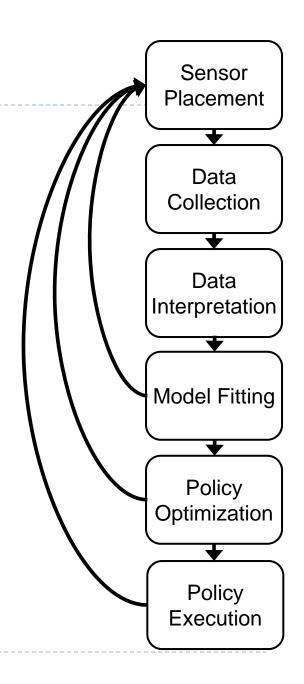


Data Acquisition: Remote Sensing

- Satellite-borne Sensors
 - Landsat 7
 - ▶ 15m resolution; whole planet coverage every 16 days
 - MODIS
 - ▶ 250m-1km resolution; whole planet coverage every 1-2 days

Sensor Placement

- Where should we place sensors to gain the best information for...
 - improving our models
 - improving our policies
 - guiding policy execution
- Related questions in ML
 - Active Learning
 - Exploration in RL
 - Optimal POMDP policies



Basic Case: Spatial Sensor Placement for Real-Valued Function f [Krause, et al., 2008]

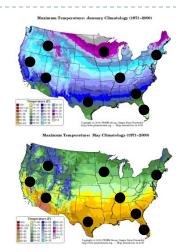
- Examples: Temperature, Rainfall, Nutrient Density, Pollutant Density
 - Goal: At each time t, we will observe the sensor readings (at the chosen locations) and estimate the complete spatial map of the target function f



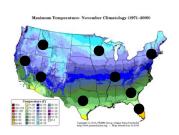
- Initial model or initial set of observations
- ▶ Budget: # of sensors k

Find:

 Locations at which to put the sensors in order to best estimate the function at future times

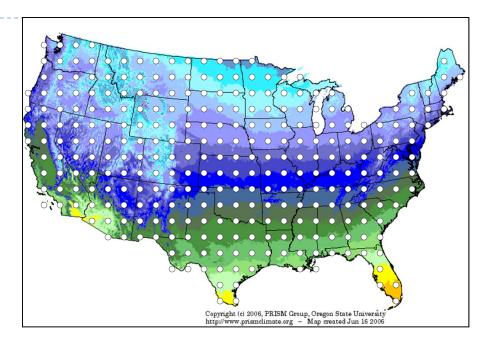






Approach

- Discretize space:
 - Let S be a set of points $(s_1, ..., s_N)$
 - where sensors can be placed
 - where we will make predictions
- Assume joint Gaussian
 - $f(s_1), ..., f(s_N)$ Norm(μ , Σ)
 - \blacktriangleright where μ has dimension N and
 - \triangleright Σ has dimension $N \times N$
- lacktriangle Use the initial observations to estimate Σ
- Choose an objective function J(A) for evaluating the quality of a set of sensor locations A
- Formulate an optimization problem to choose a set $A \subset S$ of size k that optimizes J(A).



What Criterion to Optimize?

Mutual information between sensed and un-sensed locations:

$$J(A) = H(X_{S \setminus A}) - H(X_{S \setminus A} | X_A)$$

where H(X) is the entropy of the joint distribution P(X)

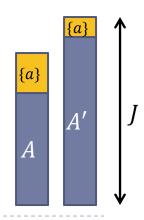
- I measures the reduction in uncertainty about $S \setminus A$ given the observations at A.
- Easy to compute for multivariate Gaussians

Rationale:

- empirical: gives good results
- computational/analytical: objective is sub-modular
 - Greedy Algorithm with provable bounds

Submodularity:

J is submodular if forall $A \subseteq A'$ and all $a \in S \setminus A'$, $J(A \cup \{a\}) - J(A) \ge J(A' \cup \{a\}) - J(A')$ "diminishing returns of adding a"



Greedy Algorithm

- ▶ Input:
 - ▶ Sites: *S*
 - Number of sensors: k
 - Estimated covariance matrix of joint Gaussian: Σ
- ▶ Output: sensor locations $A \subset S$, |A| = k
- begin
 - $A \leftarrow \emptyset$
 - for j = 1 to k do
 - $a^* \leftarrow \underset{a \in S \setminus A}{\operatorname{argmax}} J(A \cup \{a\})$
 - $A \leftarrow A \cup \{a^*\}$
- end

Analytical Bound

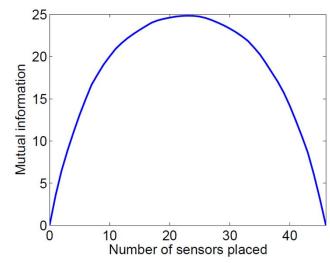
Monotonicity assumption:

$$\forall a \in S \setminus A \quad J(A \cup \{a\}) > J(A) + \epsilon$$

Let \hat{A} be the greedy solution and A^* be the optimal solution

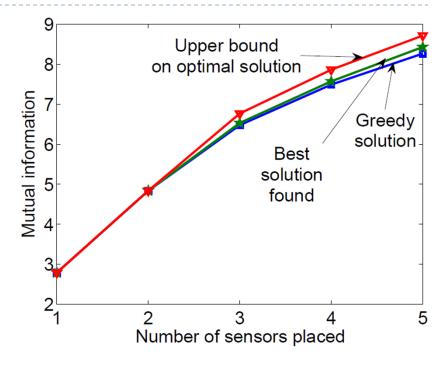
$$J(\hat{A}) \ge \left(1 - \frac{1}{e}\right)J(A^*) - k\epsilon$$

Assumption will hold if S is discretized sufficiently finely



Experimental Accuracy

- Theoretical bound is 63.2% of optimal
- Greedy algorithm is closer to 95% of optimal in this case



Intel Berkeley Temperature Sensors

Further Work

Extensions:

- Differential costs
- Cost of wireless network communications
- Robustness to failed sensors
- ightharpoonup Robustness to uncertainty in initial model of Σ

Data Interpretation

- Extracting high level interpretation from low-level sensor data
 - Example I: Arthropod Population Counting
 - Example 2: Finding Swallow Roosts in Doppler Weather Radar

Arthropod Population Surveys

- Arthropods are a powerful data source
 - Found in virtually all environments
 - > streams, lakes, oceans, soils, birds, mammals
 - Provide valuable information on ecosystem function
 - Standard tool for evaluating stream health in EPA biomonitoring and stream restoration efforts
- Problem: Identification is timeconsuming and requires hard-to-find expertise
- Solution: Combine robotics, computer vision, and machine learning to automate classification and population counting







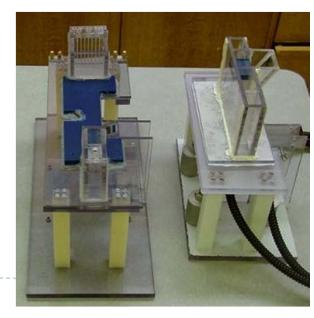


OSU BugID Project

- Human technician gathers field sample
- Semi-automated image capture
- Automated classification



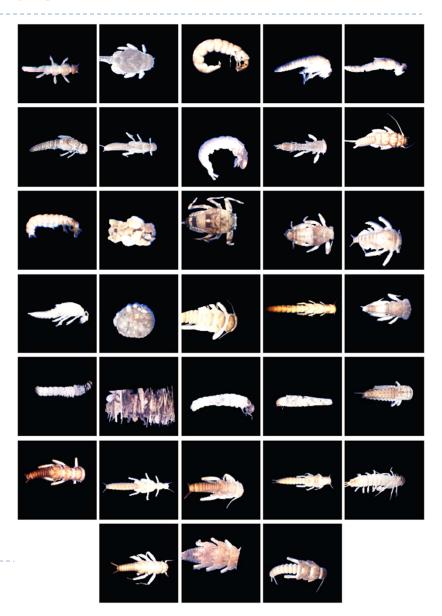
www.epa.gov



Computer Vision Challenge: Fine-Grained Classification

Challenges:

- Many classes
- Subtle differences between classes
- Wide variety of poses
- Substantial size and appearance variation within class



Hypotheses

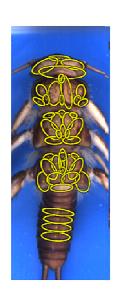
- Fine-grained classification requires
 - High-resolution images
 - Non-uniform extraction of information from the image
- Existing object recognition methods
 - Break image into set of patches
 - Extract a fixed number of bits from each patch
 - e.g., via vector quantization, filter banks, PCA, etc.
 - Classify image using extracted information

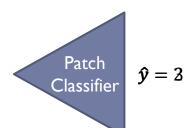
A "Variable Resolution" Method for Object Recognition

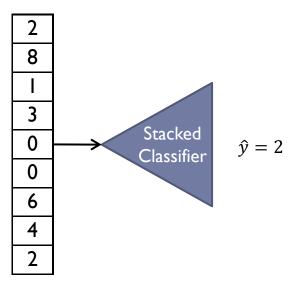
Stacked Patch Classifiers

[Martinez, et al, 2009]

- Learn a classifier that <u>tries</u> to classify the whole image using detailed information from a single patch
- Combine the single-patch classifications into a classification for the whole image







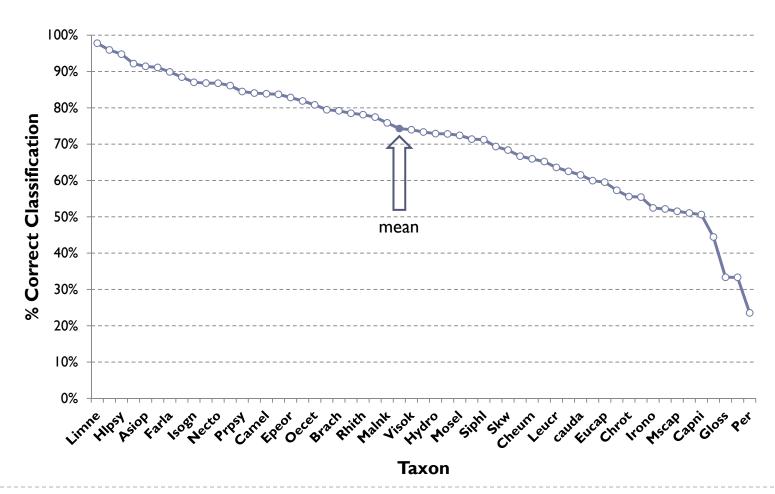
Results on STONEFLY9 Dataset

Configuration	Error Rate
Fixed resolution method	16.1%
Stacked Patch Classifier	5.6%

Variable resolution method is much more accurate

EPT54: 54 Species of Freshwater Macroinvertebrates

Stacked Patch Classifier: 74.3% Correct



Open Problems

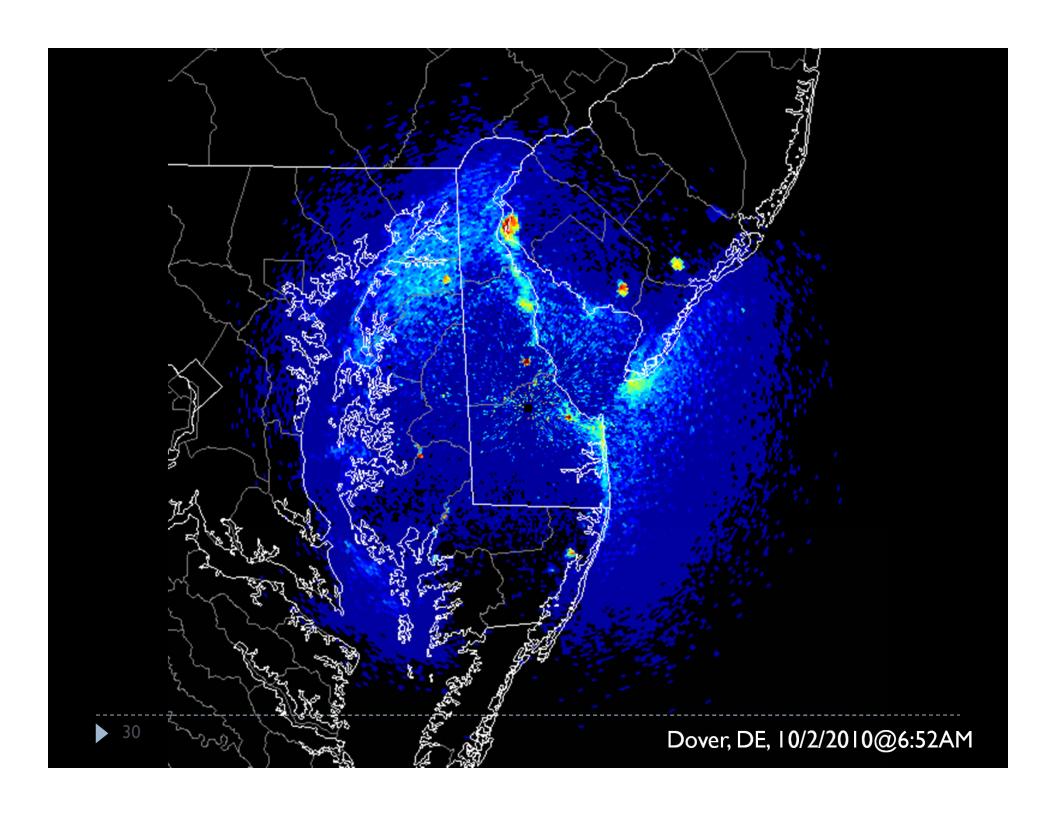
- Rejection:
 - Maximize recall subject to high precision
- Detect and reject novel (i.e., unknown to the classifier) species
- Scale to thousands of species
- Hierarchical loss functions
 - Order, Family, Genus, Species
 - Classify as finely as possible while bounding error rate



