

Name: Tuan Pham

Math 8702: Complex Analysis

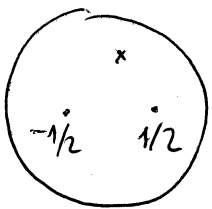
ID: 4652218

Take home Final

(2) Put $\Omega = \mathbb{D} \setminus \{-\frac{1}{2}, \frac{1}{2}\}$.

We want to find all analytic functions $f: \Omega \rightarrow \Omega$ such that for any cycle γ in Ω that is not homologous to 0 (mod Ω), $f_*\gamma = f \circ \gamma$ is not homologous to 0 (mod Ω) either.

Suppose that f is such a function. Then f is not a constant function. Indeed, if f were a constant function, it would map every cycle to a cycle whose image is a point in Ω ; such a cycle of course has winding number zero with respect to both $-1/2$ and $1/2$; and so it would be homologous to 0 (mod Ω).



Next, we will show that f can extend to an analytic function from \mathbb{D} to \mathbb{D} . Note that currently f has two isolated singularities at $\pm 1/2$. Since f

maps Ω into Ω , it is bounded. Thus,

$$\lim_{z \rightarrow -\frac{1}{2}} (z + \frac{1}{2}) f(z) = 0 \quad \text{and} \quad \lim_{z \rightarrow \frac{1}{2}} (z - \frac{1}{2}) f(z) = 0.$$

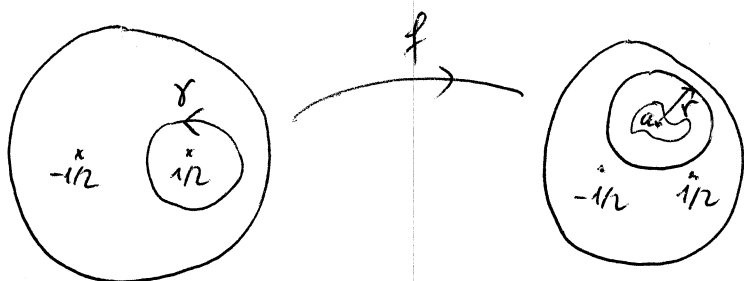
This means f can extend to an analytic function on \mathbb{D} by defining

2

$$f\left(-\frac{1}{2}\right) := \lim_{z \rightarrow -\frac{1}{2}} f(z), \quad f\left(\frac{1}{2}\right) := \lim_{z \rightarrow \frac{1}{2}} f(z).$$

Then $f\left(\frac{1}{2}\right), f\left(-\frac{1}{2}\right) \in \bar{\mathbb{D}}$. Thus f is an analytic function from \mathbb{D} to $\bar{\mathbb{D}}$. Since f is nonconstant, it is an open mapping. Thus $f(\mathbb{D})$ is an open subset of \mathbb{C} . Thus, $f(\mathbb{D})$ must be contained in the interior of $\bar{\mathbb{D}}$, which is \mathbb{D} . This means f is a map from \mathbb{D} to \mathbb{D} .

Next, we will show that $f\left(-\frac{1}{2}\right), f\left(\frac{1}{2}\right) \in \left\{-\frac{1}{2}, \frac{1}{2}\right\}$. Suppose that this is not true. Then we can assume WLOG that $f\left(\frac{1}{2}\right) = a \in \Omega$.



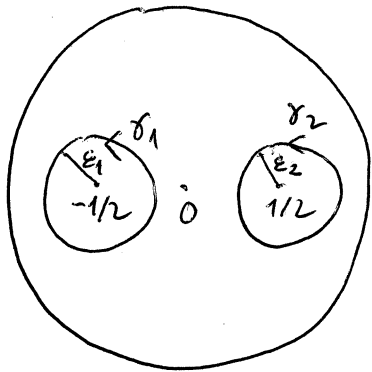
Then we can choose an open disk $D(a, r)$ that lies entirely in Ω .

Since f is continuous, there exists $\varepsilon > 0$ such that $f(D(\frac{1}{2}, \varepsilon)) \subset D(a, r)$. Let γ be the simple closed path $\partial D(\frac{1}{2}, \frac{\varepsilon}{2})$. Then γ is not homologous to 0 (mod Ω) because $n(\gamma, \frac{1}{2}) = 1 \neq 0$. We know, however, that $f_*(\gamma)$ is a closed path lying in $D(a, r)$. Thus its winding numbers with respect to $-\frac{1}{2}$ and $\frac{1}{2}$ are both zero. Then $f_*(\gamma)$ is homologous to 0 (mod Ω). This is a contradiction.

We have proved that $f\left(\frac{1}{2}\right) = \pm\frac{1}{2}$ and $f\left(-\frac{1}{2}\right) = \pm\frac{1}{2}$. Next, we will show that $f\left(\frac{1}{2}\right) \neq f\left(-\frac{1}{2}\right)$. Suppose by contradiction that

$f(\frac{1}{2}) = f(-\frac{1}{2}) = \frac{1}{2}$ (the case they are equal to $-\frac{1}{2}$ will be treated similarly). Then $\frac{1}{2}$ and $-\frac{1}{2}$ are zeros of the function $f(z) - \frac{1}{2}$. Since f is nonconstant, they are isolated zeros. Denote by $m \in \mathbb{N}$ the order of zero at $\frac{1}{2}$ of $f(z) - \frac{1}{2}$, and by $l \in \mathbb{N}$ the order of zero at $-\frac{1}{2}$ of $f(z) - \frac{1}{2}$.

Since $-\frac{1}{2}$ is an isolated zero of $f(z) - \frac{1}{2}$, there is $\varepsilon_1 > 0$ such that $-\frac{1}{2}$ is the only zero of $f(z) - \frac{1}{2}$ in $\overline{D}(-\frac{1}{2}, \varepsilon_1)$. Moreover, by the continuity of f , we could have chosen $\varepsilon_1 > 0$ such that $f(\overline{D}(-\frac{1}{2}, \varepsilon_1)) \subset D(\frac{1}{2}, \frac{1}{4})$. Put $\gamma_1 = \partial D(-\frac{1}{2}, \varepsilon_1)$ which is positively



oriented. Then γ_1 has 3 following properties:

- γ_1 does not pass through any zero of $f(z) - \frac{1}{2}$
- $-\frac{1}{2}$ is the only zero of $f(z) - \frac{1}{2}$ that is enclosed in γ_1 ,
- $f \circ \gamma_1$ doesn't enclose $-\frac{1}{2}$, i.e. $n(f \circ \gamma_1, -\frac{1}{2}) = 0$, because it is a loop in $D(\frac{1}{2}, \frac{1}{4})$.

Similarly, since $\frac{1}{2}$ is an isolated zero of $f(z) - \frac{1}{2}$, there is $\varepsilon_2 > 0$ such that $\frac{1}{2}$ is the only zero of $f(z) - \frac{1}{2}$ in $\overline{D}(\frac{1}{2}, \varepsilon_2)$. Moreover,

4

by the continuity of f , we could have chosen $\varepsilon_2 > 0$ such that $f(\bar{D}(\frac{1}{2}, \varepsilon_2)) \subset D(\frac{1}{2}, \frac{1}{4})$. Put $\gamma_2 = \partial D(\frac{1}{2}, \varepsilon_2)$ which is positively oriented. Then γ_2 has 3 following properties.

- γ_2 does not pass through any zero of $f(z) - \frac{1}{2}$,
- $\frac{1}{2}$ is the only zero of $f(z) - \frac{1}{2}$ that is enclosed in γ_2 ,
- $f \circ \gamma_2$ does not enclose $-\frac{1}{2}$, i.e. $n(f \circ \gamma_2, -\frac{1}{2}) = 0$, because it is a loop in $D(\frac{1}{2}, \frac{1}{4})$.

Consider a cycle $\gamma = l\gamma_1 - m\gamma_2$. It is not homologous to 0 (mod Ω) because $n(\gamma, -1/2) = l \underbrace{n(\gamma_1, -1/2)}_1 - m \underbrace{n(\gamma_2, -1/2)}_0 = l \neq 0$. Thus $f_*\gamma$ must be not homologous to 0 (mod Ω). We have

$$f_*\gamma = l f_*\gamma_1 - m f_*\gamma_2 = f \circ \gamma_1 - m (f \circ \gamma_2)$$

$$\text{Then } n(f_*\gamma, -\frac{1}{2}) = l \underbrace{n(f \circ \gamma_1, -\frac{1}{2})}_0 - m \underbrace{n(f \circ \gamma_2, -\frac{1}{2})}_0 = 0.$$

$$\text{Also, } n(f_*\gamma, \frac{1}{2}) = l \cdot n(f \circ \gamma_1, \frac{1}{2}) - m \cdot n(f \circ \gamma_2, \frac{1}{2}). \quad (*)$$

$$\begin{aligned} n(f \circ \gamma_1, \frac{1}{2}) &= \frac{1}{2\pi i} \int_{f \circ \gamma_1} \frac{dw}{w - \frac{1}{2}} = \frac{1}{2\pi i} \int_0^1 \frac{(f \circ \gamma_1)'(t) dt}{f(\gamma_1(t)) - \frac{1}{2}} \\ &= \frac{1}{2\pi i} \int_0^1 \frac{f'(\gamma_1(t)) \gamma_1'(t)}{f(\gamma_1(t)) - \frac{1}{2}} dt \\ &= \frac{1}{2\pi i} \int_{\gamma_1} \frac{f'(z)}{f(z) - \frac{1}{2}} dz \end{aligned}$$

By the Argument Principle, this is the number of zeros of $f(z) - \frac{1}{2}$, counted with multiplicity, that are enclosed in γ_1 . By the choice of γ_1 , we get $n(f \circ \gamma_1, \frac{1}{2}) = m$.

Similarly,
$$n(f \circ \gamma_2, \frac{1}{2}) = \frac{1}{2\pi i} \int_{\gamma_2} \frac{f'(z)}{f(z) - \frac{1}{2}} dz,$$

which is equal to the number of zeros of $f(z) - \frac{1}{2}$, counted with multiplicity, that are enclosed in γ_2 . By the choice of γ_2 , we get $n(f \circ \gamma_2, \frac{1}{2}) = l$. By (*), $n(f_*(\gamma), \frac{1}{2}) = l \cdot m - m \cdot l = 0$. Thus,

$$n(f_*(\gamma), \frac{1}{2}) = n(f_*(\gamma), -\frac{1}{2}) = 0.$$

This means $f_*(\gamma)$ is homologous to 0 (mod Ω), which is a contradiction.

We have proved that $f(\frac{1}{2}) \neq f(-\frac{1}{2})$. Therefore, there are only two possibilities, namely $(f(\frac{1}{2}) = \frac{1}{2}, f(-\frac{1}{2}) = -\frac{1}{2})$ and $(f(\frac{1}{2}) = -\frac{1}{2}, f(-\frac{1}{2}) = \frac{1}{2})$.

Case 1 $f(\frac{1}{2}) = \frac{1}{2}$ and $f(-\frac{1}{2}) = -\frac{1}{2}$.

Let g be the linear fractional transformation under which

$$1 \mapsto 1,$$

$$\frac{1}{2} \mapsto 0,$$

$$2 \mapsto \infty.$$

This results in $g(z) = (z, 1, \frac{1}{2}, 2) = \frac{-2z+1}{z-2}$.

The inverse map is $g^{-1}(w) = \frac{2w+1}{w+2}$.

