

# Lecture 10

Wednesday, January 29, 2020

Let  $U$  and  $V$  be subspaces of  $F^k$  ( $\mathbb{Q}^k$ ,  $\mathbb{R}^k$  or  $\mathbb{C}^k$ ). To find a basis of  $U+V$ , we do the following:

- 1) Find a basis of  $U$ , say  $B_1 = \{u_1, u_2, \dots, u_n\}$
- 2) Find a basis of  $V$ , say  $B_2 = \{v_1, v_2, \dots, v_m\}$ .
- 3) Put  $B = B_1 \cup B_2 = \{u_1, \dots, u_n, v_1, \dots, v_m\}$ .

Then form matrix

$$A = \begin{bmatrix} | & | & & | & | & & | \\ u_1 & u_2 & \dots & u_n & v_1 & v_2 & \dots & v_m \\ | & | & & | & | & & | \end{bmatrix}$$

We have  $U+V = \text{span}(B_1 \cup B_2) = \text{col}(A)$ . We already know from Linear Algebra I how to find a basis of  $\text{col}(A)$ . Accordingly, we find the RREF of  $A$ .

$$A \longrightarrow \begin{bmatrix} \dots \\ \dots \\ \dots \end{bmatrix}$$

↑ ↑

pivot columns tell us which columns of  $A$  we should take to form a basis of  $\text{col}(A)$ .

In case  $U$  and  $V$  are abstract vector spaces, for example when their elements are matrices or polynomials, then we will need to transform the problem on the abstract space to a problem on  $F^k$ . This is done through coordinates. Consider the following example.

Ex:

$$U = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_{2 \times 2}(\mathbb{R}) : a=d=0, b+c=0 \right\}$$

$$V = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_{2 \times 2}(\mathbb{R}) : a+d=0, b+c=0 \right\}$$

Find a basis of  $U+V$ .

There are two ways to do this problem.

Method 1: use coordinates

We observe that  $U$  and  $V$  are subsets of  $M_{2 \times 2}(\mathbb{R})$ . We pick a basis of  $M_{2 \times 2}(\mathbb{R})$  and convert the problem in terms of coordinates. Let us choose the standard basis of  $M_{2 \times 2}(\mathbb{R})$ :

$$\mathcal{B} = \left\{ \underbrace{\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}}_{E_1}, \underbrace{\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}}_{E_2}, \underbrace{\begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}}_{E_3}, \underbrace{\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}}_{E_4} \right\}$$

A matrix  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  has coordinate  $[A]_{\mathcal{B}} = \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$

because  $A = aE_1 + bE_2 + cE_3 + dE_4$ .

Subspace  $U$  of  $M_{2 \times 2}(\mathbb{R})$  now corresponds to

$$U' = \left\{ \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \in \mathbb{R}^4. \quad a = d = 0, \quad b + c = 0 \right\}.$$

In other words,  $U'$  is the set of coordinates of vectors in  $U$  (with respect to basis  $\mathcal{B}$ ). Similarly, subspace  $V$  of  $M_{2 \times 2}(\mathbb{R})$  corresponds to

$$V' = \left\{ \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \in \mathbb{R}^4. \quad a + d = 0, \quad b + c = 0 \right\}.$$

Now the problem becomes: find a basis of  $U' + V'$ . Note that we are now working in  $\mathbb{R}^4$ , not in an abstract vector space anymore. We will follow the procedure set forth earlier.

• Find a basis of  $U'$ .

In the description of  $U'$ , there are 3 constraints:  $a = 0, d = 0, b + c = 0$ . The idea is to try to remove all constraints, leaving only free variables.