Tracking Tree Swallow Roosts Using NEXRAD Radar





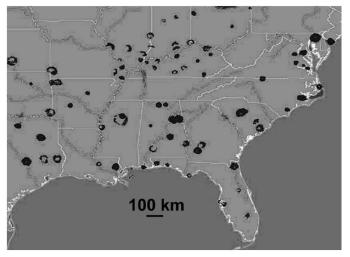


The Dream

- Automatic detection of roosts at continent-scale on daily basis
 - Data gathering and repurposing
- Unprecedented view of species distribution
 - Spatial coverage
 - Temporal resolution
- Analyze results to learn about
 - Roost biology
 - Migration patterns
 - Climate change
 - Data archived since 1991

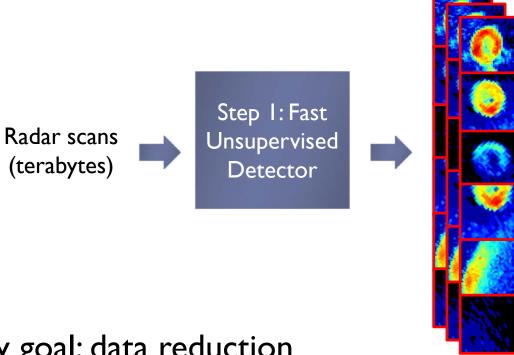
COMPLETED WSR-88D INSTALLATIONS WITHIN THE CONTIGUOUS U.S.

Source: NOAA



[Winkler, 2006]

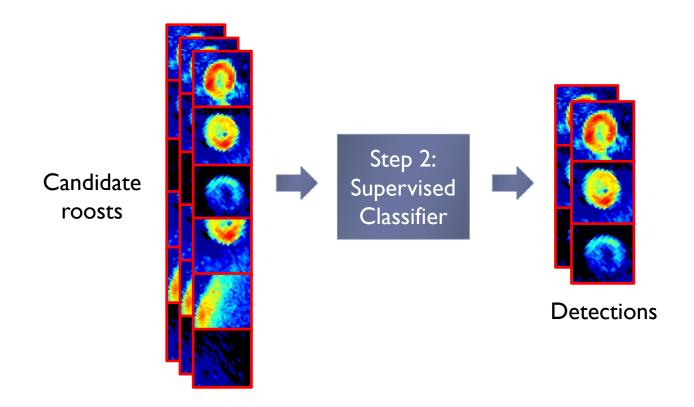
Machine Learning Pipeline (1)



- Primary goal: data reduction
 - High recall
 - Many false positives

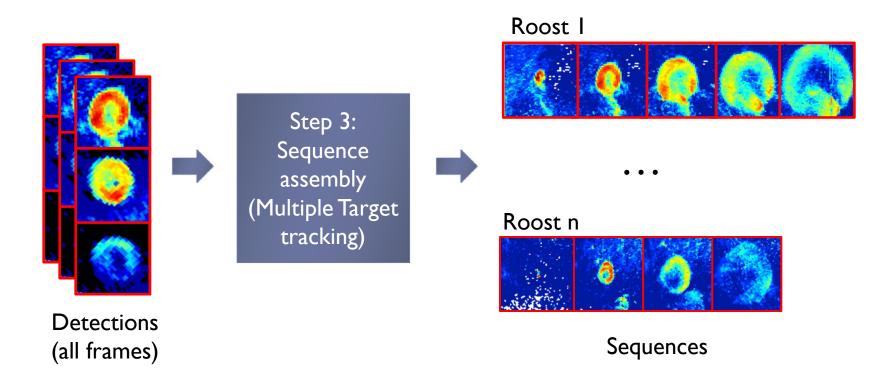
Candidate roosts

Machine Learning Pipeline (2)



- Shape features
- Biology features (velocity, habitat, weather, etc.)

Machine Learning Pipeline (3)



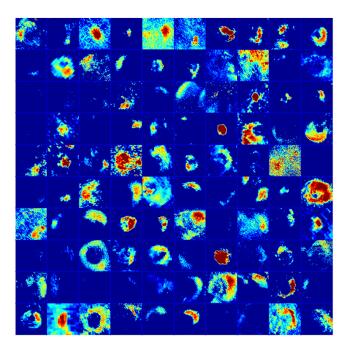
Motivation:

- Improve detection by using temporal context
- Extract high-level information such as duration, maximum size, etc.

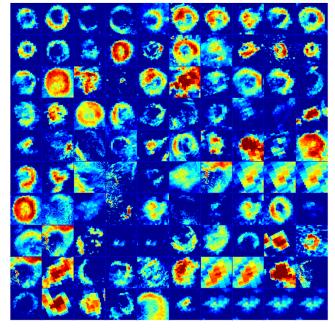
Progress: Machine Learning

Steps I and 2

- Primarily shape features to-date
- High precision for roosts with "perfect appearance"
- Variability in appearance is challenging → low recall



100 positive examples



Top 100 predicted roosts (shape features + SVM)

Progress: Ecology

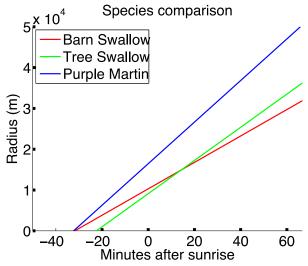
Locating roosts

- Identifying roosts in radar images
 - Labeling efforts
- Estimate ground location within a few km
 - Previously difficult task
 - ▶ 15+ roosts located in 2010-2011
 - □ Oregon, Florida, Louisiana

Analysis of labeled data

- Understand regional patterns
- Roost growth dynamics
 - Very predictable
 - ▶ Potential species ID from radar!





Summary

- Ongoing project
 - A lot of work remains to reach "the dream"
- Significant opportunity for ML and ecology to develop in parallel

Questions on Part 1?

Part 2: Ecological Models

Outline

- Data Acquisition
 - Sensors: Physical sensors, human observers, repurposing data from other sources
 - Data interpretation: Extracting signals from data
- Ecological Models
 - Species Distribution Models
 - Dynamical Models: Dispersal, Migration, Invasion, Climate Change
- Policy Optimization
 - Conservation: Reserve design, Network design
 - Invasive species: Eradication, restoration, monitoring
 - Fisheries: Managing harvest levels

Ecological Models

Species Distribution Models

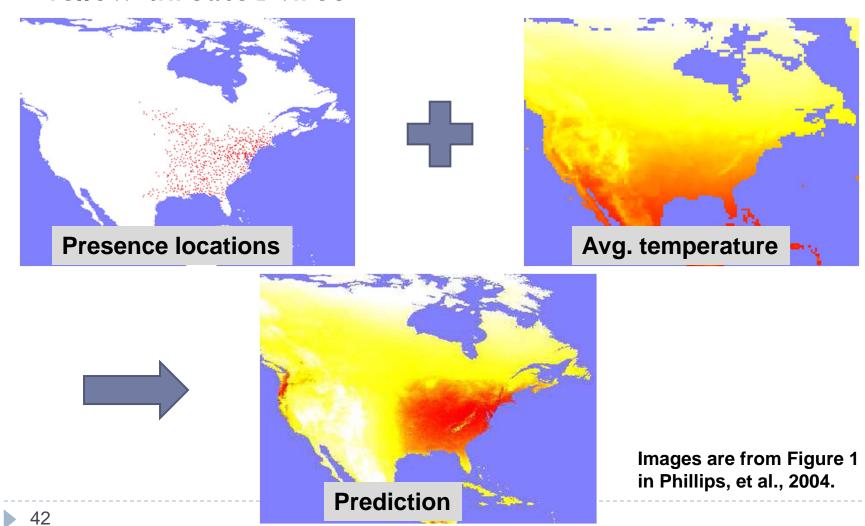
- Static descriptions of the geographic distribution of a species.
- Address the fundamental ecological question of why species are found where they are.

Dynamical Models

Account for dynamic ecological processes like dispersal, migration, population growth, etc.

Example

Yellow-throated vireo



Species Distribution Models (SDM)

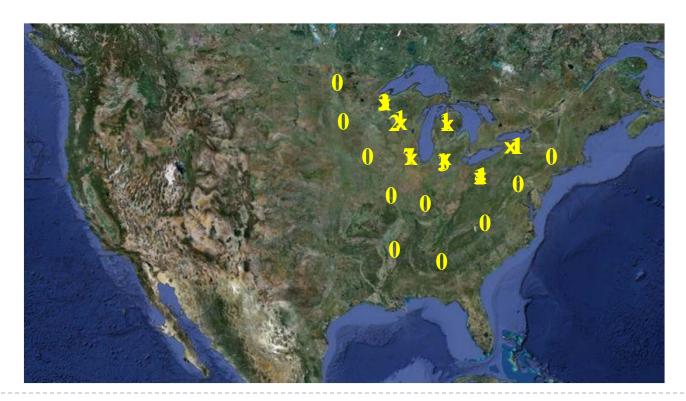
- ▶ SDMs characterize the geographic distribution of a species in terms of environmental variables.
- Typically treated as a supervised classification problem with input variables x and species observations y.

$$\{(X_i, y_i)\}_{i=\{1,...,N\}} \to y = f(X)$$

- Goals:
 - Mapping current distribution
 - Understanding habitat requirements
 - Predicting distribution

SDM: Data

- ▶ Types of *y*
 - Presence-only
 - Presence/absence
 - Abundance



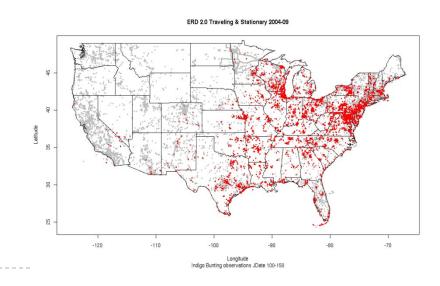






eBird Data

- Bird watchers record their observations in a database through eBird.org.
 - "Citizen Science"
- Dataset available for analysis. (see references)
- Features
 - LOTS of data!
 - ➤ ~3 million observations reported this May
 - ► All bird species (~3,000)
 - Year-round
 - Continent-scale
- Challenges
 - Variable quality observations
 - No systematic sampling design



SDM: Methods

- Envelope Models
 - Bioclim
- Statistical and Machine Learning Models
 - Maxent
 - Generalized Linear Models
 - Generalized Additive Models
 - Multivariate Adaptive Regression Splines
 - Hierarchical Bayesian modeling
 - Boosted regression trees
 - Random forests
 - Genetic algorithms

...and more!