6

Since 1 is on the unit circle (C) , 2 is the reflection point of $\frac{1}{2}$, and ω is the reflection point of 0 with respect to (C) , g maps (C) to (C) and maps the unit disk \mathbb{D} conformally to itself.

Put $h = g \circ f \circ g^{-1}: \mathbb{D} \rightarrow \mathbb{D}$. Then

$$h(0) = g \circ f \circ g^{-1}(0) = g \circ f\left(\frac{1}{2}\right) = g\left(\frac{1}{2}\right) = 0,$$

$$h\left(-\frac{4}{5}\right) = g \circ f \circ g^{-1}\left(-\frac{4}{5}\right) = g \circ f\left(-\frac{1}{2}\right) = g\left(-\frac{1}{2}\right) = -\frac{4}{5}.$$

Therefore, by Schwarz's lemma, h is a rotation about the origin. Since h fixes $-\frac{4}{5}$, h is the identity map. Thus $f = g^{-1} \circ h \circ g$ is also the identity map.

Case 2 $f\left(\frac{1}{2}\right) = -\frac{1}{2}$ and $f\left(-\frac{1}{2}\right) = \frac{1}{2}$.

Put $\tilde{f}(z) = f(-z)$. Then $\tilde{f}\left(\frac{1}{2}\right) = \frac{1}{2}$ and $\tilde{f}\left(-\frac{1}{2}\right) = -\frac{1}{2}$. We return to case 1 and conclude $\tilde{f}(z) = z \quad \forall z \in \mathbb{D}$. Thus $f(z) = -z \quad \forall z \in \mathbb{D}$.

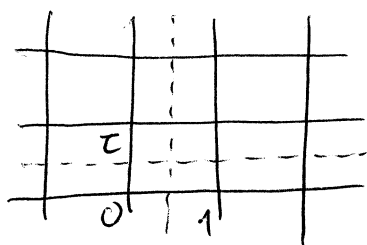
We have proved that there are at most two functions f satisfying the condition in the problem, namely $f_1(z) = z \quad \forall z \in \Omega$ and $f_2(z) = -z \quad \forall z \in \Omega$. Conversely, any cycle γ in Ω is mapped to itself under $(f_1)_*$. Thus if γ is not homologous to 0 (mod Ω), $(f_1)_*(\gamma)$ is not either. Now suppose that γ is a cycle that is not homologous to 0 (mod Ω). Then either $n(\gamma, \frac{1}{2}) \neq 0$ or $n(\gamma, -\frac{1}{2}) \neq 0$.

Since f_2 is the reflection about 0, $(f_2)_*(\gamma)$ is the reflection of γ about 0. Thus $n((f_2)_*(\gamma), \frac{1}{2}) = n(\gamma, -\frac{1}{2})$ and $n((f_2)_*(\gamma), -\frac{1}{2}) = n(\gamma, \frac{1}{2})$. This means $(f_2)_*(\gamma)$ is not homologous to 0 (mod Ω).

In conclusion, all functions f satisfying the problem are $f_1(z) \equiv z$ and $f_2(z) \equiv -z$.

① Put $\tau = it$ where t is a positive real number. Consider the lattice in the complex plane generated by 1 and τ :

$$\Lambda = \{n + m\tau : m, n \in \mathbb{Z}\}$$



Let $\wp(z)$ be the Weierstrass function associated with the lattice Λ .

$$\wp(z) = \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z+n+m\tau)^2} - \frac{1}{(n+m\tau)^2} \right]$$

(a) We'll show that $\wp(z)$ is real-valued on the horizontal lines $y = \frac{mt}{2}$ and on the vertical lines $x = \frac{n}{2}$. Since $\wp(z)$ is doubly periodic with periods 1 and τ , it suffices to show that $\wp(z)$ is real-valued on 4 lines, namely the imaginary axis (except at the lattice points), the real axis (except at the lattice points), the line $x = \frac{1}{2}$ and $y = \frac{t}{2}$.

Consider the imaginary axis

Let z be a point on the imaginary axis which is not a lattice point. We have $\bar{z} = -z$ and $\bar{\tau} = -\tau$. Then

$$\begin{aligned} \overline{\mathcal{P}(z)} &= \frac{1}{\bar{z}^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(\bar{z} + n + m\bar{\tau})^2} - \frac{1}{(n + m\bar{\tau})^2} \right] \\ &= \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(-z + n - m\tau)^2} - \frac{1}{(n - m\tau)^2} \right] \quad (1) \end{aligned}$$

Since the lattice is invariant under the transformation $(m,n) \mapsto (m,-n)$, we can replace (m,n) by $(m,-n)$ in the summands. Then (1) becomes

$$\overline{\mathcal{P}(z)} = \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(-z - n - m\tau)^2} - \frac{1}{(-n - m\tau)^2} \right] = \mathcal{P}(z).$$

Thus $\mathcal{P}(z) \in \mathbb{R}$.

Consider the real axis

Let z be a point on the real axis which is not a lattice point.

Then $\bar{z} = z$.

$$\begin{aligned} \overline{\mathcal{P}(z)} &= \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(\bar{z} + n + m\bar{\tau})^2} - \frac{1}{(n + m\bar{\tau})^2} \right] \\ &= \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z + n - m\tau)^2} - \frac{1}{(n - m\tau)^2} \right] \quad (2) \end{aligned}$$

Since the lattice is invariant under the transformation $(m,n) \mapsto (-m,n)$, we can replace (m,n) by $(-m,n)$ in the summands. Then (2) becomes

$$\overline{\mathcal{P}(z)} = \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z + n + m\tau)^2} - \frac{1}{(n + m\tau)^2} \right] = \mathcal{P}(z).$$

Thus $\mathcal{P}(z) \in \mathbb{R}$.

Consider the line $x = \frac{1}{2}$

Let z be a point on the vertical line $x = \frac{1}{2}$. Then $z = \frac{1}{2} + iy$ and $\bar{z} = \frac{1}{2} - iy$. Thus $z + \bar{z} = 1$, or equivalently $\bar{z} = 1 - z$.

$$\begin{aligned}
 \overline{\mathcal{P}(z)} &= \frac{1}{\bar{z}^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(\bar{z} + n + m\bar{z})^2} - \frac{1}{(n + m\bar{z})^2} \right] \\
 &= \frac{1}{(\frac{1}{2} - iy)^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z + (n+1) - m\bar{z})^2} - \frac{1}{(n - m\bar{z})^2} \right] \\
 &= \frac{1}{(\frac{1}{2} - iy)^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z - (n+1) + m\bar{z})^2} - \frac{1}{(-n + m\bar{z})^2} \right] \\
 &= \frac{1}{(\frac{1}{2} - iy)^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{z - (n+1) + m\bar{z}}^2 - \frac{1}{(z - n + m\bar{z})^2} \right] \\
 &\quad + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z - n + m\bar{z})^2} - \frac{1}{(-n + m\bar{z})^2} \right] \quad (3)
 \end{aligned}$$

By replacing (m,n) with $(m,-n)$, the second sum of RHS(3) is equal to $\mathcal{P}(z) - \frac{1}{z^2}$. The first sum of RHS(3) can be rewritten as follows

$$\sum_{m \in \mathbb{Z}^+} \left\{ \underbrace{\sum_{n \in \mathbb{Z}} \left[\frac{1}{(z - (n+1) + m\bar{z})^2} - \frac{1}{(z - n + m\bar{z})^2} \right]}_A \right\} + \underbrace{\sum_{n \in \mathbb{Z}^+} \left[\frac{1}{(z - (n+1))^2} - \frac{1}{(z - n)^2} \right]}_B \quad (4)$$

We have

$$A = \lim_{N \rightarrow \infty} \sum_{n=-N}^N \left[\frac{1}{(z - (n+1) + m\bar{z})^2} - \frac{1}{(z - n + m\bar{z})^2} \right]$$

10

$$= \lim_{N \rightarrow \infty} \left[\frac{1}{(z - (N+1) + m\tau)^2} - \frac{1}{(z + N + m\tau)^2} \right] = 0,$$

$$\begin{aligned} B &= \lim_{N \rightarrow \infty} \sum_{n=-N}^{-1} \left[\frac{1}{(z - (n+1))^2} - \frac{1}{(z - n)^2} \right] + \lim_{N \rightarrow \infty} \sum_{n=1}^N \left[\frac{1}{(z - (n+1))^2} - \frac{1}{(z - n)^2} \right] \\ &= \lim_{N \rightarrow \infty} \left(\frac{1}{z^2} - \frac{1}{(z + N)^2} \right) + \lim_{N \rightarrow \infty} \left(\frac{1}{(z - (N+1))^2} - \frac{1}{(z - 1)^2} \right) \\ &= \frac{1}{z^2} - \frac{1}{(z - 1)^2}. \end{aligned}$$

Now $(4) = B = \frac{1}{z^2} - \frac{1}{(z-1)^2}$. Then (4) becomes

$$\overline{P(z)} = \frac{1}{(z-1)^2} + \left(\frac{1}{z^2} - \frac{1}{(z-1)^2} \right) + \left(P(z) - \frac{1}{z^2} \right) = P(z).$$

Thus $P(z) \in \mathbb{R}$.

Consider the line $y = \frac{t}{2}$

Let z be a point on the horizontal line $y = \frac{t}{2}$. Then $z = x + \frac{ti}{2}$.

Thus $\bar{z} = x - \frac{ti}{2}$ and $z - \bar{z} = ti = \tau$. Equivalently, $\bar{z} = z - \tau$.

$$\begin{aligned} \overline{P(z)} &= \frac{1}{\bar{z}^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(\bar{z} + n + m\bar{\tau})^2} - \frac{1}{(n + m\bar{\tau})^2} \right] \\ &= \frac{1}{(z - \tau)^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z + n - (m+1)\tau)^2} - \frac{1}{(n - m\tau)^2} \right] \\ &= \frac{1}{(z - \tau)^2} + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z + n - (m+1)\tau)^2} - \frac{1}{(z + n - m\tau)^2} \right] \\ &\quad + \sum_{(m,n) \neq (0,0)} \left[\frac{1}{(z + n - m\tau)^2} - \frac{1}{(n - m\tau)^2} \right] \quad (5) \end{aligned}$$

By replacing (m, n) with $(-m, n)$, the second sum of RHS (5) is equal to $\overline{P(z)} - \frac{1}{z^2}$. The first sum of RHS (5) can be rewritten as follows.

$$\sum_{n \in \mathbb{Z}^+} \left\{ \underbrace{\sum_{m \in \mathbb{Z}} \left[\frac{1}{(z+n-(m+1)\tau)^2} - \frac{1}{(z+n-m\tau)^2} \right]}_C \right\} + \underbrace{\sum_{m \in \mathbb{Z}^+} \left[\frac{1}{(z-(m+1)\tau)^2} - \frac{1}{(z-m\tau)^2} \right]}_D \quad (6)$$

We have

$$C = \lim_{N \rightarrow \infty} \sum_{m=-N}^N \left[\frac{1}{(z+n-(m+1)\tau)^2} - \frac{1}{(z+n-m\tau)^2} \right]$$

$$= \lim_{N \rightarrow \infty} \left[\frac{1}{(z+n-(N+1)\tau)^2} - \frac{1}{(z+n+N\tau)^2} \right]$$

$$= 0,$$

$$D = \lim_{N \rightarrow \infty} \sum_{m=-N}^{-1} \left[\frac{1}{(z-(m+1)\tau)^2} - \frac{1}{(z-m\tau)^2} \right] + \lim_{N \rightarrow \infty} \sum_{m=1}^N \left[\frac{1}{(z-(m+1)\tau)^2} - \frac{1}{(z-m\tau)^2} \right]$$

$$= \lim_{N \rightarrow \infty} \left(\frac{1}{z^2} - \frac{1}{(z+N\tau)^2} \right) + \lim_{N \rightarrow \infty} \left(\frac{1}{(z-(N+1)\tau)^2} - \frac{1}{(z-\tau)^2} \right)$$

$$= \frac{1}{z^2} - \frac{1}{(z-\tau)^2}.$$

Now (6) = D = $\frac{1}{z^2} - \frac{1}{(z-\tau)^2}$. Then (5) becomes

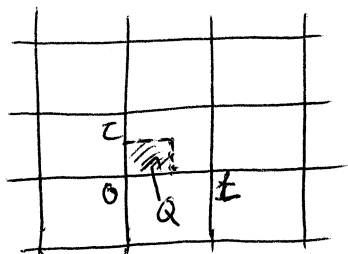
$$\overline{P(z)} = \frac{1}{(z-\tau)^2} + \left(\frac{1}{z^2} - \frac{1}{(z-\tau)^2} \right) + \left(P(z) - \frac{1}{z^2} \right) = P(z).$$

Thus $P(z) \in \mathbb{R}$.