We can do so by substituting  $a$  and  $d$  by 0, and  $c$  by  $-b$ . Now  $b$  is a free variable

$$U' = \left\{ \begin{bmatrix} 0 \\ b \\ -b \\ 0 \end{bmatrix} : b \in \mathbb{R} \right\} = \left\{ b \begin{bmatrix} 0 \\ 1 \\ -1 \\ 0 \end{bmatrix} : b \in \mathbb{R} \right\} = \text{span} \left\{ \underbrace{\begin{bmatrix} 0 \\ 1 \\ -1 \\ 0 \end{bmatrix}}_{u'_1} \right\}$$

Thus,  $U'$  has basis  $B'_1 = \{u'_1\}$ .

• Find basis of  $V'$ .

In the same spirit, we substitute  $-a$  for  $d$ , and  $-b$  for  $c$ , leaving  $a$  and  $b$  free variables.

$$V' = \left\{ \begin{bmatrix} a \\ b \\ -b \\ -a \end{bmatrix} : a, b \in \mathbb{R} \right\} = \left\{ a \begin{bmatrix} 1 \\ 0 \\ 0 \\ -1 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ -1 \\ 0 \end{bmatrix} : a, b \in \mathbb{R} \right\}$$

$$= \text{span} \left\{ \underbrace{\begin{bmatrix} 1 \\ 0 \\ 0 \\ -1 \end{bmatrix}}_{v'_1}, \underbrace{\begin{bmatrix} 0 \\ 1 \\ -1 \\ 0 \end{bmatrix}}_{v'_2} \right\}$$

To say that  $\{v'_1, v'_2\}$  is a basis of  $V'$ , we need to check if they are linearly independent. Consider the equation  $c_1 v'_1 + c_2 v'_2 = 0$  with unknowns  $c_1$  and  $c_2$ . This equation is equivalent to

$$\begin{bmatrix} c_1 \\ c_2 \\ -c_2 \\ -c_1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix},$$

which implies  $c_1 = c_2 = 0$ . Therefore,  $\{v'_1, v'_2\}$  is linearly independent. Thus,  $B'_2 = \{v'_1, v'_2\}$  is a basis of  $V'$ .

• Find a basis of  $U' + V'$ .

We will remove "redundancy" from the set  $B' = B'_1 \cup B'_2 = \{u'_1, v'_1, v'_2\}$

$$\begin{bmatrix} | & | & | \\ u'_1 & v'_1 & v'_2 \\ | & | & | \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ -1 & 0 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

One can do row reduction by hand or use the command `rref` in Matlab.

$$\gg A = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ -1 & 0 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

$\gg \text{rref}(A)$

$$\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

↑ ↑  
pivot columns

Therefore,  $\{u'_i, v'_i\}$  is a basis of  $U' + V'$ .

We convert the result back to the abstract setting.

$$u'_i = \begin{bmatrix} 0 \\ 1 \\ -1 \\ 0 \end{bmatrix} \text{ is the coordinate of matrix } A_1 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}.$$

$$v'_i = \begin{bmatrix} 1 \\ 0 \\ 0 \\ -1 \end{bmatrix} \text{ is the coordinate of matrix } A_2 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

A basis of  $U+V$  is  $\{A_1, A_2\}$ .

■ Method 2: free of coordinate

In this method, we only use the definition of  $U+V$ , without resorting to coordinates. We have

$$U+V = \{A+B \mid A \in U, B \in V\}$$

$$= \left\{ A+B : A = \begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix}, B = \begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix}, a_1 = d_1 = 0, b_1 + c_1 = 0, a_2 + d_2 = b_2 + c_2 = 0 \right\}$$

$$= \left\{ \begin{bmatrix} a_1 + a_2 & b_1 + b_2 \\ c_1 + c_2 & d_1 + d_2 \end{bmatrix} : a_1 = d_1 = 0, b_1 + c_1 = 0, a_2 + d_2 = b_2 + c_2 = 0 \right\}$$

We try to eliminate all constraints, leaving only free variables. This is done by substituting 0 for  $a_1$  and  $d_1$ ,  $-b_1$  for  $c_1$ ,  $-b_2$  for  $c_2$ ,  $-a_2$  for  $d_2$ . Then we are left with only free variables  $a_2, b_1, b_2$ .