ML is already having an impact in SDM

- ▶ 16 methods
- ▶ 226 species
- 6 regions

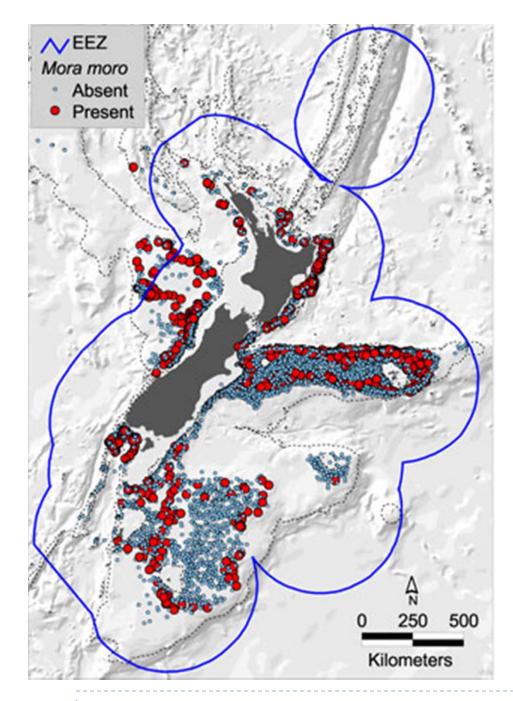
ECOGRAPHY 29: 129-151, 2006

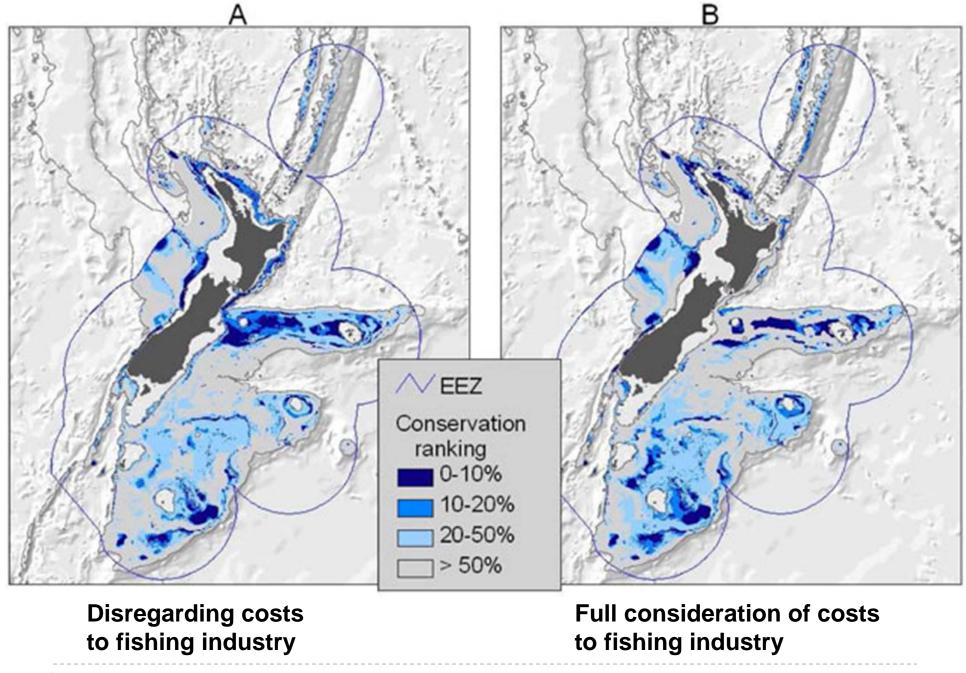
Novel methods improve prediction of species' distributions from occurrence data

Jane Elith*, Catherine H. Graham*, Robert P. Anderson, Miroslav Dudík, Simon Ferrier, Antoine Guisan, Robert J. Hijmans, Falk Huettmann, John R. Leathwick, Anthony Lehmann, Jin Li, Lucia G. Lohmann, Bette A. Loiselle, Glenn Manion, Craig Moritz, Miguel Nakamura, Yoshinori Nakazawa, Jacob McC. Overton, A. Townsend Peterson, Steven J. Phillips, Karen Richardson, Ricardo Scachetti-Pereira, Robert E. Schapire, Jorge Soberón, Stephen Williams, Mary S. Wisz and Niklaus E. Zimmermann

 General result: new(er) statistical and/or machine learning methods outperformed older envelope/distance style models.

Statistical, regression-style models 0.22 Newer, BRT machine mars-comm OM-GARP 0.20 MAR learning-style MAXENT GDMSS GLM models BRUTO ල ^{0.18} ි GAM DKGARP 0.16 -MARS-INT BIOCLI DOMA Older, 0.14 LIVES envelope-style models 0.12 0.69 0.65 0.67 0.71 0.73 0.75 AUC





A few SDM Challenges

- Presence-only data
- Predictor-response relationships are non-stationary
- Imperfect detection of the species on surveys
 - Often lack prior knowledge of the system for model building
 - Observers have variable expertise/biases

Challenge #1: Presence-only data

 Problem: some data sources only contain records of presence (like herbaria and museum collections)

Solution: Maximum entropy modeling (Maxent)

Positive-Only Learning Problem

Given:

- Training examples $x_1, ..., x_N$ where the species is present
- These are assumed to be drawn from an unknown probability distribution: $\pi(x) = P(x|y=1)$
- A set of feature functions $\phi_1, ..., \phi_J$ such that $\phi_j(x)$ computes the value of the *j*th feature of x. Let $\Phi(x) = (\phi_1(x), ..., \phi_J(x))$.

▶ Find:

• A good approximation $\hat{\pi}$ to π

Method:

- Maximum entropy principle: Among all distributions consistent with the data, prefer the distribution of maximum entropy
- Find the maximum entropy distribution subject to expectation constraints:

$$\hat{\pi} = \underset{q}{\operatorname{argmax}} H(q) \text{ subject to } \operatorname{E}_q \left[\phi_j(x) \right] = \frac{1}{N} \sum_i \phi_j(x_i) \ \forall j$$

Solving the Maxent Optimization

Step I: Relax the constraints:

$$\hat{\pi} = \underset{q}{\operatorname{argmax}} H(q) \text{ subject to}$$

$$\left| \operatorname{E}_{\mathbf{q}} \left[\phi_{j}(x) \right] - \frac{1}{N} \sum_{i} \phi_{j}(x_{i}) \right| \leq \beta_{j} \ \forall j$$

• Step 2:Assume a parametric form for $\hat{\pi}$:

$$\hat{\pi}(x) = \frac{1}{Z(w)} \exp[w \cdot \Phi(x)]$$

Step 3:Apply duality methods to show this is equivalent to an L_1 -regularized linear optimization

$$\widehat{\mathbf{w}} = \underset{\mathbf{w}}{\operatorname{argmax}} \sum_{i} \mathbf{w} \cdot \Phi(x_i) - \sum_{j} \beta_j |w_j|$$

Obtaining an SDM

- Problem: We have a model $\hat{\pi}$ of P(x|y=1) but we want a model of P(y=1|x).
- Solution: Apply Bayes' Rule

$$P(y = 1|x) = \frac{P(x|y = 1)P(y = 1)}{P(x)}$$

- P(y=1) is the "abundance". It is a constant that is *not* identifiable from presence-only data.
- P(x) is the "background distribution" of the study area (often assumed uniform).
- Therefore,

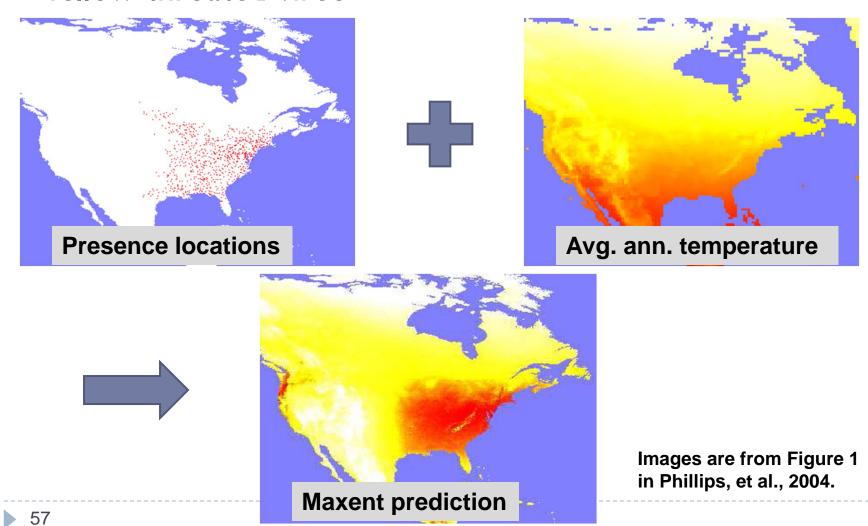
$$P(y = 1|x) \propto \hat{\pi}(x)$$

Creating a Usable Tool

- Free software package for SDM
 - http://www.cs.princeton.edu/~schapire/maxent/
 - Has had a huge impact in the ecology literature
- Provides a rich set of feature types ϕ^t
 - linear
 - quadratic
 - thresholds
 - ramps
 - pairwise products of these
- **Provides default settings for the** β **s**
 - The method requires tuning a separate β_j for each feature, which is hard to do via cross-validation.
 - Defaults are based on tuning for 6 datasets from Elith, et al. [2006]

Example

Yellow-throated vireo



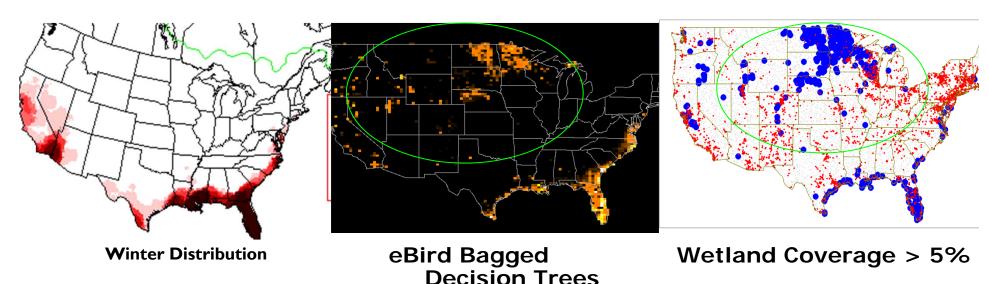
Challenge #2: Non-stationarity

- Problem: predictor-response relationships change over space and time
- Solution: Spatial-Temporal Exploratory Models (STEM)
 - Create ensembles with local spatial/temporal support
 - Base learner = classification trees



Tree Swallow Winter Distribution Analysis Bagged Decision Tree

(Tachycineta bicolor)



- Nonparametric model with global support may aggregate data in ways that are ecologically impossible
- ▶ Risk Factors complexity of process, model flexibility, predictor variation, and data sparsity

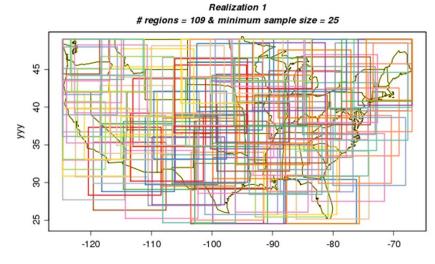
STEM

▶ Idea:

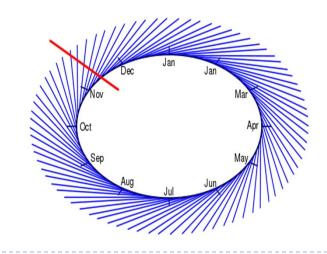
- Slice space and time into hyperrectangles: lat x long x time
- Train a decision tree on the data inside each hyperrectangle
- To predict at a new point x, vote the predictions of all trees whose hyperrectangle contains x

Hyperrectangles:

- Space: random rectangles of fixed size
- Time: 40-day overlapping intervals spaced evenly throughout the year
- Discard hyperrectangles that contain fewer than 25 training locations



STEM Temporal Design



STEM SDM: Solitary Sandpiper







STEM SDM: Indigo Bunting



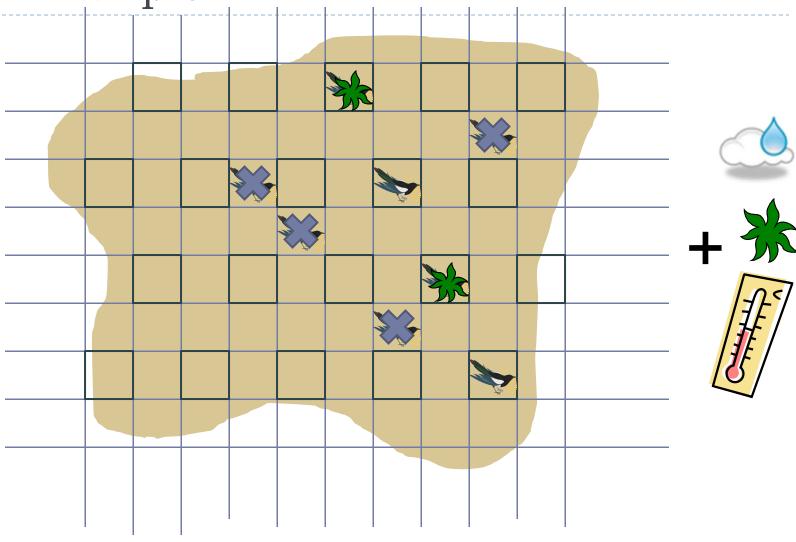
Challenge #3: Imperfect Detection

Problem: many species are hard to detect even when present, so their data contain false negatives