(b) The grid lines $x = \frac{n}{2}$ and $y = \frac{m\tau}{2}$ divide the complex plane

12

into disjoint open rectangles. Put



$$\mathbb{H}^+ = \{z \in \mathbb{C} : \text{Im} z > 0\},$$

$$\mathbb{H}^- = \{z \in \mathbb{C} : \text{Im} z < 0\}.$$

We'll show that \mathcal{J} maps each of these rectangles conformally to \mathbb{H}^+ or \mathbb{H}^- . We'll prove this for

the open rectangle Q whose vertices are at $0, \frac{1}{2}, \frac{1+z}{2}, \frac{z}{2}$.

The method shown in the following also applies for other rectangles.

First, we will "show" that \mathcal{J} is injective on $\mathbb{C} \setminus \Lambda$. One of the problems in Homework 5 was Problem 1, Ahlfors page 276. It said that for any $z, u \in \mathbb{C} \setminus \Lambda$,

$$\mathcal{J}(z) - \mathcal{J}(u) = - \frac{\sigma(z-u)\sigma(z+u)}{\sigma(z)^2\sigma(u)^2},$$

where

$$\sigma(z) = z \prod_{w \in \Lambda^*} \left(1 - \frac{z}{w}\right) e^{\frac{z}{w} + \frac{1}{2}\left(\frac{z}{w}\right)^2}.$$

We see that the zeros of σ are exactly at the lattice points.

Thus, $\mathcal{J}(z) = \mathcal{J}(u) \Leftrightarrow \sigma(z-u) = 0$ or $\sigma(z+u) = 0$

$$\Leftrightarrow z-u \in \Lambda \text{ or } z+u \in \Lambda$$

In case $z, u \in Q$, we can write $z = \alpha + \beta\tau$, $u = \gamma + \delta\tau$,

where $0 < \alpha, \beta, \gamma, \delta < \frac{1}{2}$. Then $-\frac{1}{2} < \alpha - \gamma, \beta - \delta < \frac{1}{2}$ and

~~and~~

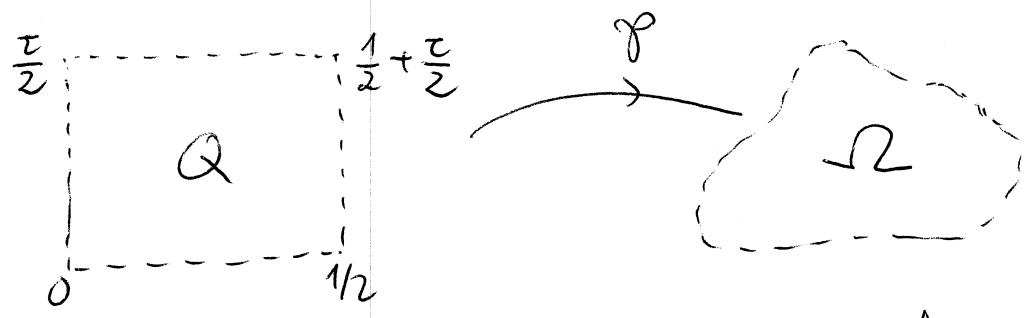
$$0 < \alpha + \gamma, \beta + \delta < 1.$$

Since $z+u = (\alpha+r) + (\beta+s)z$ and $0 < \alpha+r, \beta+s < 1$, it never lies on the lattice Λ . Thus

$$\begin{cases} \mathcal{P}(z) = \mathcal{P}(u) \\ z, u \in Q \end{cases} \Leftrightarrow \begin{cases} z-u \in \Lambda \\ z, u \in Q \end{cases} \Leftrightarrow \begin{cases} (\alpha-r) + (\beta-s)z \in \Lambda, \\ 0 < \alpha, \beta, r, s < \frac{1}{2}. \end{cases}$$

This happens only if $\alpha-r=0$ and $\beta-s=0$, i.e. $z=u$. Therefore, \mathcal{P} is injective on Q .

Consequently, \mathcal{P} maps Q conformally to a simply connected domain Ω in \mathbb{C} . We'll show that $\Omega = \mathbb{H}^+$ or $\Omega = \mathbb{H}^-$.

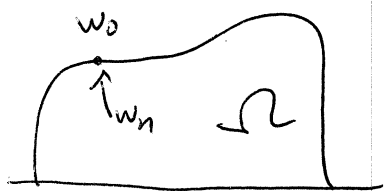


In the following, we'll use the following theorem (Theorem 2, Ahlfors page 233):

[Let f be a topological mapping of a region U to V . If (z_n) tends to the boundary of U then $(f(z_n))$ tends to the boundary of V]

Let (z_n) be any sequence in Q which tends to a point $a \in \partial Q \setminus \{0\}$. Since a lies on the boundary of Q , $\mathcal{P}(a) = \lim_{n \rightarrow \infty} \mathcal{P}(z_n)$ lies on the boundary of Ω by the above Theorem. As shown in part

(a), if $a \in \partial Q$ and $a \neq 0$ then $\mathcal{P}(a) \in \mathbb{R}$. Thus $\partial\Omega \cap \mathbb{R} \neq \emptyset$.



Next, we'll show that $\partial\Omega \subset \mathbb{R}$. Suppose by contradiction that there exists a point $w_0 \in (\partial\Omega) \setminus \mathbb{R}$. Then w_0 is the limit of

a sequence (w_n) in Ω . We apply the above Theorem for the map $(\mathcal{P}|_Q)^{-1}$ and the sequence (w_n) . Since $\lim w_n = w_0 \in \partial\Omega$, $\{\mathcal{P}^{-1}(w_n)\}$ tends to the boundary of Q . Since \bar{Q} is compact, there exists a subsequence $\{\mathcal{P}^{-1}(w_{n_k})\}$ that converges to some $z_0 \in \partial Q$. Thus, from the beginning we could have taken (w_n) to be the subsequence (w_{n_k}) to get $\lim_{n \rightarrow \infty} \mathcal{P}^{-1}(w_n) = z_0 \in \partial Q$. We consider two

cases of z_0 , namely $z_0 = 0$ and $z_0 \in (\partial Q) \setminus \{0\}$.

• $z_0 = 0$ Then $\lim_{n \rightarrow \infty} \mathcal{P}^{-1}(w_n) = 0$. Since 0 is a pole of \mathcal{P} ,

$$\lim_{n \rightarrow \infty} \mathcal{P}(\mathcal{P}^{-1}(w_n)) = \infty, \text{ i.e. } \lim_{n \rightarrow \infty} w_n = \infty.$$

This is a contradiction because (w_n) converges in \mathbb{C} .

• $z_0 \in (\partial Q) \setminus \{0\}$

Then \mathcal{P} is continuous at z_0 . Then $\lim \mathcal{P}(\mathcal{P}^{-1}(w_n)) = \mathcal{P}(z_0)$.

Thus, $\lim w_n = \mathcal{P}(z_0) \in \mathbb{R}$. This contradicts the fact that

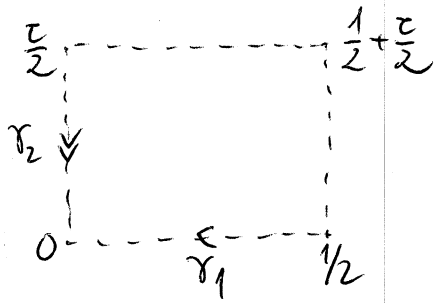
$\lim w_n = w_0 \notin \mathbb{R}$.

We have shown that $\partial\Omega \subset \mathbb{R}$. Next, we'll show that $\Omega = \mathbb{H}^+$ or $\Omega = \mathbb{H}^-$. Since Ω is a nonempty open subset of \mathbb{C} , it must intersect either \mathbb{H}^+ or \mathbb{H}^- .

Suppose that $\Omega \cap \mathbb{H}^+ \neq \emptyset$. Then $\Omega \cap \mathbb{H}^+$ is a nonempty open subset of \mathbb{H}^+ . We'll show that it is also closed in \mathbb{H}^+ . Let (w_n) be a sequence in $\Omega \cap \mathbb{H}^+$ that converges to some $w_0 \in \mathbb{H}^+$. We need to show that $w_0 \in \Omega \cap \mathbb{H}^+$. Suppose this is not true. Then $w_0 \notin \Omega$. Since w_0 is the limit of a sequence in Ω , $w_0 \in \partial\Omega$. Thus $w_0 \in \mathbb{R}$. This contradicts the fact that $w_0 \in \mathbb{H}^+$. Therefore, $\Omega \cap \mathbb{H}^+$ is nonempty, open and closed in \mathbb{H}^+ . Since \mathbb{H}^+ is connected, $\Omega \cap \mathbb{H}^+ = \mathbb{H}^+$. Thus, $\mathbb{H}^+ \subset \Omega$.

We have proved that if $\Omega \cap \mathbb{H}^+ \neq \emptyset$ then $\mathbb{H}^+ \subset \Omega$. Similarly, we can show that if $\Omega \cap \mathbb{H}^- \neq \emptyset$ then $\mathbb{H}^- \subset \Omega$. Therefore, to show that $\Omega = \mathbb{H}^+$ or $\Omega = \mathbb{H}^-$, we only need to show that Ω cannot intersect both \mathbb{H}^+ and \mathbb{H}^- . Suppose by contradiction that $\Omega \cap \mathbb{H}^+ \neq \emptyset$ and $\Omega \cap \mathbb{H}^- \neq \emptyset$. Then $\mathbb{H}^+, \mathbb{H}^- \subset \Omega$. We showed earlier that $\partial\Omega \neq \emptyset$ and $\partial\Omega \subset \mathbb{R}$. Now we'll show that $\mathbb{R} \setminus (\partial\Omega)$ is bounded.

16



$$\text{Let } \gamma_1(t) = \frac{1}{2} - \frac{1}{2}t, \quad 0 < t < 1,$$

$$\gamma_2(s) = \frac{z}{2} - \frac{z}{2}s, \quad 0 < s < 1,$$

be the parametrization of the edges of Q

that contain 0 . We know by the Theorem mentioned earlier that $P \circ \gamma_1(t)$ and $P \circ \gamma_2(s)$ lie on $\partial\Omega$ for all $0 < s, t < 1$.

Since 0 is a pole of P , $\lim_{z \rightarrow 0} P(z) = \infty$. Thus,

$$\lim_{t \rightarrow 1^-} P \circ \gamma_1(t) = \pm \infty \quad \text{and} \quad \lim_{s \rightarrow 1^-} P \circ \gamma_2(s) = \pm \infty. \quad (*)$$

$$\text{For } s, t \in (0, 1), \text{ we have } \gamma_1(t) + \gamma_2(s) = \frac{1-t}{2} + \frac{1-s}{2}z,$$

$$\gamma_1(t) - \gamma_2(s) = \frac{1-t}{2} - \frac{1-s}{2}z.$$

Thus, $\gamma_1(t) + \gamma_2(s)$ and $\gamma_1(t) - \gamma_2(s)$ do not belong to the lattice Λ .

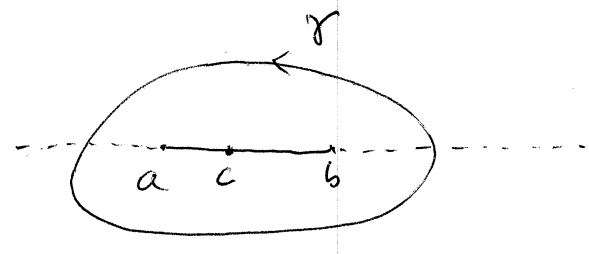
Thus, $P(\gamma_1(t)) \neq P(\gamma_2(s))$ for all $t, s \in (0, 1)$. Since $P \circ \gamma_1$ and $P \circ \gamma_2$ are continuous, 4 possibilities in (*) collapse into 2, namely

$$\lim_{t \rightarrow 1^-} P(\gamma_1(t)) = \infty, \quad \lim_{s \rightarrow 1^-} P(\gamma_2(s)) = -\infty,$$

$$\text{and } \lim_{t \rightarrow 1^-} P(\gamma_1(t)) = -\infty, \quad \lim_{s \rightarrow 1^-} P(\gamma_2(s)) = \infty.$$

Due to the continuity of $P \circ \gamma_1$ and $P \circ \gamma_2$, the set $P \circ \gamma_1((0, 1)) \cup P \circ \gamma_2((0, 1))$ contains a subset $(-\infty, a) \cup (b, \infty)$ for some $a, b \in \mathbb{R}$. Thus, $(-\infty, a) \cup (b, \infty) \subset (\partial\Omega)$. Consequently, $\mathbb{R} \setminus (\partial\Omega) \subset [a, b]$. We have

proved that $\mathbb{R} \setminus (\partial\Omega)$ is bounded in some interval $[a, b]$ in \mathbb{R} . Since $(\partial\Omega) \cap \mathbb{R} \neq \emptyset$, there is $c \in (\partial\Omega) \cap [a, b]$. Let γ be any



loop enclosing c but does not intersect the segment $[a, b]$. Then $\gamma \subset \Omega$ and $n(\gamma, c) \neq 0$.

This is a contradiction because Ω

is simply connected.

③ Let Ω be an open connected subset of \mathbb{C} and $f: \Omega \rightarrow \mathbb{R}$ be a continuous function. We'll show that f is harmonic if and only if it satisfies the Mean-Value property.

(\Rightarrow) Suppose that f is harmonic. Consider a closed disk $\bar{D}(z_0, r) \subset \Omega$.

We want to show that $f(z_0) = \frac{1}{2\pi} \int_0^{2\pi} f(z_0 + re^{i\theta}) d\theta$.

By the compactness of $\bar{D}(z_0, r)$, there is $r' > r$ such that $\bar{D}(z_0, r) \subset D(z_0, r') \subset \Omega$. Since f is harmonic in $D(z_0, r')$, which is a simply connected domain, there exists an analytic function $F: D(z_0, r') \rightarrow \mathbb{C}$ such that $f(z) = \text{Re } F(z), \forall z \in D(z_0, r')$. Since F is analytic, it satisfies the Mean-Value property (which is a consequence of Cauchy Integral Theorem):

18

$$F(z_0) = \frac{1}{2\pi} \int_0^{2\pi} F(z_0 + re^{i\theta}) d\theta$$

By taking the real parts of both sides, we get

$$f(z_0) = \frac{1}{2\pi} \int_0^{2\pi} f(z_0 + re^{i\theta}) d\theta.$$

(\Leftarrow) Suppose that f satisfies the Mean Value property. We'll show that f is harmonic. First, we'll show prove the following lemma:

lemma:

If $u: \bar{D}(z_0, r) \rightarrow \mathbb{R}$ is a continuous function satisfying the Mean Value property, then u attains maximum and minimum on $\partial D(z_0, r)$.

Proof of the lemma

Since $\bar{D}(z_0, r)$ is compact, u attains maximum and minimum on $\bar{D}(z_0, r)$. Suppose that u attains maximum at some point in $D(z_0, r)$, and the maximum value is a . Then the set

$$S = \{z \in D(z_0, r) : u(z) = a\}$$

is nonempty. Since u is continuous, S is closed in $D(z_0, r)$. Take

any $z_1 \in D(z_0, r)$ and any $r_1 > 0$ such that $\bar{D}(z_1, r_1) \subset D(z_0, r)$.

Since u satisfies the Mean Value property, we have

$$a = u(z_1) = \frac{1}{2\pi} \int_0^{2\pi} u(z_1 + r_1 e^{i\theta}) d\theta$$

Since $a = \max_{\bar{D}(z_0, r)} u$, $a \geq u(z_1 + r_1 e^{i\theta})$ for all $\theta \in [0, 2\pi]$. Thus

$$a \geq \frac{1}{2\pi} \int_0^{2\pi} u(z_1 + r_1 e^{i\theta}) d\theta$$

Since the equality actually happens and u is continuous, we have $u(z_1 + r_1 e^{i\theta}) = a$ for all $\theta \in [0, 2\pi]$. Since r_1 was chosen arbitrarily at as long as $\bar{D}(z_1, r_1) \subset D(z_0, r)$, $u(z) = a$ for all z in a neighborhood of z_1 in $D(z_0, r)$. Thus S is open in $D(z_0, r)$. Now that S is nonempty, open and closed in $D(z_0, r)$, and that $D(z_0, r)$ is connected, $S = D(z_0, r)$. Thus u is a constant function. Thus, it attains maximum on $\partial D(z_0, r)$.

For the case u attains minimum in $D(z_0, r)$, $-u$ attains maximum in $D(z_0, r)$. By the above arguments, $-u$ ~~attains~~ is a constant map. Thus u attains minimum on $\partial D(z_0, r)$. □

Return to the problem. To show that f is harmonic, we take any closed disk $\bar{D}(z_0, r) \subset \Omega$ and show that f is harmonic in $D(z_0, r)$. Since f is continuous on $\partial D(z_0, r)$, the Schwarz's theorem

says that the function $g : D(z_0, r) \rightarrow \mathbb{R}$ defined by

$$g(z) = \frac{1}{2\pi} \int_0^{2\pi} f(z_0 + re^{i\theta}) \operatorname{Re} \left(\frac{re^{i\theta} + z - z_0}{re^{i\theta} - z + z_0} \right) d\theta$$

is harmonic, and that g extends continuously to $\bar{D}(z_0, r)$, and that $g = f$ on $\partial D(z_0, r)$.

Since g is harmonic in $D(z_0, r)$, it satisfies Mean Value property as shown in the first part of this problem. Thus $u = g - f$ also satisfies the Mean Value property. Since u is continuous in $\overline{D}(z_0, r)$, by the above lemma, u attains ~~maximum~~ minimum and maximum on $\partial D(z_0, r)$. On the other hand, $u = 0$ on $\partial D(z_0, r)$. Thus u is identically zero in $\overline{D}(z_0, r)$. Thus $g = f$ in $\overline{D}(z_0, r)$. Thus f is harmonic in $D(z_0, r)$.

④ Let ω be a meromorphic 1-form on the Riemann sphere \mathbb{C}_∞ .

We'll show that $\sum_{p \in \mathbb{C}_\infty} \text{Res}_p(\omega) = 0$ (*).

We know that \mathbb{C}_∞ has two charts: the finite chart, which is commonly identified with the complex plane, and the infinite chart, which contains ∞ . The transition map is $z \mapsto \frac{1}{z}$. Also, we know that every meromorphic function on \mathbb{C}_∞ has the representation in \mathbb{C} as a rational function, namely $r(z)$. Thus $\omega = r(z) dz$ in \mathbb{C} . The representation of ω in the infinite chart is then obtained by replacing z with $\frac{1}{z}$ and then applying the chain rule. Specifically,

$$\omega = r\left(\frac{1}{z}\right) d\left(\frac{1}{z}\right) = -\frac{1}{z^2} r\left(\frac{1}{z}\right) dz.$$

This means any meromorphic 1-form on \mathbb{C}_∞ is determined by its representation in the finite chart. Thus, we can just write $w = r(z)dz$ to indicate a 1-form in \mathbb{C}_∞ .

Every rational function $r(z)$ on \mathbb{C} admits a partial fraction decomposition

$$r(z) = Q(z) + \sum_{j=1}^n \sum_{k=1}^{e_j} \frac{p_{jk}(z)}{(z-z_j)^k},$$

where $Q(z)$, $p_{jk}(z)$ are polynomials and $\deg p_{jk} < k$. Thus w is a sum of simple meromorphic 1-forms.

$$w = Q(z)dz + \sum_{j=1}^n \sum_{k=1}^{e_j} \frac{p_{jk}(z)dz}{(z-z_j)^k}.$$

We know that Residues satisfy the linear laws

$$\text{Res}_p(w_1 + w_2) = \text{Res}_p(w_1) + \text{Res}_p(w_2),$$

$$\text{Res}_p(cw_1) = c \text{Res}_p(w_1) \quad \forall c \in \mathbb{C}.$$

Thus it suffices to prove (*) for w of the following forms:

- $z^k dz$, where $k \geq 0$,
- $\frac{z^k}{(z-a)^j}$, where $a \in \mathbb{C}$, $0 \leq k < j$.

Consider the case $w = z^k dz$, $k \geq 0$

Then w has no pole in the finite chart. In the infinite chart,

$$w = \left(\frac{1}{z}\right)^k d\left(\frac{1}{z}\right) = -z^{-k-2} dz.$$

Then $\text{Res}_\infty(w) = \text{Res}_{z=0}(-z^{-k-2}) = 0$ because $-k-2 \leq -2$.

Thus $\text{Res}_p(w) = 0$ for all $p \in \mathbb{C}$. Therefore (*) is satisfied.

Consider the case $w = \frac{z^k dz}{(z-a)^j}$, $a \in \mathbb{C}$, $0 \leq k < j$

In the finite chart, w has a pole at $z=a$. In the infinite chart,

$$w = \frac{\left(\frac{1}{z}\right)^k}{\left(\frac{1}{z}-a\right)^j} d\left(\frac{1}{z}\right) = -\frac{1}{z^{k+2}} \frac{z^j}{(1-az)^j} dz = -\frac{z^{j-k-2}}{(1-az)^j} dz.$$

Then

$$\begin{aligned} \sum_{p \in \mathbb{C}} \text{Res}_p(w) &= \text{Res}_a(w) + \text{Res}_\infty(w) \\ &= \underbrace{\text{Res}_{z=a} \left(\frac{z^k}{(z-a)^j} \right)}_A + \underbrace{\text{Res}_{z=0} \left(\frac{-z^{j-k-2}}{(1-az)^j} \right)}_B \end{aligned}$$

Now we consider two cases of a , namely $a=0$ and $a \neq 0$.