Solution:

- survey sites several times
- use a hierarchical model to describe the data collection process explicitly and correct for false zeros

Toy Example

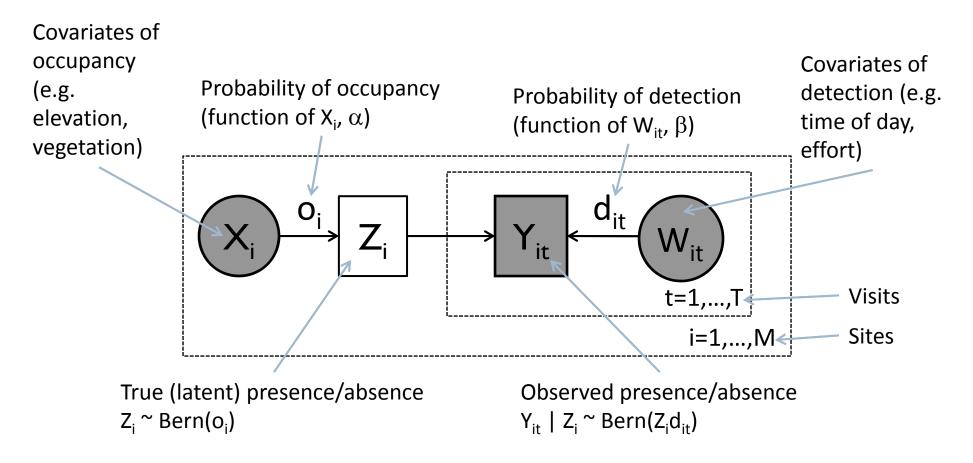


Data: detection histories

				Detection History		
	Site	True occupancy (latent)	Visit I (rainy day, I2pm)	Visit 2 (clear day, 6am)	Visit 3 (clear day, 9am)	
	A (forest, elev=400m)	I	0	I	I	
	B (forest, elev=500m)	I	0	I	0	
	C (forest, elev=300m)	I	0	0	0	
* ^	D (grassland, elev=200m)	0	0	0	0	

* Assumption: experts never report false positives.

Occupancy Model



Typical Usage

$$logit(o_i) = F(X_i) = \alpha \cdot X_i$$
$$logit(d_{it}) = G(W_{it}) = \beta \cdot W_{it}$$

- Model selection:
 - construct models including different sets of occupancy and detection covariates
 - evaluate fit with AIC
 - hypothesis tests/confidence intervals

Imperfect Detection + Lack of Prior Knowledge

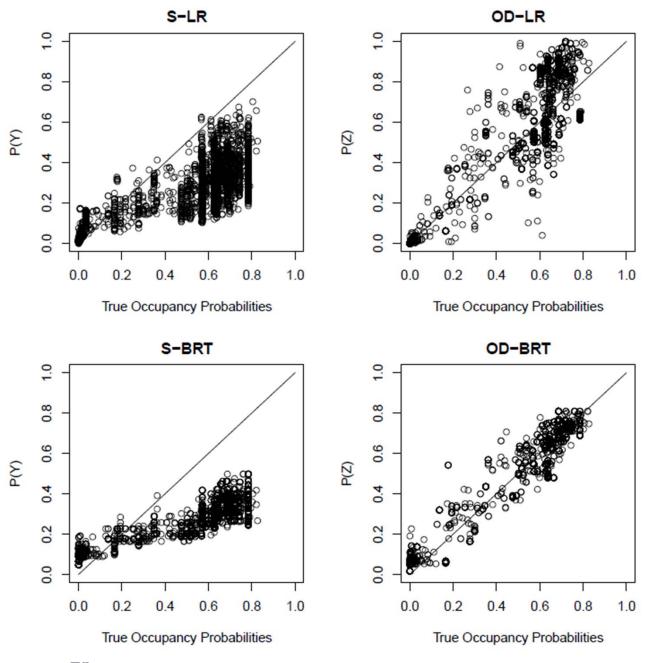
- Problem: occupancy models require parametric assumptions too rigid for exploratory modeling
- Solution: incorporate flexible models into the covariateprobability functions while maintaining hierarchical structure to account for imperfect detection

Integrating regression trees

logit
$$(o_i) = F(X_i) = \sum_{j=1}^{J} \rho_j^{(o)} tree_j^{(o)}(X_j)$$

logit
$$(d_{it}) = G(W_{it}) = \sum_{j=1}^{J} \rho_j^{(d)} tree_j^{(d)}(W_{it})$$

- Fit with functional gradient descent [Friedman, 2001]
 - On each iteration:
 - compute pseudo-targets (gradient of loss at each data point)
 - grow another tree to predict pseudo-targets
 - compute a weight for the tree and add to ensemble
 - Maximizes log-likelihood of occupancy model



Synthetic Species built from eBird covariates (with non-linearities)

S = supervised, with no latent structure (left column)

OD = occupancy model structure (right column)

LR = linear (top row)

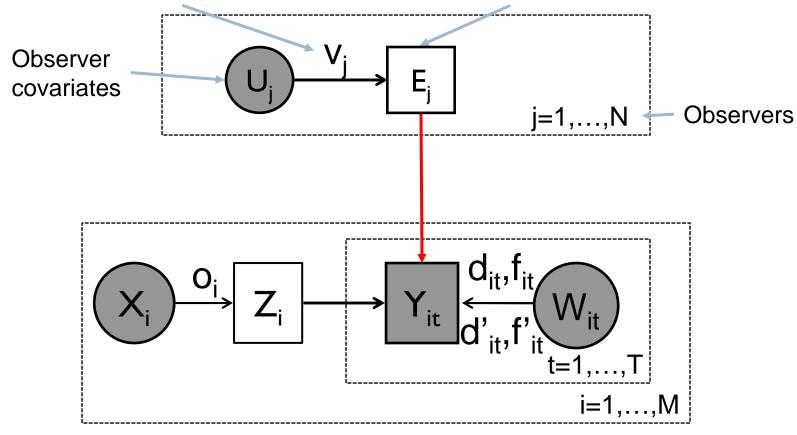
BRT = tree-based (bottom row)

Imperfect Detection + Variable Expertise

- Problem: expert and novice observers contributing observations to citizen science data generate different mistakes/biases
- Solution: extend occupancy models so that observer expertise affects the detection model

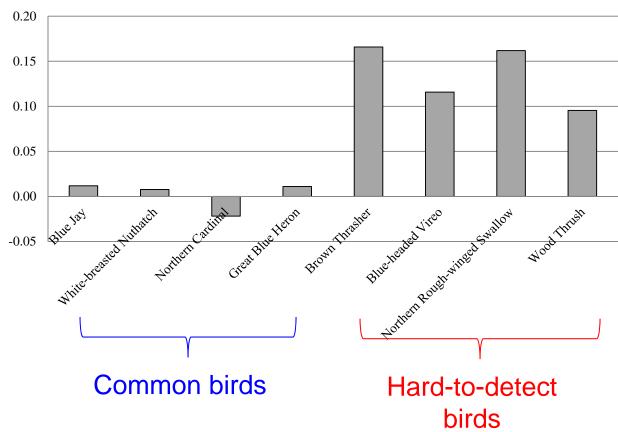
Extending Occupancy Models

Expertise probability (function of U) Expert/novice observer



Expert vs Novice Differences

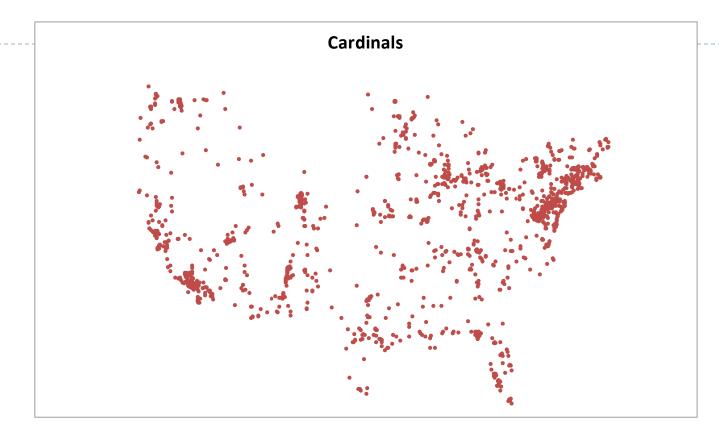
Average Difference in True Detection Probability



A few SDM Challenges

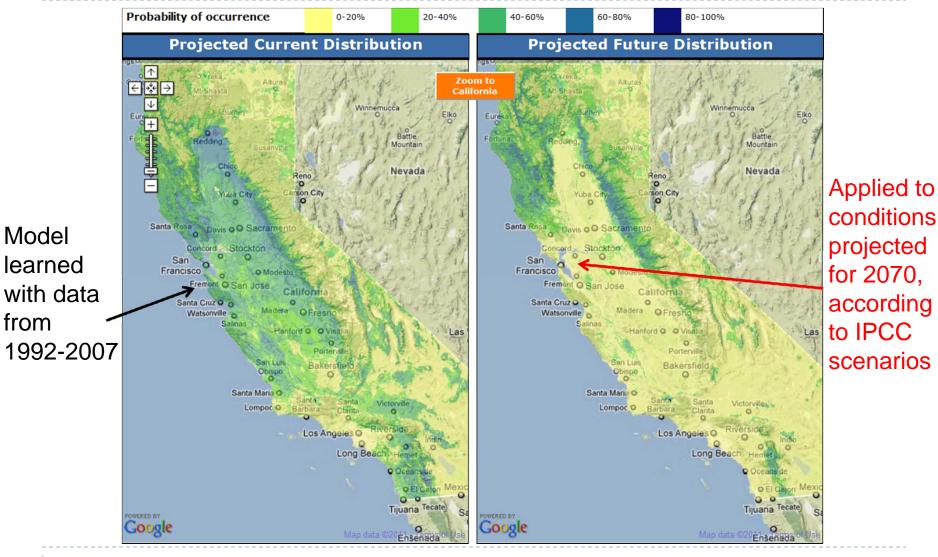
- Presence-only data
- Predictor-response relationships are non-stationary
- Imperfect detection of the species on surveys
 - Often lack prior knowledge of the system for model building
 - Observers have variable expertise/biases
- Sampling bias
- Extrapolation (e.g. under climate change)
- Evaluation strategies
- Estimating temporal trends directly
- More biologically-realistic models
- Multi-species models
- Models of abundance (instead of presence/absence)

Sampling Bias



- eBird participants tend to stay close to home.
- How can we make good predictions uniformly across the U.S.?