• $a=0$ $A = \text{Res}_{z=0} \left(\frac{z^k}{z^j} \right) = \text{Res}_{z=0} (z^{k-j}) = \begin{cases} 1 & \text{if } k=j-1, \\ 0 & \text{if } k < j-1. \end{cases} \quad (1)$

$$B = \text{Res}_{z=0} (-z^{j-k-2}) = \begin{cases} -1 & \text{if } k=j-1 \\ 0 & \text{if } k < j-1 \end{cases} \quad (2)$$

By (1) and (2), $A+B$ is always zero.

• $a \neq 0$ we have $z^k = [(z-a)+a]^k = \sum_{l=0}^k C_k^l (z-a)^l a^{k-l}$.

Thus, $A = \text{Res}_{z=a} \left[\sum_{l=0}^k C_k^l (z-a)^{l-j} a^{k-l} \right]$.

Since $l \leq k < j$, we have $l - j \leq -1$. The equality occurs only if $l = k = j - 1$. Thus,

$$A = \begin{cases} \binom{j-1}{k} a^{k-(j-1)} & \text{if } k = j-1, \\ 0 & \text{if } k < j-1 \end{cases}$$

$$= \begin{cases} 1 & \text{if } k = j-1, \\ 0 & \text{if } k < j-1 \end{cases} \quad (3)$$

We have $\frac{1}{(1-az)^j} = \sum_{l=0}^{\infty} (az)^l$ for all z in some neighborhood of 0.

$$\text{Thus, } B = \text{Res}_{z=0} \left[-z^{j-k-2} \sum_{l=0}^{\infty} (az)^l \right] = \text{Res}_{z=0} \left[\sum_{l=0}^{\infty} -a^l z^{j+l-k-2} \right].$$

Since $j-1 \geq k$ and $l \geq 0$, $j+l-k-2 \geq -1$. The equality occurs only if $l=0$ and $k=j-1$. Thus,

$$B = \begin{cases} -a^0 & \text{if } k = j-1, \\ 0 & \text{if } k < j-1 \end{cases} = \begin{cases} -1 & \text{if } k = j-1, \\ 0 & \text{if } k < j-1. \end{cases} \quad (4)$$

By (3) and (4), $A+B$ is always zero.

(5) Let a and b be two nonnegative integers. Consider a polynomial over \mathbb{C}^2 given by $f(z, w) = z^{2a} - 2w^b z^a + 1$. Let γ be the locus of roots of $f(z, w)$. To have the connectedness of γ , f is necessarily irreducible. If $a=0$ then $f(z, w) = -2w^b + 1$, which is reducible because $w = \sqrt[b]{1/2}$ is a root. If $b=0$ then $f(z, w) = z^{2a} - 2z^a + 1 = (z^a - 1)^2$,

24

which is reducible. Therefore, a and b must be positive integers.

Next, we'll show that Y is actually a Riemann surface. To do so, we only need to show that f is irreducible and nonsingular on Y .

Show that $f(z, w)$ is irreducible

We can view $f(z, w)$ as a polynomial $\tilde{f}(w) = (-2z^a)w^b + (z^{2a} + 1)$ in $(\mathbb{C}[z])[w]$. Let $\zeta \in \mathbb{C}$ be a root of $z^{2a} + 1$. Then $z - \zeta$ is a prime in $\mathbb{C}[z]$ and $(z - \zeta) \mid (z^{2a} + 1)$. Since $\zeta \neq 0$, $(z - \zeta) \nmid (-2z^a)$. For the same reason, ζ is not a root of the derivative of $z^{2a} + 1$. Thus, $(z - \zeta)^2 \nmid (z^{2a} + 1)$. By the Eisenstein's Criterion of irreducibility, $\tilde{f}(w)$ is irreducible over the field of fraction of $\mathbb{C}[z]$. On the other hand, $\text{cont}(\tilde{f}) = \gcd(-2z^a, z^{2a} + 1) = 1$. Thus, $\tilde{f}(w)$ is a primitive polynomial. Thus $\tilde{f}(w)$ is also irreducible over $\mathbb{C}[z]$. This means $f(z, w)$ is ~~irreducible~~ irreducible in $\mathbb{C}[z, w]$.

Show that $f(z, w)$ is nonsingular on Y

Suppose by contradiction that f is singular at $(z_0, w_0) \in Y$. Then

$$f(z_0, w_0) = \frac{\partial f}{\partial z}(z_0, w_0) = \frac{\partial f}{\partial w}(z_0, w_0) = 0$$

Equivalently,

$$\begin{cases} z_0^{2a} - 2w_0^b z_0^a + 1 = 0 & (1) \\ 2a z_0^{2a-1} - 2a w_0^b = 0 & (2) \\ 2b w_0^{b-1} z_0^a = 0 & (3) \end{cases}$$

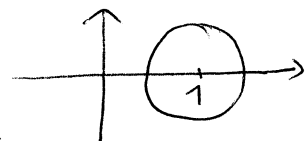
(3) implies $w_0 = 0$ or $z_0 = 0$. Then (2) implies $z_0 = w_0 = 0$. However, $(z_0, w_0) = (0, 0)$ does not satisfy (1). This is a contradiction.

We have proved that Y is a Riemann surface. However, Y is not compact because it is an unbounded subset of \mathbb{C}^2 . We'll compactify Y by adding two points $(z, w) = (0, \infty)$ and $(z, w) = (\infty, \infty)$. This process must be done carefully because we want the resulting space X to be a Riemann surface. Thus we need to resolve the singularities $(0, \infty)$ and (∞, ∞) on $Y \cup \{(0, \infty), (\infty, \infty)\}$.

Resolving the singularity $(0, \infty)$

$$\text{For each } (z, w) \in Y, \quad w^b = \frac{1}{2} z^{-a} (1 + z^{2a}) \quad (4)$$

For $|z| < \frac{1}{2}$, $1 + z^{2a}$ lies on the right half plane.



Thus $1 + z^{2a}$ has an analytic b^{th} root, i.e. there exists

an analytic function $g: D(0, \frac{1}{2}) \rightarrow \mathbb{C}$ such that $g(z)^b = 1 + z^{2a}$.

$$\text{Then } (4) \Leftrightarrow w^b = \frac{1}{2} z^{-a} g(z)^b \quad (5)$$

We consider 3 following cases:

• $\gcd(a, b) = 1$ Then there exist $m, n \in \mathbb{Z}$ such that $-an + bm = 1$.

Define $r(t) := (z, w) = (t^b, \frac{1}{\sqrt{2}} t^{-a} g(t^b)) \in Y$ for all $t \in D(0, \frac{1}{\sqrt{2}}) \setminus \{0\}$.

Then r is holomorphic and has an inverse map:

26

$$s(z, w) = t = z^m \left(\frac{w \sqrt{z}}{g(z)} \right)^n.$$

s is also holomorphic and thus gives us a hole chart on Y around the point $(0, \infty)$. By plugging the hole, we have resolved the singularity.

Then the coordinate representation of the projection map $\pi: X \rightarrow \mathbb{C}_\infty$, $\pi(z, w) = z$ around the new point p is $t \mapsto z = t^b$. Thus $\text{mult}_p \pi = b$.

• $a = b$

$$\text{Then (5)} \Leftrightarrow w^b = \frac{1}{z} z^{-b} g(z)^b \Leftrightarrow \prod_{j=0}^{b-1} \left(w - \zeta^j \frac{1}{\sqrt{z}} z^{-1} g(z) \right) = 0,$$

where ζ is a primitive b 'th root of unity. Each factor defines a smooth curve which passes through the point $(0, \infty)$. Thus, removing $(0, \infty)$ then gives a space which decomposes into b smooth curves, each with a hole in it. For the j 'th curve, we define

$$r(t) = (z, w) = \left(t, \zeta^j \frac{1}{\sqrt{t}} \frac{g(t)}{t} \right) \quad \forall t \in \mathbb{D}(0, \frac{1}{2}) \setminus \{0\}$$

Then r is holomorphic and has an inverse $s(z, w) = t = z$. Since s is also holomorphic, it gives us a hole chart on the j 'th curve.

Plugging the hole resolves the singularity in this case. (This means we plugged n holes total, one for each smooth curve). The coordinate representation of the map $\pi: X \rightarrow \mathbb{C}_\infty$ around each new point p is $t \mapsto z = t$. Thus $\text{mult}_p \pi = 1$.

• $a \neq b$ and $\text{gcd}(a, b) = k > 1$

Then $a = ka_1$ and $b = kb_1$ with $\text{gcd}(a_1, b_1) = 1$. Then

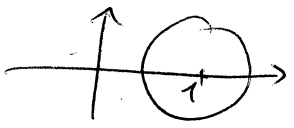
$$(5) \Leftrightarrow (w^{b_1})^k = \frac{1}{2} (z^{-a_1})^k (g(z)^{b_1})^k$$

$$\Leftrightarrow \prod_{j=0}^{k-1} \left(w^{b_1} - \frac{1}{\sqrt[k]{2}} z^{-a_1} g(z)^{b_1} \right) = 0.$$

Then we have k factors, each of which we know how to resolve (this was our first case). We have also seen that there is one hole to plug for each factor. There are k plugged holes and the multiplicity of π at each new point p is $\text{mult}_p \pi = b_1$. We see that the last statement is true for all 3 cases.

Resolving the singularity (∞, ∞)

We will use almost the same argument as we dealt with the singularity $(0, \infty)$. For each $(z, w) \in Y$, $w^b = \frac{1}{2} z^a (1+z^{-2a})$ (6)

For $|z| > 2$, $1+z^{-2a}$ lies on the right half plane 

Thus, $1+z^{-2a}$ has an analytic b 'th root, namely $h(z): (\mathbb{C} \setminus \overline{D}(0, 2)) \rightarrow \mathbb{C}$.

Then (6) $\Leftrightarrow w^b = \frac{1}{2} z^a h(z)^b$.

From now, the procedure is just repeated as in the case we resolved $(0, \infty)$. The only difference is that a is replaced by $-a$, and g is

28

replaced by h . We get the similar conclusion: with $\gcd(a, b) = k$ and $b = b_1 k$, there are k plugged holes and the multiplicity of π at each new point p is $\text{mult}_p \pi = b_1$.

Now we can compute $\deg(\pi)$. Note that $\pi^{-1}(0)$ has k elements, which are k plugged holes of type $(0, \infty)$. Then

$$\deg(\pi) = d_0(\pi) = \sum_{p \in \pi^{-1}(0)} \text{mult}_p(\pi) = k b_1 = b.$$

So far, we have determined 2 branch points of π , which are not in $\pi(Y)$, namely $z = 0$ and $z = \infty$. Next, we will determine all branch points in $\pi(Y)$. For each $(z, w) \in Y$, we have $w^b = \frac{1}{2}(z^a + z^{-a})$.

Thus $z \in \mathbb{C} \setminus \{0\}$ is a branch point of π if and only if $\begin{cases} w^b = 0 \\ b \geq 2 \end{cases}$.

$$w^b = 0 \Leftrightarrow z^a + z^{-a} = 0 \Leftrightarrow z^{2a} = -1$$

Thus if $b \geq 2$, there are exactly $2a$ branch points in $\mathbb{C} \setminus \{0\}$.

They are the $(2a)$'s roots of -1 , namely z_1, z_2, \dots, z_{2a} . Since the

equation $w^b = 0$ has a zero at 0 with multiplicity b , we have

$\text{mult}_{(z_j, 0)} \pi = b$ for all $j = 1, 2, \dots, 2a$. Therefore, we have a conclusion

as follows.