Inappropriate Extrapolation



from

A few SDM Challenges

- Presence-only data
- Predictor-response relationships are non-stationary
- Imperfect detection of the species on surveys
 - Often lack prior knowledge of the system for model building
 - Observers have variable expertise/biases
- Sampling bias
- Extrapolation (e.g. under climate change)
- Evaluation strategies
- Estimating temporal trends directly
- More biologically-realistic models
- Multi-species models
- Models of abundance (instead of presence/absence)

Coffee Break

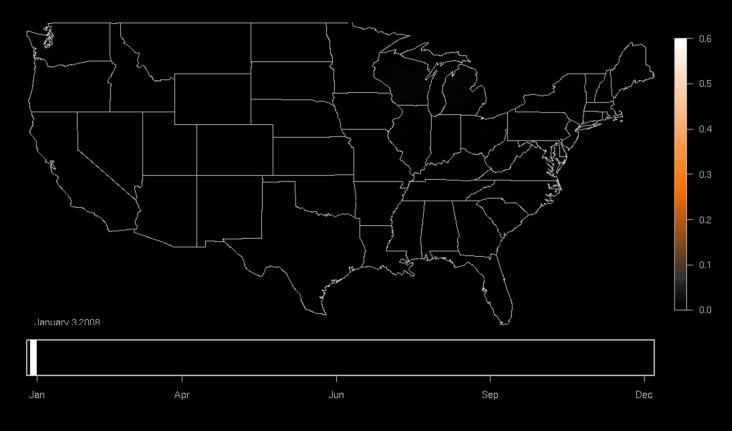
Ecological Models (part 2): Dynamical Models

Dynamical Models

- Ecology is about dynamics!
 - Population growth, animal movement, predator/prey interaction, evolutionary game theory, etc.
- We will look at two particular models of broad-scale population dynamics
 - Bird migration
 - Metapopulations
- Primary motivation: treat species distributions explicitly as spatiotemporal processes
 - Foundation for prediction about future outcomes
 - In contrast with SDMs

Dynamical Model #1: Bird Migration

 Motivation: eBird demonstrates clear migration patterns (but without a dynamical model)



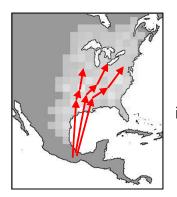
Unique opportunity to extract quantitative knowledge about migration

Challenges Extracting Migration Knowledge

- Migration is a latent process
 - eBird data and SDM predictions are static
 - ▶ Each observation/prediction for particular place and time
 - We see a sequence of snapshots
- Observations are noisy and incomplete
- Migration most naturally described at level of individual behavior, but we can only observe population-level statistics
 - Lack of modeling techniques to link the two

Overview: Collective Hidden Markov Models

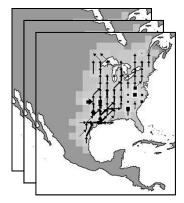
- Generative model for population data from individual behavior
- Define migration model for individual bird
 - Markov chain on grid cells
- 2. Generate routes for each individual in population
 - Assume birds are iid
- 3. Derive population statistics at each time step
 - Transition counts: # birds that fly between each pair of grid cells
 - Location counts: # birds in each grid cell



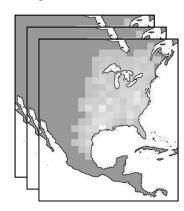
Routes of individual birds







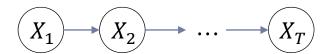
Transition counts (hidden)



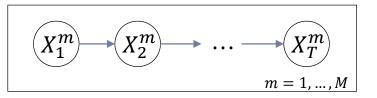
Location counts (observed)

Overview: Collective Hidden Markov Models

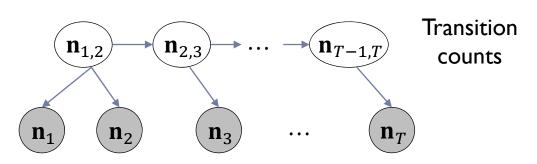
Individual model: Markov chain on grid cells



Population model: iid copies of individual model



Marginalize out individuals: chain-structured model on sufficient statistics



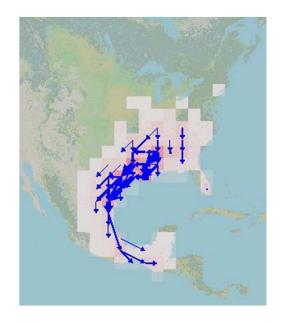
Add observations: location counts

Results

- Reconstruction by network flow techniques
- Use to visualize bird migration
 - E.g. Ruby-throated Hummingbird



Northbound March 5



Southbound October I

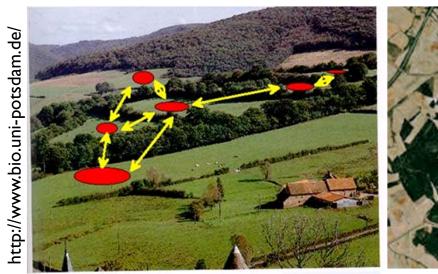
Extensions

Collective Graphical Models

- Substantial generalization of modeling ideas
- Parameter learning
- ▶ Future: BirdCast
 - Joint project with Cornell Lab of Ornithology
 - Apply these ideas to forecast bird migration at continent-scale
 - Data: eBird + radar + acoustic + weather
 - Funding available!
 - Seek outstanding candidate to begin Ph.D. at OSU
 - ▶ Interest in ML and ecology

Dynamical Model #2: Metapopulations

- Dynamics of spatially disjoint populations
 - Butterflies in alpine meadows
 - Birds in a fragmented forest

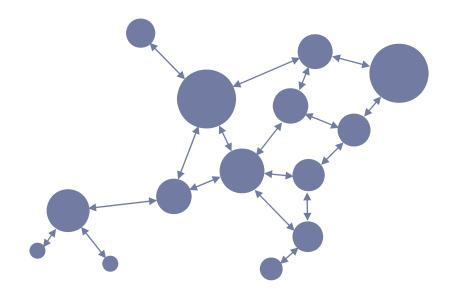




Metapopulation = population of populations

Basic Components

- ▶ A network of habitat patches
- Dynamics models
 - Local population dynamics in each patch
 - Interaction between patches (dispersal/colonization)

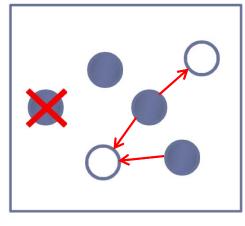


Metapopulation Background

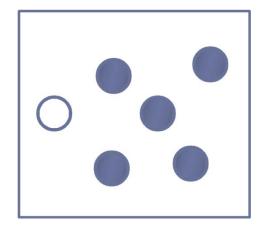
- Extremely important models in ecology
- Thousands of articles dating to 1960s with many modeling variations
 - Originally mathematical models for idealized landscapes
 - E.g. equidistant patches
 - Move to applied models, real landscapes
- Importance: formal basis for reasoning about the effects of habitat configuration on species persistence

SPOM: Stochastic Patch Occupancy Model

- Patches are occupied or unoccupied
- Two types of stochastic events:
 - ▶ Local extinction: occupied → unoccupied
 - ► Colonization: unoccupied → occupied (from neighbor)
- Independence among all events

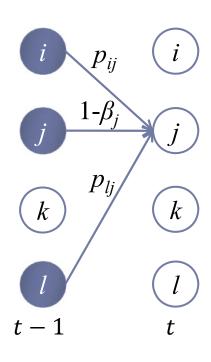


Time I



Time 2

SPOM Probability Model



▶ To determine occupancy of patch j at time t

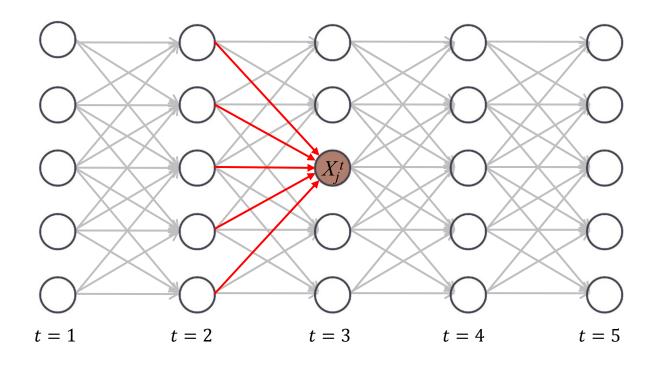
- For each *occupied* patch $i \neq j$ from time t-1, flip coin with probability p_{ij} to see if i colonizes j
- If j is occupied at time t-1, flip a coin with probability $1-\beta_j$ to determine survival (non-extinction)
- If any of these events occurs, j is occupied

Parameters:

- p_{ij} : colonization probability
- β_i : extinction probability
- Simple parametric functions of patch-size, inter-patch distance, etc.

SPOM as Dynamic Bayes Net (DBN)

Let $X_i^t = 0$ or 1 be occupancy of patch j at time t



$$\Pr(X_i^t = 1 | \mathbf{X}^1, ..., \mathbf{X}^{t-1}) = \Pr(X_i^t = 1 | \mathbf{X}^{t-1})$$

SPOM Fitting

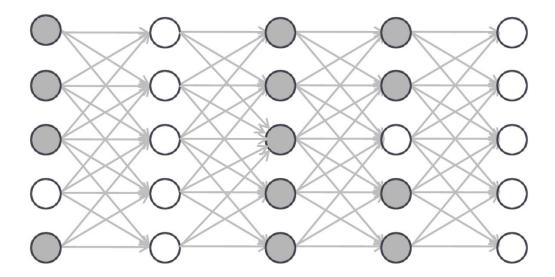
- Major advance in practical utility of SPOMs was ability to fit to survey data
 - Given: Occupancy vectors $\mathbf{X}^1, \mathbf{X}^2, \dots, \mathbf{X}^T$
 - Find: Parameters Θ for colonization and extinction models
- Hanski [1994] gave heuristic approach based on equilibrium properties of metapopulation
- Moilanen [1999]
 - Maximum likelihood approach

$$L(\Theta) = p(\mathbf{X}^{1}; \Theta) \prod_{t=2}^{T} p(\mathbf{X}^{t} | \mathbf{X}^{t-1}; \Theta)$$

- Easy in principle
 - Likelihood easy to evaluate
 - Small parameter space

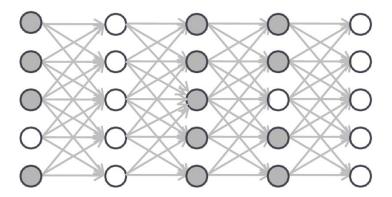
Challenge: Missing Data

= observed values (either present or absent)



- Field data is sparse and messy
 - Surveys conducted in non-consecutive time steps
 - Some patches are not surveyed

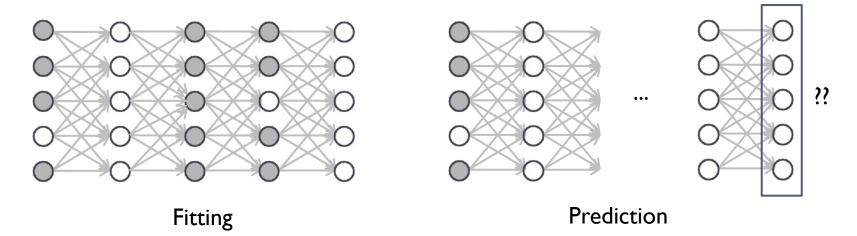
Fitting by Data Augmentation



- Key step: fill in missing data by sampling from distribution of missing data given observed data
 - Maximum-likelihood approach of Moilanen [1999]
 - Bayesian approach of Ter Braak and Etienne [2003]

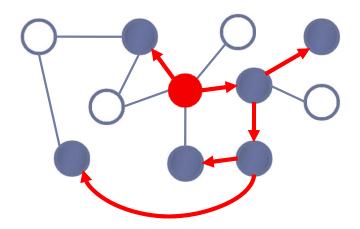
ML Opportunity

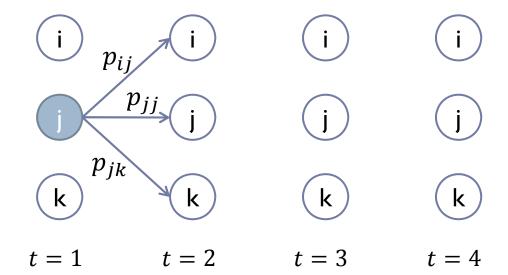
- Improved methods for fitting?
- Key step is inference in p(missing | observed)
 - ▶ I.e., inference in DBN with metapopulation structure
 - Approximate inference techniques
- Importance of inference:

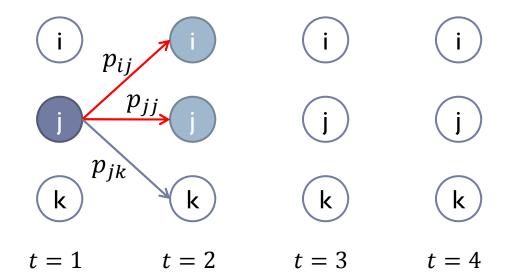


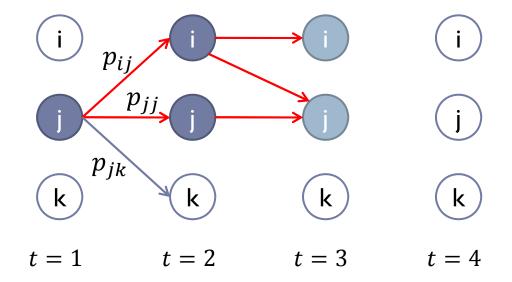
Connections to Network Cascades

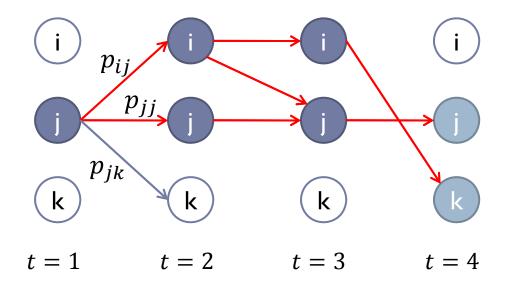
- Models for diffusion in (social) networks
 - Spread of information, behavior, disease, etc.
- Independent cascade model
 - Each individual passes information to friends independently with specified probability











ML connection: Social Network Inference

- ▶ Recent work in ML community to learn cascade models
 - Network is hidden
 - Observe infection times of nodes
- Maximum-likelihood estimation by convex optimization
 - ▶ [Myers and Leskovec, 2010]
 - ▶ [Gomez-Rodriguez et al., 2011] (this conference)
- Applicability to SPOM fitting?
 - Model differences
 - Layered vs. non-layered graph
 - Time model
 - Much different parameterization

Part 3: Policy Optimization

Outline

Data Acquisition

- Sensors: Physical sensors, human observers, repurposing data from other sources
- Data interpretation: Extracting signals from data

Ecological Models

- Species Distribution Models
- Dynamical Models: Dispersal, Migration, Invasion, Climate Change

Policy Optimization

- Conservation: Reserve design, Network design
- Invasive species: Eradication, restoration, monitoring
- Fisheries: Managing harvest levels

Optimal Policies for Environmental Management

- One-shot problems
 - Network design
 - Reserve design
- Sequential decision-making problems (known as "Active Management")
 - Fisheries management
 - Fire management
 - Invasive species management
 - Reserve design and conservation easements over time
- Most problems are really sequential decision-making problems

Distinctive Aspects

- Optimizing an objective computed using a learned model of the system
 - Generalization of reinforcement learning
- Models are typically very bad
 - Doak, et al. 2008: Ecological Surprises
 - "Surprises are common and extreme"
 - Costs and benefits may be highly uncertain and non-stationary
 - Multiple objectives: Harvest + Species Viability
 - Need solutions that are robust to misspecified models
- Large state and action spaces
 - Spatial models

Plan

- Reserve Design for the Endangered Red Cockaded Woodpecker
 - One-shot design problem
- Optimal Policies for Managing Fisheries
 - Markov Decision Problem with analytical characterization of the optimal policy
- Managing Wildfire in Eastern Oregon
 - Large Spatial Markov Decision Problem (MDP)
- Optimal Management of Difficult-to-Observe Invasive Species
 - Small Partially-Observable MDP (POMDP)

SPOM Optimization: Reserve Design for Endangered Species

Given a limited budget to purchase additional patches, which should you buy?



Red-cockaded woodpecker (endangered)

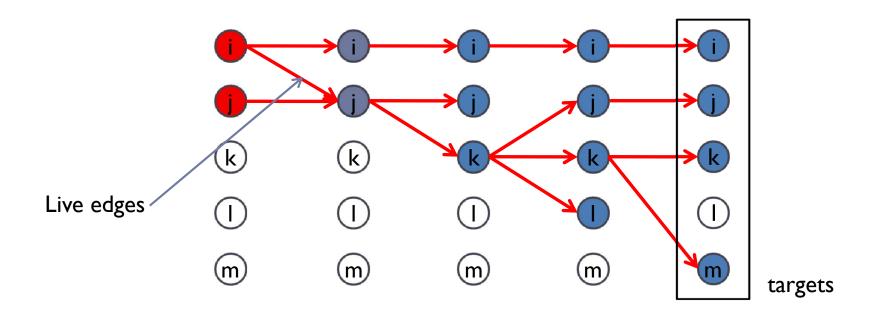


Key Observation

By viewing SPOM dynamics as a network cascade in the layered graph, we can formulate the conservation problem as a cascade optimization problem

Insight #1: Objective as Network Connectivity

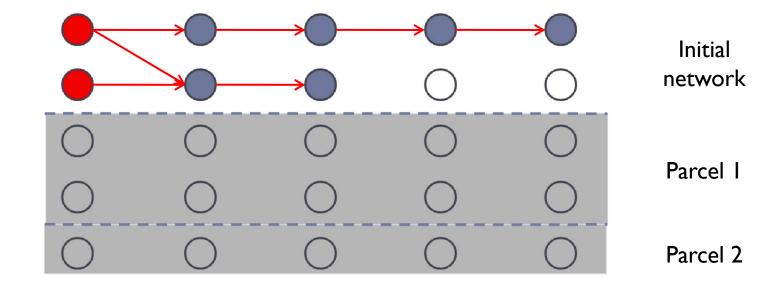
 Conservation objective: maximize expected # occupied patches at time T



Occupied patches = nodes reachable by live edges

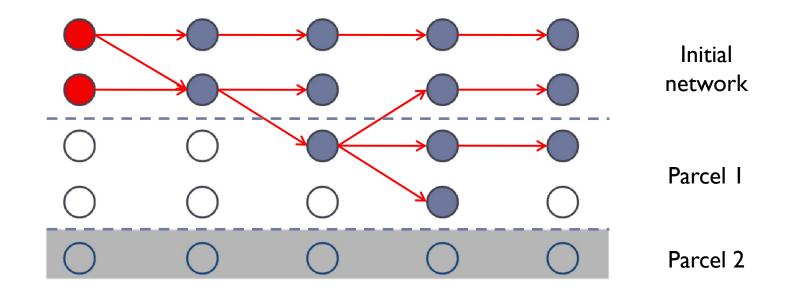
Insight #2: Management as Network Building

Conserving parcels adds nodes to the network



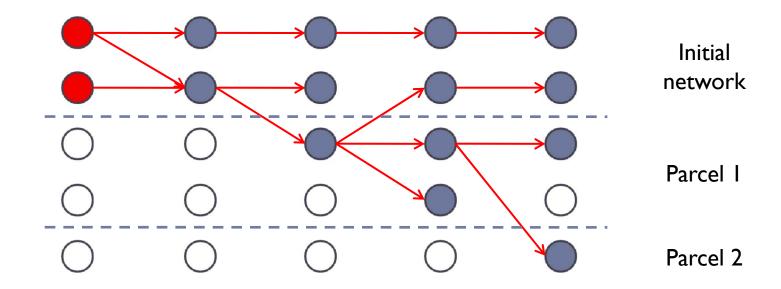
Insight #2: Management as Network Building

Conserving parcels adds nodes to the network



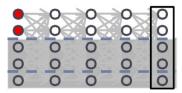
Insight #2: Management as Network Building

Conserving parcels adds nodes to the network

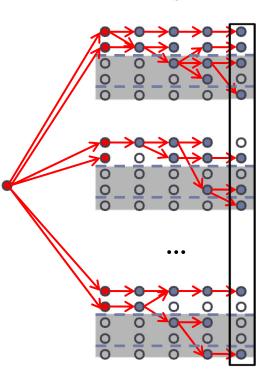


Solution Strategy

- Formulate as stochastic network design problem with limited budget
- Convert stochastic problem to deterministic network design problem
 - Sample Average Approximation (SAA)
- 3. Solve as a mixed integer program







Sample Average Approximation (SAA)

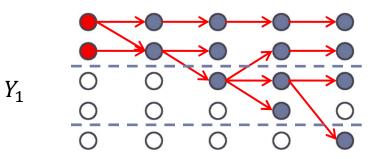
Generic approach to convert stochastic problem to deterministic problem:

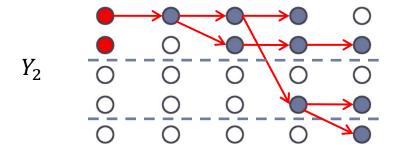
$$\max_{X} E_{Y}[f(X,Y)] \longrightarrow \max_{X} \frac{1}{N} \sum_{i=1}^{N} f(X,Y_{i})$$

- X: decision variable
- Y: random variable
- Y_1, \dots, Y_N : realizations of Y
- Nice properties
 - ▶ Converges to true optimum as $N \to \infty$
 - Error bounds
- Can we solve the sample average problem?

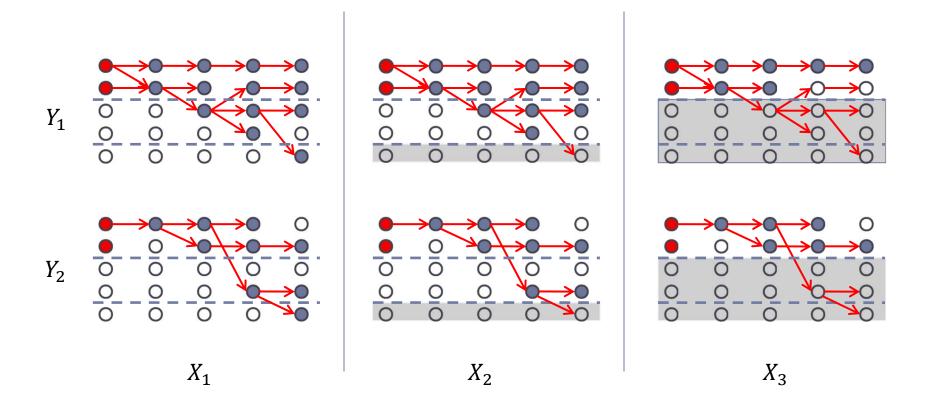
SAA and Network Design

- ▶ In our problem
 - X: purchased network components
 - → Y: edges of cascade
- Natural way to reuse random variables Y for many different X
 - Y: Simulate cascade in advance in *full network*
 - f(X,Y): measure connectivity in subgraph purchased by X