Put $b_1 = \frac{b}{\gcd(a, b)}$. If $b = 1$ then π has no branch point.

If $b \geq 2$:

- If $b_1 = 1$: there are $2a$ branch points z_1, z_2, \dots, z_a .
($2a$)th roots of -1 .
- If $b_1 \geq 2$: there are $2a+2$ branch points $z_1, z_2, \dots, z_a, 0, \infty$.

Now applying Hurwitz formula for the map π , we have

$$2g(X) - 2 = \deg(\pi)(2g(\mathbb{C}P^1) - 2) + \sum_{j=1}^{2a} \underbrace{(\text{mult}_{(z_j, 0)} \pi - 1)}_b +$$

$$+ \sum_{p \in \pi^{-1}(0)} \underbrace{(\text{mult}_p \pi - 1)}_{b_1} + \sum_{p \in \pi^{-1}(\infty)} \underbrace{(\text{mult}_p \pi - 1)}_{b_1}$$

$$\Leftrightarrow 2g(X) - 2 = b(-2) + 2a(b-1) + k(b_1-1) + k(b_1-1)$$

$$\Leftrightarrow g(X) = ab - a - b + k(b_1 - 1) + 1$$

$$\Leftrightarrow g(X) = ab - a - k + 1$$

$$\Leftrightarrow g(X) = ab - a - k + 1$$

(6) Let X be the hyperelliptic surface defined by $y^2 = x^5 - x$. Put $\pi_1, \pi_2 : X \rightarrow \mathbb{C}P^1$, $\pi_1(x, y) = x$ and $\pi_2(x, y) = y$. We want to find $\text{div}(\pi_1)$ and $\text{div}(\pi_2)$. By definition, $\text{div}(\pi_1) = \sum_{p \in X} \text{ord}_p(\pi_1) \cdot p$ and $\text{div}(\pi_2) = \sum_{p \in X} \text{ord}_p(\pi_2) \cdot p$.

Then the problem becomes finding the order of π_1 and π_2 at each point on X .
Let $X_1 = \{(x, y) \in \mathbb{C}^2 : y^2 = x^5 - x\}$,
 $X_2 = \{(z, w) \in \mathbb{C}^2 : w^2 = -z^5 + z\}$.

Then there is an isomorphism $\phi: X_1 \setminus \{(0,0)\} \rightarrow X_2 \setminus \{(0,0)\}$

$$\phi(x,y) = (z,w) = \left(\frac{1}{x}, \frac{y}{x^3}\right).$$

By the definition of hyperelliptic surfaces, $X = (X_1 \amalg X_2) / \phi$. We'll determine the coordinate of X around $(x,y) = (0,0)$ and around $(x,y) = (\infty, \infty)$. Put

$$f(x,y) = y^2 - x^5 + x, \quad g(z,w) = w^2 + z^5 - z.$$

Let $p_1 \in X$ be the point corresponding to $(x,y) = (0,0)$, and $p_2 \in X$ be the point corresponding to $(x,y) = (\infty, \infty)$. Note that p_2 corresponds to $(z,w) = (0,0)$.

Since $\frac{\partial f}{\partial x}(p_1) = \frac{\partial f}{\partial x}(0,0) = 1 \neq 0$, y is a coordinate of X around p_1 .

Since $\frac{\partial g}{\partial z}(p_2) = \frac{\partial g}{\partial z}(0,0) = -1 \neq 0$, w is a coordinate of X around p_2 .

Consider π_1

We concern only the zeros and the poles of π_1 , because for any other point $p \in X$, $\text{ord}_p(\pi_1) = 0$. We have

$$\pi_1(x,y) = 0 \Leftrightarrow x = 0 \Leftrightarrow \begin{cases} x = 0, \\ y = 0. \end{cases}$$

$$\pi_1(x,y) = \infty \Leftrightarrow x = \infty \Leftrightarrow \begin{cases} x = \infty, \\ y = \infty. \end{cases}$$

Thus p_1 is the only zero of π_1 , and p_2 is the only pole of π_1 . Since y is a coordinate of X around p_1 , the coordinate representation of π_1 is

$\hat{\pi}_1: y \mapsto x = x(y)$. We have $y^2 = x^5 - x = x(y)^5 - x(y)$.

Differentiate both sides with respect to y , we get

$$2y = 5x^4 \frac{dx}{dy} - \frac{dx}{dy} = (5x^4 - 1) \frac{dx}{dy}$$

Thus $\frac{dx}{dy} = \frac{2y}{4x^5 - 1}$ (*)

As a consequence, $\frac{dx}{dy}(0) = 0$. Differentiate both sides of (*) w.r.t. y ,

$$\frac{d^2x}{dy^2} = \frac{2(4x^5 - 1) - 2y \cdot 20x^4 \frac{dx}{dy}}{(4x^5 - 1)^2}$$

Thus, $\frac{d^2x}{dy^2}(0) = \frac{-2}{1} = -2 \neq 0$.

This means $x(y) = -y^2 + O(y^3)$. Thus, $\hat{\pi}_1(y) = x(y) = -y^2 + \text{higher orders}$

Therefore, $\text{ord}_{p_1}(\pi_1) = 2$.

Since w is a coordinate of X around p_1 , the coordinate representation of π_1 is $\tilde{\pi}_1: w \mapsto z = \frac{1}{z} = \frac{1}{z(w)}$. We have

$$w^2 = -z^5 + z = -z(w)^5 + z(w)$$

Taking derivative both sides w.r.t. w , we get

$$2w = -5z^4 \frac{dz}{dw} + \frac{dz}{dw} = (1 - 5z^4) \frac{dz}{dw}$$

Then $\frac{dz}{dw} = \frac{2w}{1 - 5z^4}$ (**)

Consequently, $\frac{dz}{dw}(0) = 0$. Now take the derivative w.r.t. w both

32

Sides of $(**)$:
$$\frac{d^2 z}{dw^2} = \frac{2(1-5z^4) - 2w(-20)z^3 \frac{dz}{dw}}{(1-5z^4)^2}$$

Thus,
$$\frac{d^2 z}{dw^2}(0) = \frac{2}{1} = 2 \neq 0.$$

this means $z(w) = w^2 + O(w^3)$. Thus

$$\tilde{\pi}_1(w) = \frac{1}{z(w)} = w^{-2} + \text{higher order terms.}$$

Thus $\text{ord}_{p_2}(\tilde{\pi}_1) = -2$. Therefore, $\text{div}(\tilde{\pi}_1) = 2 \cdot p_1 - 2 \cdot p_2$.

Consider π_2

$$\pi_2(x, y) = 0 \Leftrightarrow y = 0 \Leftrightarrow \begin{cases} x^5 - x = 0 \\ y = 0 \end{cases} \Leftrightarrow (x, y) \in \{(0, 0), (5, 0), (5^2, 0), (5^3, 0), (1, 0)\}$$

where $5 = i$. Let $q_j \in X$ be the point in X having coordinate $(x, y) = (5^j, 0)$ for $1 \leq j \leq 4$.

$$\pi_2(x, y) = \infty \Leftrightarrow y = \infty \Leftrightarrow \begin{cases} x = \infty \\ y = \infty \end{cases}$$

Thus, p_1, q_1, q_2, q_3, q_4 are all zeros of π_2 , and p_2 is the only pole of π_2 . Since y is a coordinate of X around p_1 , the coordinate representation of π_2 is $\hat{\pi}_2: y \mapsto y$. Thus $\text{ord}_{p_1}(\pi_2) = 1$.

For each $j = 1, 2, 3, 4$, we have $\frac{\partial f}{\partial x}(q_j) = \frac{\partial f}{\partial x}(5^j, 0) = -5(5^j)^4 + 1 = -4 \neq 0$. Thus y is a coordinate of X around q_j . Then the coordinate representation of π_2 is $\hat{\pi}_2: y \mapsto y$. Thus $\text{ord}_{q_j}(\pi_2) = 1$.

Since w is a coordinate of X around p_2 , the coordinate representation

of π_2 is $\tilde{\pi}_2 : w \mapsto y = wx^3 = \frac{w}{z^3}$.

We showed earlier in the case of π_1 that $z(w) = w^2 + O(w^3)$. Thus,

$$\tilde{\pi}_2(y) = \frac{w}{z^3} = \frac{w}{w^6 + O(w^7)} = w^{-5} + \text{higher-order terms}$$

Thus, $\text{ord}_{p_2}(\pi_2) = -5$. Therefore,

$$\text{div}(\pi_2) = 1 \cdot p_1 + 1 \cdot q_1 + 1 \cdot q_2 + 1 \cdot q_3 + 1 \cdot q_4 - 5 \cdot p_2.$$

⑦ Consider a homogeneous polynomial of 3 complex variables

$$F(x, y, z) = xy^3 + yz^3 + zx^3$$

To show that F determines a smooth projective plane curve which is also a Riemann surface, we need to show that F is irreducible in $\mathbb{C}[x, y, z]$ and nonsingular on its locus of roots. We can write

$$F(x, y, z) = \tilde{F}(x) = zx^3 + y^3x + yz^3 \in (\mathbb{C}[y, z])[x].$$

We know that y is a prime in $\mathbb{C}[y, z]$ since it's irreducible. Also, $y \nmid yz^3, y^2 \nmid yz^3, y \nmid z$. Then by the Eisenstein's criterion, $\tilde{F}(x)$ is irreducible over the field of fractions of $\mathbb{C}[y, z]$. On the other hand,

$$\text{gcd}(z, y^3, yz^3) = 1$$

Thus $\tilde{F}(x)$ is a primitive polynomial. Thus it is also irreducible over $\mathbb{C}[y, z]$. Thus, $F(x, y, z)$ is irreducible in $\mathbb{C}[x, y, z]$.

Next, suppose by contradiction that F is singular at a point $(x_0, y_0, z_0) \neq (0, 0, 0)$ on its locus of roots. Then

34

$$F(x_0, y_0, z_0) = \frac{\partial F}{\partial x}(x_0, y_0, z_0) = \frac{\partial F}{\partial y}(x_0, y_0, z_0) = \frac{\partial F}{\partial z}(x_0, y_0, z_0) = 0.$$

Equivalently,

$$\begin{cases} x_0 y_0^3 + y_0 z_0^3 + z_0 x_0^3 = 0 \\ y_0^3 + 3 z_0 x_0^2 = 0 \\ z_0^3 + 3 x_0 y_0^2 = 0 \\ x_0^3 + 3 y_0 z_0^2 = 0 \end{cases} \Leftrightarrow \begin{cases} x_0 y_0^3 + y_0 z_0^3 + z_0 x_0^3 = 0 & (1) \\ y_0^3 = -3 z_0 x_0^2 & (2) \\ z_0^3 = -3 x_0 y_0^2 & (3) \\ x_0^3 = -3 y_0 z_0^2 & (4) \end{cases}$$

Multiplying (2), (3), (4) together, we get $x_0^3 y_0^3 z_0^3 = -27 x_0^3 y_0^3 z_0^3$. Thus one of x_0, y_0, z_0 must be zero. We can assume that $x_0 = 0$. Then (2) and (3) lead to $y_0 = z_0 = 0$. Thus $(x_0, y_0, z_0) = (0, 0, 0)$, which is a contradiction.