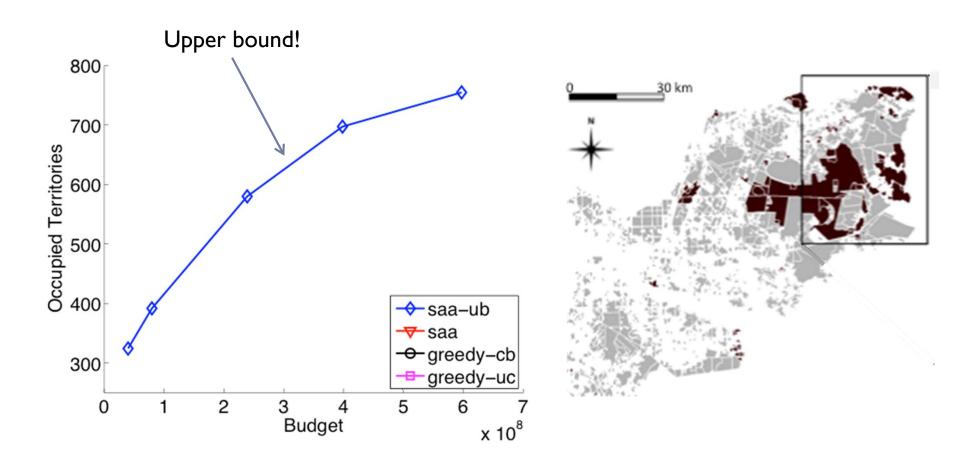




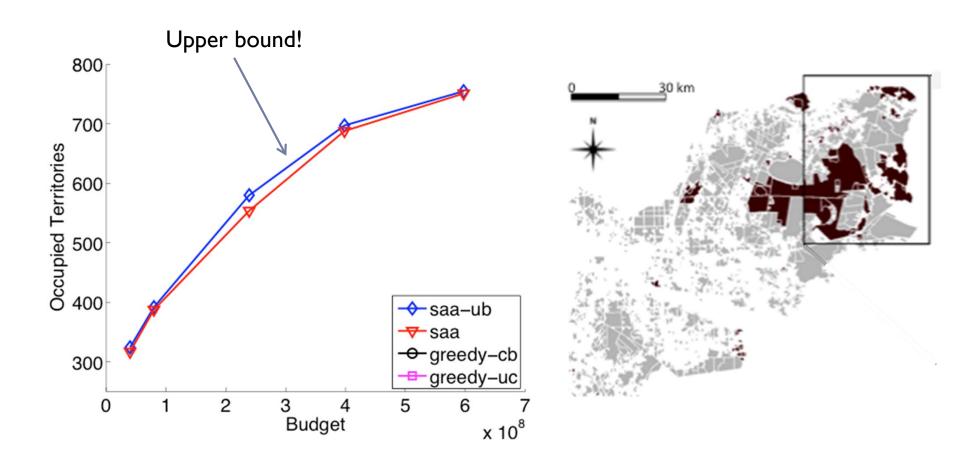
Example



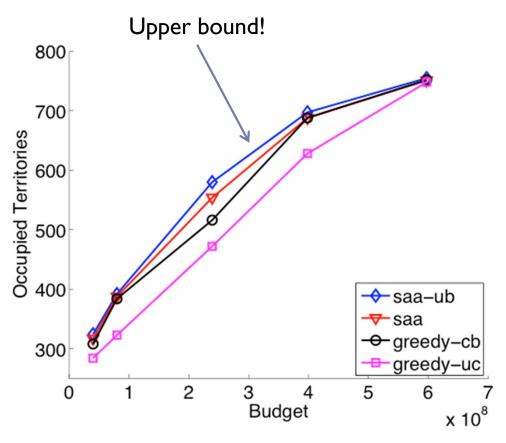
Results



Results



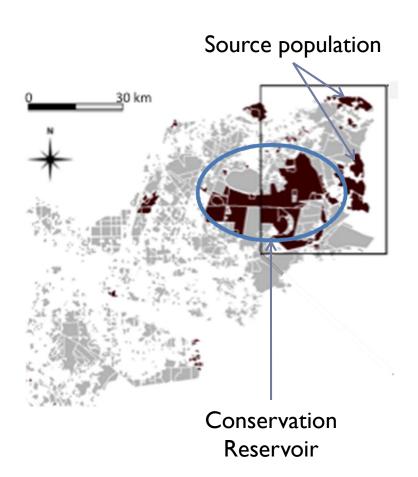
Results



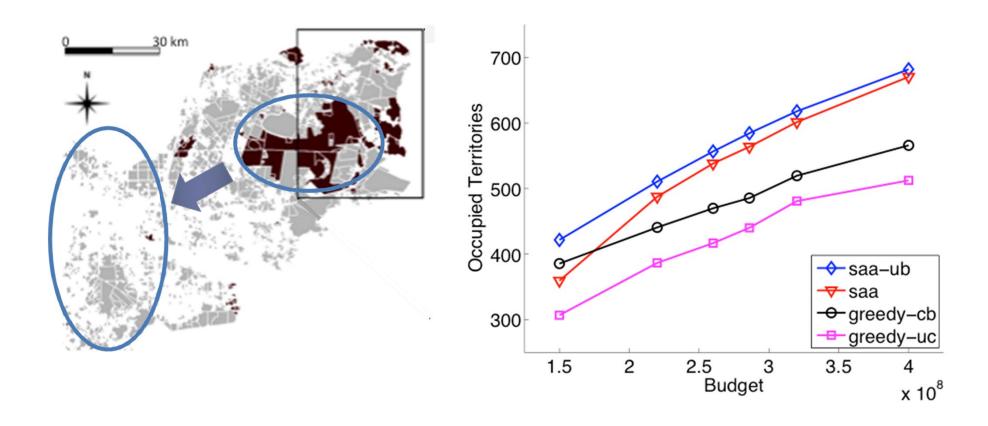
- Greedy baselines from related submodular cascade optimization problems
 - [Kempe et al. 2003]
 - Leskovec et al. 2007]
- Our problem is *not* submodular
 - Why is greedy performing well?

Conservation Strategies

- Both approaches build outward from source
 - Greedy buys best patches next to currently owned patches
 - Optimal solution builds toward areas of high conservation potential
- In this case, the two strategies coincide

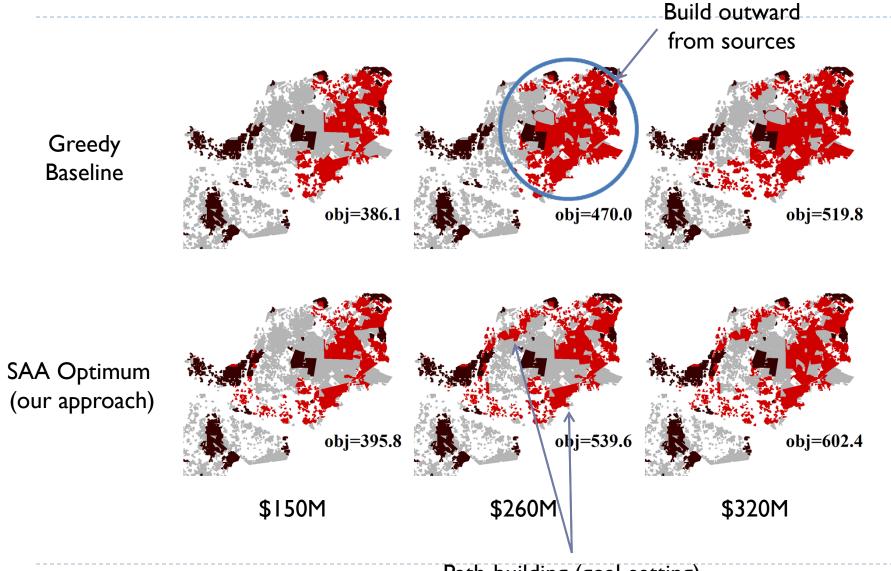


A Harder Instance



Move the conservation reservoir so it is more remote.

Conservation Strategies



Summary and Future Challenges

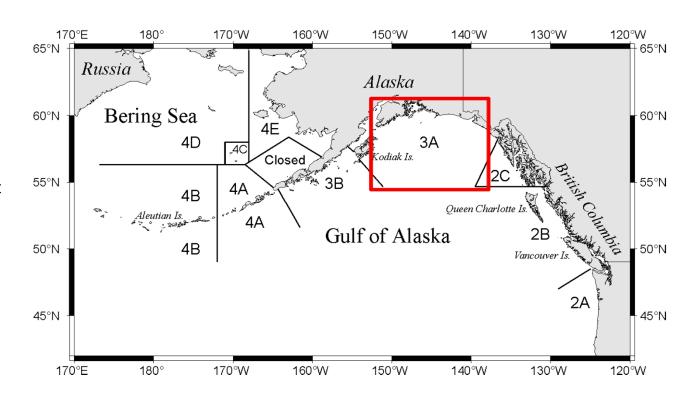
- Spatial optimization with underlying dynamics model is a very promising direction
- ▶ The real world is complex
 - Competing objectives
 - Multiple species
 - Resource economics
 - Model dynamics
 - Species interactions
 - Uncertainty about dispersal behavior
 - □ Combine learning and optimization
 - Adaptive management
 - Budget comes in installments [Golovin et al. 2011]

Fishery Management [Ermon et al. 2010]

How to sustainably exploit a renewable and economically valuable resource such as forest or fishery?

Pacific Halibut Fishery

International commission decides each year's harvest (total allowable catch)



MDP Formulation

State variable

x: stock (population size)

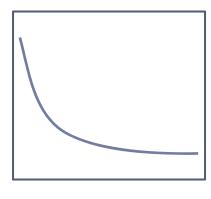
Actions

▶ Harvest amount *h* in each year

Reward model

- Fixed cost K when h > 0
- Per-unit harvest cost
 - ▶ More \$\$ when fish are scarce
- Per-unit market price p
- Discount rate for future reward

Unit harvest cost



x (stock)

MDP Formulation (2)

- Dynamics
 - ▶ Growth function *f* (post-harvest)

$$x_{t+1} = f(x_t - h_t, w_t)$$
stock harvest input from nature

- Idea: w_t captures stochasticity or modeling uncertainty
- State transition model
 - $x \to f(x h, w)$ with probability p(w)

Population Dynamics

Beverton-Holt Model $x_{t+1} = f(s_t, w_t) = (1-m)s_t + w_t \frac{r_0 s_t}{1 + s_t/M}$ "Shock" Capacity

from nature

Mortality

Growth rate

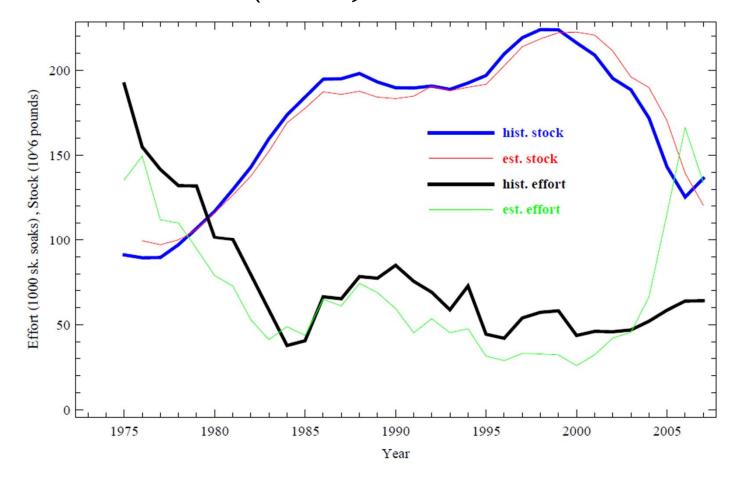
limitation

Post-harvest

stock

Population Dynamics

Fit to historical data (w = 1)

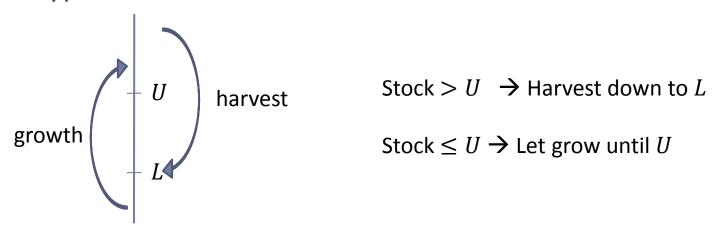


Robust Optimization

- Traditional MDP approach
 - Maximize expected total discounted reward
- ▶ Their approach: "Game against Nature"
 - ▶ Nature chooses w adversarially
 - Maximize worst-case total discounted reward
- Advantages:
 - Avoid catastrophic outcomes such as collapse of fishery
 - Don't need fine-grained model for p(w)
 - ▶ Only specify allowable range of w

Main Result

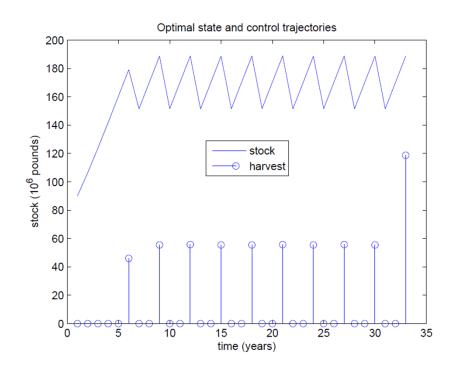
- Analytical characterization of optimal policy
 - For a general class of growth and economic models, optimal policy is of S-s type



- ▶ Proof based on mathematical notion of K-concavity [Scarf 1960]
 - From inventory control problems in econmics and operations research

Pacific Halibut Results

- ▶ Reanalysis of 1975-2007 data
 - Fitted growth model
 - Worst-case environmental inputs
- Optimal policy involves periodic closures of fishery
 - Maintain supply by rotating closures
- More revenue than baselines
 - Historical revenue
 - Current IPHC policy



Policy	Disc. revenue (\$)	Loss (\$)
Optimal $S-s$	9.05141×10^8	_
Historical rates	7.06866×10^8	1.98275×10^8
Average CPP	6.51849×10^8	2.53292×10^{8}

Important Themes for Environmental Policy

- Synergy between economic reward and ecosystem stability
 - Why no over-exploitation?
 - Protect future value of fishery
 - Cost to harvest scarce stock
 - Cautionary notes
 - ▶ Barriers to over-exploitation are not intrinsic
 - □ High discount rate → prioritize present reward too much
 - □ Technology improvements → cheaper to harvest
 - Models often wrong or missing important side-effects
- Robust optimization
 - Prevents catastrophic outcomes (within modeling framework)
 - Is worst-case too severe?
 - Extension to broader class of risk-sensitive objectives [Ermon et al. IJCAI, 2011]

Managing Wildfire in Eastern Oregon

Natural state (hypothesized):

- Large Ponderosa Pine trees with open understory
- Frequent "ground fires" that remove understory plants (grasses, shrubs) but do not damage trees

Fires have been suppressed since 1920s

- Large stands of Lodgepole Pine
- Heavy accumulation of fuels in understory
- Large catastrophic fires that kill all trees and damage soils
- Huge firefighting costs and lives lost



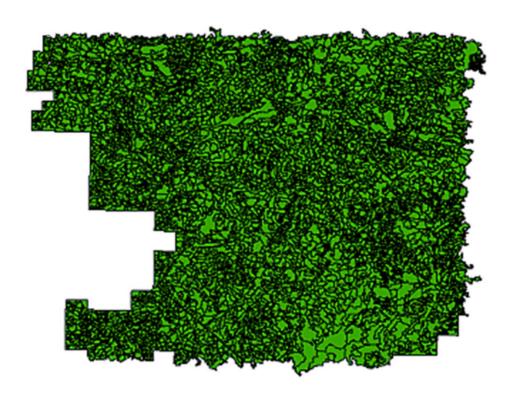


Formulation as an MDP

- Divide landscape into 4000 Management Units
- ▶ 10-Year time step
- State of each MU:
 - Age of trees
 - **\)** {0-9, 10-19, 20-29, 30-39, 40-49}
 - Amount of fuel
 - ▶ {none, low, medium, high, very high}
 - 25 possible combinations
 - ▶ 25⁴⁰⁰⁰ possible states for the landscape

Actions in each MU each decade

- Do nothing
- Fuel treatment (costs money)
- Harvest trees (makes money, but increases fuel)
- ▶ Harvest + Fuel
- ▶ 4⁴⁰⁰⁰ possible actions over landscape

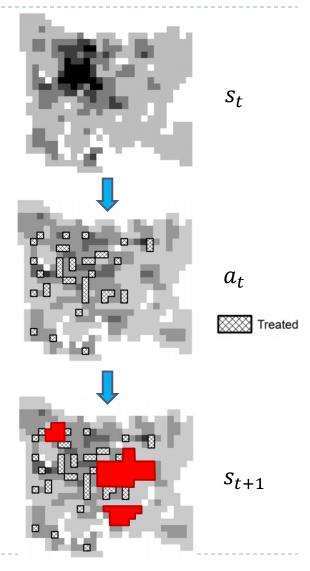


Study area in Deschutes National Forest

Game Against "Neutral" Nature

▶ For each time step t

- Our turn:
 - Observe current state s_t (i.e., state of all MUs)
 - \triangleright Choose action vector a_t
 - Execute the actions in the MUs
- Nature's turn:
 - Stochastically ignite and burn fires on the landscape (Implemented by ignition model + fire spread model)
 - Grow trees and fuel (Implemented by forest growth model)



Open Problem: Solving This MDP

One-shot Method [Wei, et al., 2008]

- Run 1000s of simulated fires to generate fire risk map and fire propagation graph
- Formulate and solve Mixed Integer Program to compute optimal one-shot solution

Challenge:

Develop methods that can solve the MDP over long time horizons

Optimal Management of Difficult-to-Observe Invasive Species [Regan et al., 2011]

- Branched Broomrape (Orobanche ramosa)
 - Annual parasitic plant
 - Attaches to root system of host plant
 - Results in 75-90% reduction in host biomass
 - ► Each plant makes ~50,000 seeds
 - Viable for 12 years
- Quarantine Area in S. Australia
 - > 375 farms; 70km x 70km area



Formulation as a POMDP: Single Farm

States:

{Empty, Seeds, Plants & Seeds}

Actions:

Nothing, Host Denial, Fumigation

Observations:

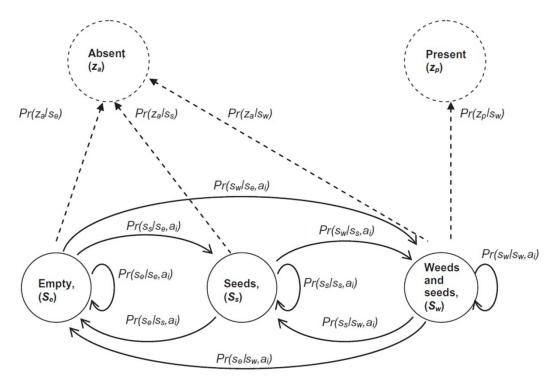
- {Absent, Present}
- Detection probability d

Rewards:

Cost(Nothing) <Cost(Host Denial) <Cost(Fumigation)

Objective:

20-year discounted reward (discount = 0.96)



State Diagram

Optimal MDP Policy

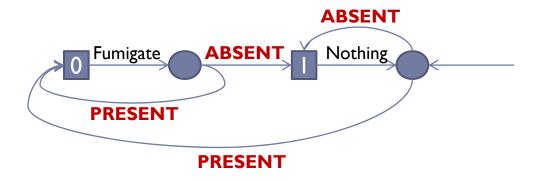
- If plant is detected, Fumigate; Else Do Nothing
 - Assumes perfect detection



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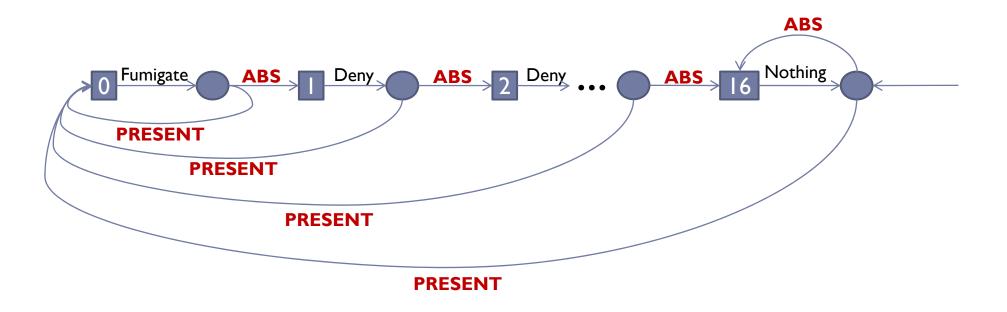
Optimal Policy for $d \ge 0.5$





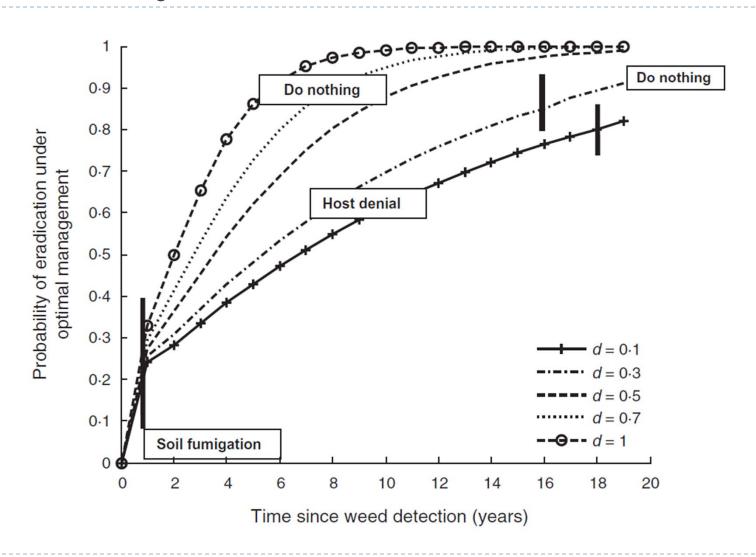
Same as the Optimal MDP Policy

Optimal Policy for d = 0.3



- Deny Host for 15 years before switching to Nothing
- For d=0.1, Deny Host for 17 years before switching to Nothing

Probability of Eradication



Discussion

- POMDP is exactly solvable because the state space is very small
- Real problem is a spatial meta-population at two scales
 - Within a single farm
 - ▶ Among the 375 farms in the quarantine area
 - \rightarrow 3³⁷⁵ states
 - ▶ Exact solution of large POMDPs is beyond the state of the art

Outline

Data Acquisition

- Sensors: Physical sensors, human observers, repurposing data from other sources
- Data interpretation: Extracting signals from data

Ecological Models

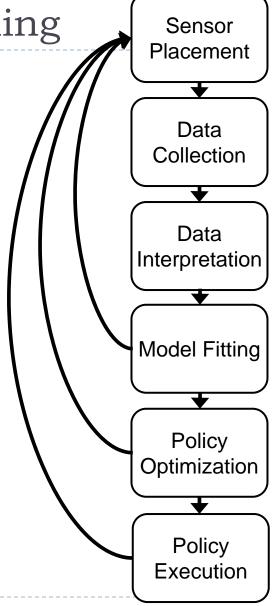
- Species Distribution Models
- Dynamical Models: Dispersal, Migration, Invasion, Climate Change

Policy Optimization

- Conservation: Reserve design, Network design
- Invasive species: Eradication, restoration, monitoring
- Fisheries: Managing harvest levels

Challenges for Machine Learning: Sensor Placement/Active Learning

- We have...
 - Algorithms for one real-valued quantity
 - assuming stationary correlations, perfect observations
- ▶ We need...
 - Algorithms for multiple quantities
 - real-valued: nutrients, temperature, precipitation
 - counts: species abundance for multiple species
 - discrete: species presence/absence for multiple species
 - Algorithms that consider dynamics, detectability, patchiness (meta-populations)



Challenges for Data Interpretation

We have...

- Algorithms for individual modalities at single scales
 - object recognition
 - bioacoustics
 - ▶ RFID tags
- ▶ We need...
 - Methods for integrating sensor modalities at vastly different scales in space and time
 - data integration at multiple scales
 - joint interpretation (sensor fusion) of multiple sensors to improve accuracy of data interpretation
 - Better tools for data management, feature definition, visualization, synthetic data generation (for debugging and testing)

Challenges for Learning Algorithms

We have...

- Species Distribution Models for single species with partial detectability
 - stationary, non-spatial

We need...

- Species Distribution Models for thousands of species
 - model competition, predation, dispersal
 - explicitly spatial
- Meta-Population Models for multiple species
- Models that link abiotic quantities (nutrients, temperature, precipitation) and biotic quantities (species, populations)

Challenges for Optimization

We have...

- One-shot algorithms for meta-populations and fires
- Exact algorithms for modest-sized MDPs
- Exact algorithms for tiny POMDPs
- Algorithms that optimize a scalar reward in expectation

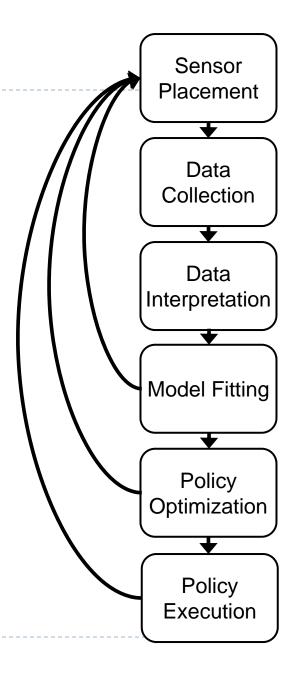
We need...

- Algorithms for MDP/POMDP planning for meta-populations and spatial processes
- That consider multiple criteria
- That are robust to misspecified dynamics and rewards

Data → Models → Policies: Overall Challenges

lt isn't a pipeline

- We need algorithms that integrate/couple all parts of the process
- Learning algorithms should be integrated with policy optimization
- Sensor placement should be sensitive to all goals



Closing

- Links to data, software, and papers available in the electronic version of these slides
- ▶ Thank-you's
 - BuglD team, especially Wei Zhang, Natalia Larios, Junyuan Lin, Gonzalo Martinez
 - David Winkler
 - Jane Elith and Steven Phillips
 - Lab of Ornithology collaborators: Daniel Fink, Steve Kelling and the thousands of eBirders
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Questions?

Data Resources

Species Distribution Models

- eBird Reference Dataset 2.0
 - <u>http://www.avianknowledge.net/content/features/archive/ebird-reference-dataset-2-0-released</u>
 - ▶ eBird checklist data along with an excellent set of covariates
 - set of suggested analysis problems
- Fine-Grained Image Classification
 - Oregon State STONEFLY9 dataset
 - http://web.engr.oregonstate.edu/~tgd/bugid/stonefly9/
 - ▶ EPT 54 coming soon...
 - Caltech/UCSD CUB-200 bird dataset
 - http://www.vision.caltech.edu/visipedia/CUB-200.html
 - Oxford Flower dataset (102 classes)
 - http://www.robots.ox.ac.uk/~vgg/data/flowers/102/index.html

Model Resources

- Meta-Population Models
 - SPOMSIM
 - http://www.helsinki.fi/bioscience/consplan/software/SPOMSIM.html
 - Synthetic Red-Cockaded Woodpecker instances
 - http://www.cs.cornell.edu/~kiyan/rcw/generator.htm

Machine Learning Algorithms

- Phillips' Maxent Package
 - http://www.cs.princeton.edu/~schapire/maxent/

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