Therefore, F determines a smooth projective plane curve, which is also a Riemann surface $X = \{[x:y:z] \mid F(x,y,z) = 0\}$. Also, as a closed subset of \mathbb{P}^2 , which is compact, X is compact. Since F is a polynomial of degree 4, by Plücker's formula, we get the genus of X ,

$$g(X) = \frac{(4-1)(4-2)}{2} = 3.$$

Then by Hurwitz's theorem, $|\text{Aut}(X)| \leq 84(g(X)-1) = 84 \times 2 = 168$.

Note that $168 = 3 \times 7 \times 2^3$. We'll show that $|\text{Aut}(X)| = 168$ by showing that $\text{Aut}(X)$ has an element of order 3, an element of order 7 and a ~~an element~~ ^{subgroup} of order 8. We have to do some preparation to prove this.

We recall that the projective plane is $\mathbb{P}^2 = \{[x:y:z] \mid (x,y,z) \in \mathbb{C}^3 \setminus \{0\}\}$ where $[x:y:z]$ stands for the orbit of (x,y,z) under the action of \mathbb{C}^* upon $\mathbb{C}^3 \setminus \{0\}$. As a matter of notation, we now write an element in $\mathbb{C}^3 \setminus \{0\}$ as a column vector $\begin{pmatrix} x \\ y \\ z \end{pmatrix}$, and the orbit of its under \mathbb{C}^* as $\left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\}$.

For each matrix $A = \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{pmatrix} \in GL(3, \mathbb{C})$, and $\begin{pmatrix} x \\ y \\ z \end{pmatrix} \in \mathbb{C}^3 \setminus \{0\}$,

we have $A \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} a_1 x + b_2 y + c_3 z \\ b_1 x + b_2 y + b_3 z \\ c_1 x + c_2 y + c_3 z \end{pmatrix} \neq 0$. This allows us to define

a map $\pi_A : \mathbb{P}^2 \rightarrow \mathbb{P}^2$, $\pi_A \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\} = \left\{ A \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\}$.

For $A, B \in GL(3, \mathbb{C})$ and $\begin{pmatrix} x \\ y \\ z \end{pmatrix} \in \mathbb{C}^3 \setminus \{0\}$, we have

$$\pi_A \circ \pi_B \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\} = \pi_A \left\{ B \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\} = \left\{ A \left(B \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right) \right\} = \left\{ (AB) \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\} = \pi_{AB} \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\}.$$

Thus $\pi_A \circ \pi_B = \pi_{AB}$. In particular, π_A is bijective and $(\pi_A)^{-1} = \pi_{A^{-1}}$.

Now suppose that we have a matrix $A = \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{pmatrix} \in GL(3, \mathbb{C})$

such that $\pi_A(X) \subset X$ and $\pi_{A^{-1}}(X) \subset X$. We will show that $\tilde{\pi}_A := \pi_A|_X$ is in $\text{Aut}(X)$ - the group of all holomorphic automorphisms of X .

36

Indeed, since $\pi_A(X) \subset X$ and $\pi_{A^{-1}}(X) \subset X$, $\pi_A(X) = X$. Thus $\tilde{\pi}_A$ is a bijection from X to itself. The inverse of $\tilde{\pi}_A$ is therefore $\tilde{\pi}_{A^{-1}}$. Thus, we only need to show that $\tilde{\pi}_A$ is holomorphic.

Recall that \mathbb{P}^2 has 3 complex charts:

$$U_1 = \left\{ \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in \mathbb{P}^2 \mid x \neq 0 \right\},$$

$$U_2 = \left\{ \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in \mathbb{P}^2 \mid y \neq 0 \right\},$$

$$U_3 = \left\{ \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in \mathbb{P}^2 \mid z \neq 0 \right\}.$$

Put $X_i = X \cap U_i$ for $i = 1, 2, 3$. Then $\{X_1, X_2, X_3\}$ is an open cover of X .

$$X_1 = \left\{ \begin{Bmatrix} 1 \\ a \\ b \end{Bmatrix} \mid F(1, a, b) = 0 \right\},$$

$$X_2 = \left\{ \begin{Bmatrix} a \\ 1 \\ b \end{Bmatrix} \mid F(a, 1, b) = 0 \right\},$$

$$X_3 = \left\{ \begin{Bmatrix} a \\ b \\ 1 \end{Bmatrix} \mid F(a, b, 1) = 0 \right\}.$$

Thus X_1, X_2, X_3 are smooth affine curves. Now take $p = \begin{Bmatrix} x_0 \\ y_0 \\ z_0 \end{Bmatrix} \in X$.

We'll show that $\tilde{\pi}_A$ is smooth at p . We will prove this for the cases $p \in X_1, \tilde{\pi}_A(p) \in X_1$ and $p \in X_1, \tilde{\pi}_A(p) \in X_2$ only. Other cases will be done similarly. In both cases, on some neighborhood U of p , either $u = \frac{y}{x}$ or $v = \frac{z}{x}$ is a coordinate.

$\pi_A(p) \in X_1$

This means $\tilde{\pi}_A(p) = \begin{cases} a_1 x_0 + a_2 y_0 + a_3 z_0 \\ b_1 x_0 + b_2 y_0 + b_3 z_0 \\ c_1 x_0 + c_2 y_0 + c_3 z_0 \end{cases} \in X_1$

Then on some neighborhood V of $\tilde{\pi}_A(p)$, either

$w = \frac{b_1 x + b_2 y + b_3 z}{a_1 x + a_2 y + a_3 z} = \frac{b_1 + b_2 u + b_3 v}{a_1 + a_2 u + a_3 v}$

or $t = \frac{c_1 x + c_2 y + c_3 z}{a_1 x + a_2 y + a_3 z} = \frac{c_1 + c_2 u + c_3 v}{a_1 + a_2 u + a_3 v}$ is a coordinate.

Thus the coordinate representation of $\tilde{\pi}_A$ on U is one of the following types: $u \mapsto w, u \mapsto t, v \mapsto w, v \mapsto t$. If u is a coordinate on U then v is a holomorphic function of u. Then w and t are holomorphic functions of u. If v is a coordinate on U, then u is a holomorphic function of v. Then w and t are holomorphic functions of v. Thus, in both cases, $\tilde{\pi}_A$ is always holomorphic at p.

$\pi_A(p) \in X_2$

This means $\tilde{\pi}_A(p) = \begin{cases} a_1 x_0 + a_2 y_0 + a_3 z_0 \\ b_1 x_0 + b_2 y_0 + b_3 z_0 \\ c_1 x_0 + c_2 y_0 + c_3 z_0 \end{cases} \in X_2$

Then on some neighborhood V of $\tilde{\pi}_A(p)$, either

$w = \frac{a_1 x + a_2 y + a_3 z}{b_1 x + b_2 y + b_3 z} = \frac{a_1 + a_2 u + a_3 v}{b_1 + b_2 u + b_3 v}$ or

$t = \frac{c_1 x + c_2 y + c_3 z}{b_1 x + b_2 y + b_3 z} = \frac{c_1 + c_2 u + c_3 v}{b_1 + b_2 u + b_3 v}$

38

is a coordinate. Thus, the coordinate representation of $\tilde{\pi}_A$ on U is one of the following types: $u \mapsto w, u \mapsto t, v \mapsto w, v \mapsto t$. If u is a coordinate on U then v is a holomorphic function of u . Then w and t are holomorphic functions of u . If v is a coordinate on U then u is a holomorphic function of v . Then w and t are holomorphic functions of v . In both cases, $\tilde{\pi}_A$ is always holomorphic at p .

So far, we have proved that if $\pi_A(X) \subset X$ and $\pi_A^{-1}(X) \subset X$ then $\tilde{\pi}_A \in \text{Aut}(X)$. This gives us a way to construct an element in $\text{Aut}(X)$. We want to relate the order of $\tilde{\pi}_A$ to the order of A . Put $\mathcal{E} = \{\lambda I_3 \mid \lambda \in \mathbb{C}^*$ ~~\mathbb{C}~~ $\} \subset GL(3, \mathbb{C})$. Suppose $n \in \mathbb{N}$ is the smallest number such that $A^n \in \mathcal{E}$. We'll show that $\text{ord}(\tilde{\pi}_A) = n$.

First, for each $\begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in X$, we have

$$\tilde{\pi}_A^n \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} = \left\{ A^n \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \right\} = \left\{ \lambda \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \right\} = \begin{Bmatrix} x \\ y \\ z \end{Bmatrix}.$$

Thus $\tilde{\pi}_A^n = \text{id}_X$. Thus, $\tilde{\pi}_A^n = \text{id}_X$. Suppose by contradiction that there is $1 \leq m < n$ such that $\tilde{\pi}_A^m = \text{id}_X$. Then $\tilde{\pi}_A^m = \text{id}_X$. Then

$$\left\{ A^m \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \right\} = \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \quad \forall \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in X.$$

In other words, for any $\begin{pmatrix} x \\ y \\ z \end{pmatrix} \in \mathbb{C}^3 \setminus \{0\}$ that satisfies

$$xy^3 + yz^3 + zx^3 = 0, \quad (*)$$

there exists $\lambda_{x,y,z} \in \mathbb{C}^*$ such that $A^m \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \lambda_{x,y,z} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$.

Note that $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ satisfy (*). Put $\lambda_1 = \lambda_{1,0,0}, \lambda_2 = \lambda_{0,1,0}, \lambda_3 = \lambda_{0,0,1}$.

We have $A^m \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \lambda_1 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, A^m \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \lambda_2 \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, A^m \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \lambda_3 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$.

Thus $A^m = \begin{pmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & & \lambda_3 \end{pmatrix}$.

For $x=y=1$, (*) becomes $z^3 + z + 1 = 0$, which has a root $z_0 \in \mathbb{C}^*$. Thus

$\begin{pmatrix} 1 \\ 1 \\ z_0 \end{pmatrix}$ satisfies (*). Thus $A^m \begin{pmatrix} 1 \\ 1 \\ z_0 \end{pmatrix} = \underbrace{\lambda_{1,1,z_0}}_{\lambda'} \begin{pmatrix} 1 \\ 1 \\ z_0 \end{pmatrix}$.

Then $\lambda_1 = \lambda', \lambda_2 = \lambda', \lambda_3 z_0 = \lambda' z_0$. Thus $\lambda_1 = \lambda_2 = \lambda_3$. Therefore $A^m \in E$.

This contradicts the minimality of n . Thus $n = \text{ord}(\tilde{\pi}_A)$.

So far, we showed that if $A \in GL(3, \mathbb{C})$ and $n \in \mathbb{N}$ are such that $\pi_A(X) \subset X, \pi_{A^{-1}}(X) \subset X$ and n is the smallest number such that A^n is ~~diagonal~~ ^{in E} , then $\tilde{\pi}_A \in \text{Aut}(X)$ and $\text{ord}(\tilde{\pi}_A) = n$. We will use this method to get some elements of $\text{Aut}(X)$ together with their orders. Put

$$A = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \in GL(3, \mathbb{C}).$$

Then $A^2 = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$, $A^3 = \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} = I_3$.

Thus 3 is the smallest n such that A^n is in \mathcal{E} . Moreover, $A^{-1} = A^2$.

For $\begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in X$, we have $\left\{ A \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \right\} = \left\{ \begin{Bmatrix} y \\ z \\ x \end{Bmatrix} \right\} \in X$ because $y^2 + z^2 + x^2 = 0$.

Thus $\pi_A(X) \subset X$. Moreover, $\pi_{A^{-1}}(X) = \pi_{A^2}(X) = \pi_A(\pi_A(X)) \subset \pi_A(X) \subset X$.

Therefore $\tilde{\pi}_A \in \text{Aut}(X)$ and $\text{ord}(\tilde{\pi}_A) = 3$.

Put $B = \begin{pmatrix} 1 & & \\ & r & \\ & & r^3 \end{pmatrix} \in GL(3, \mathbb{C})$, where r is a primitive 7th root of unity.

Then $B^j = \begin{pmatrix} 1 & & \\ & r^j & \\ & & r^{3j} \end{pmatrix}$ for any $j \in \mathbb{N}$. Then $B^j \in \mathcal{E}$ if and

only if $r^j = r^{3j} = 1$, which occurs only if $7 \mid j$. Thus the smallest $j \in \mathbb{N}$ such that $B^j \in \mathcal{E}$ is 7. Moreover, $B^7 = I_3$ and so $B^{-1} = B^6$.

For $\begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \in X$, we have $\left\{ B \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} \right\} = \left\{ \begin{Bmatrix} x \\ ry \\ r^3 z \end{Bmatrix} \right\} \in X$ because

$$x(ry)^3 + (ry)(r^3 z)^3 + (r^3 z)(x)^3 = r^3(xy^3 + yz^3 + zx^3) = 0.$$

Thus $\pi_B(X) \subset X$. Moreover, $\pi_{B^{-1}}(X) = \pi_{B^6}(X) = \pi_B \circ \pi_B \circ \dots \circ \pi_B(X) \subset X$.

Therefore, $\tilde{\pi}_B \in \text{Aut}(X)$ and $\text{ord}(\tilde{\pi}_B) = 7$.

Put $C_* = \frac{i}{\sqrt{7}} \begin{pmatrix} t_1 & t_4 & t_2 \\ t_4 & t_2 & t_1 \\ t_2 & t_1 & t_4 \end{pmatrix}$ where $t_j = r^j - r^{-j}$, and $r = \exp\left(\frac{2\pi i}{7}\right)$.

$$\det(C) = \left(\frac{i}{\sqrt{7}}\right)^3 [3t_1 t_2 t_4 - (t_1^3 + t_2^3 + t_4^3)] \quad (1)$$

We will do some calculations about t_1, t_2, t_4 as follows.

$$t_1^2 = (r - r^{-1})^2 = r^2 + r^{-2} - 2,$$

$$t_2^2 = (r^2 - r^{-2})^2 = r^4 + r^{-4} - 2,$$

$$t_4^2 = (r^4 - r^{-4})^2 = r^8 + r^{-8} - 2.$$

Thus, $t_1^2 + t_2^2 + t_4^2 = (r^2 + r^{-2} + \dots + r^8) - 6$. Since $1, r, \dots, r^6$ are all roots of $X^7 - 1$, their sum is 0 by Viete's theorem. Thus,

$$t_1^2 + t_2^2 + t_4^2 = -7 \quad (2)$$

We have $t_1 t_2 = (r - r^{-1})(r^2 - r^{-2}) = (r^4 + r^{-4}) - (r + r^{-1}),$

$$t_2 t_4 = (r^2 - r^{-2})(r^4 - r^{-4}) = (r^6 + r^{-6}) - (r^2 + r^{-2}),$$

$$t_4 t_1 = (r^4 - r^{-4})(r - r^{-1}) = (r^6 + r^{-6}) - (r^4 + r^{-4}).$$

Thus, $t_1 t_2 + t_2 t_4 + t_4 t_1 = 0 \quad (3)$

We have $\operatorname{Im}(t_j) = \operatorname{Im}(r^j) - \operatorname{Im}(r^{-j}) = \sin\left(\frac{2\pi j}{7}\right) - \sin\left(-\frac{2\pi j}{7}\right)$
 $= 2 \sin\left(\frac{2\pi j}{7}\right)$

Thus, $\operatorname{Im}(t_1 + t_2 + t_4) = 2\left(\sin\frac{2\pi}{7} + \sin\frac{4\pi}{7} + \sin\frac{8\pi}{7}\right) > 0. \quad (4)$

We have $(t_1 + t_2 + t_4)^2 = (t_1^2 + t_2^2 + t_4^2) + 2(t_1 t_2 + t_2 t_4 + t_4 t_1)$

(2) and (3) -7 .

Then because of (4), $t_1 + t_2 + t_4 = i\sqrt{7} \quad (5)$

42

$$\begin{aligned}
 \text{we have } t_1 t_2 t_4 &= (r-r^{-1})(r^2-r^{-2})(r^4-r^{-4}) \\
 &= r^7 + r - r^5 - r^3 - r^{-1} - r^{-7} + r^{-3} + r^{-5} \\
 &= (r-r^{-1}) + (r^2-r^{-2}) + (r^4-r^{-4}) \\
 &= t_1 + t_2 + t_4, \quad (6)
 \end{aligned}$$

$$t_1^3 = (r-r^{-1})^3 = r^3 - r^{-3} - 3rr^{-1}(r-r^{-1}) = -t_4 - 3t_1,$$

$$t_2^3 = (r^2-r^{-2})^3 = r^6 - r^{-6} - 3r^2r^{-2}(r^2-r^{-2}) = -t_1 - 3t_2,$$

$$t_4^3 = (r^4-r^{-4})^3 = r^{12} - r^{-12} - 3r^4r^{-4}(r^4-r^{-4}) = -t_2 - 3t_4.$$

$$\text{Thus, } t_1^3 + t_2^3 + t_4^3 = -4(t_1 + t_2 + t_4) \quad (7).$$

$$\text{Thus, } \det(C_n) \stackrel{(6) \text{ and } (7)}{=} \left(\frac{1}{\sqrt{7}}\right)^3 7(t_1 + t_2 + t_4) = \frac{-1}{7\sqrt{7}} 7(i\sqrt{7}) = 1.$$

Thus, $C \in GL(3, \mathbb{C})$. Moreover, by (2) and (3), we have $C^2 = I_3$.

Thus 2 is the smallest number n such that $C^n \in E$. Moreover, $C^{-1} = C$.

Now we'll only need to show that $\pi_C(X) \subset Y$. We have

$$\left\{ C \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right\} = \begin{cases} t_1 x + t_4 y + t_2 z \\ t_4 x + t_2 y + t_1 z \\ t_2 x + t_1 y + t_4 z \end{cases}$$

Given that $(x, y, z) \in \mathbb{C}^3 \setminus \{0\}$, satisfies

$$xy^3 + yz^3 + zx^3 = 0, \quad (**)$$

we'll show that $\alpha \beta^3 + \beta \gamma^3 + \gamma \alpha^3 = 0$, where

$$\alpha = t_1 x + t_4 y + t_2 z,$$

$$\beta = t_4 x + t_2 y + t_1 z,$$

$$\gamma = t_2 x + t_4 y + t_4 z$$

We have

$$\begin{aligned} t_1 t_2^2 &= (r - r^{-1})(r^4 + r^{-4} - 2) = r^5 + r^{-3} - 2r - r^3 - r^{-5} + 2r^{-1} \\ &= -2t_1 + t_2 + t_4, \end{aligned}$$

$$\begin{aligned} t_1^2 t_2 &= (r^2 + r^{-2} - 2)(r^2 - r^{-2}) = r^4 + 1 - 2r^2 - 1 - r^{-4} + 2r^{-2} \\ &= t_4 - 2t_2, \end{aligned}$$

$$\begin{aligned} t_2 t_4^2 &= (r^2 - r^{-2})(r + r^{-1} - 2) = r^3 + r - 2r^2 - r^{-1} - r^{-3} + 2r^{-2} \\ &= t_1 - 2t_2 - t_4, \end{aligned}$$

$$\begin{aligned} t_2^2 t_4 &= (r^4 + r^{-4} - 2)(r^4 - r^{-4}) = r + 1 - 2r^4 - 1 - r^{-1} + 2r^{-4} \\ &= t_1 - 2t_4, \end{aligned}$$

$$\begin{aligned} t_4 t_1^2 &= (r^4 - r^{-4})(r^2 + r^{-2} - 2) = r^{-1} + r^2 - 2r^4 - r^{-2} - r + 2r^4 \\ &= -t_1 + t_2 - 2t_4, \end{aligned}$$

$$\begin{aligned} t_4^2 t_1 &= (r + r^{-1} - 2)(r - r^{-1}) = r^2 + 1 - 2r - 1 - r^{-2} + 2r^{-1} \\ &= -2t_1 + t_2. \end{aligned}$$

Also, we computed earlier that

$$t_1^3 = -t_4 - 3t_1,$$

$$t_2^3 = -t_1 - 3t_2,$$

$$t_4^3 = -t_2 - 3t_4,$$

$$t_1 t_2 t_4 = t_1 + t_2 + t_4.$$

With these identities, we now can compute $\alpha\beta^3 + \beta\gamma^3 + \gamma\alpha^3$.

$$\begin{aligned} \alpha^3 &= (t_1^3 x^3 + t_4^3 y^3 + t_2^3 z^3) + 3t_4 t_1^2 x^2 y + 3t_4^2 t_1 x y^2 \\ &\quad + 3t_1 t_2^2 x z^2 + 3t_1^2 t_2 x^2 z \\ &\quad + 3t_2 t_4^2 x y^2 + 3t_2^2 t_4 z^2 y + 6t_1 t_2 t_4 x y z \\ &= (-t_4 - 3t_1) x^3 + (-t_2 - 3t_4) y^3 + (-t_1 - 3t_2) z^3 \\ &\quad + 3(-t_1 + t_2 - 2t_4) x^2 y + 3(-2t_1 + t_2) x y^2 \\ &\quad + 3(-2t_1 - t_2 + t_4) x z^2 + 3(t_4 - 2t_2) x^2 z \\ &\quad + 3(t_1 - 2t_2 - t_4) z y^2 + 3(t_1 - 2t_4) z^2 y + 6(t_1 + t_2 + t_4) x y z. \end{aligned}$$

Then

$$\begin{aligned} \gamma\alpha^3 &= (-t_2 t_4 - 3t_2 t_1) x^4 + (-t_2 t_1 - 3t_1 t_4) y^4 + (-3t_2 t_4 - t_1 t_4) z^4 \\ &\quad + (-t_2^2 - 3t_2 t_4 - 6t_1^2 + 3t_2 t_1) x y^3 + (3t_1 t_4 - 6t_4^2 - t_1^2 - 3t_2 t_1) y z^3 \\ &\quad + (3t_2 t_4 - 6t_2^2 - t_1^2 - 3t_1 t_4) z x^3 + (-6t_2 t_4 - t_1 t_4 - 3t_2 t_1 - 3t_1^2 + 3t_2^2) x^3 y \\ &\quad + (-t_2 t_4 + 3t_4^2 - 3t_1^2 - 6t_2 t_1 - 3t_1 t_4) y^3 z + (-3t_2^2 - 3t_2 t_4 - 6t_1 t_4 + 3t_4^2 - t_1 t_2) z^3 x \\ &\quad + (-3t_2 t_1 + 3t_2^2 - 3t_1^2 - 6t_1 t_4) x^2 y^2 + (-3t_1 t_4 + 3t_4^2 - 6t_2 t_4 - 3t_4^2) y^2 z^2 \\ &\quad + (-6t_1 t_2 - 3t_2^2 - 3t_2 t_4 + 3t_4^2) z^2 x^2 + (6t_2^2 + 9t_2 t_4 - 6t_4^2) y z x^2 \\ &\quad + (9t_1 t_2 - 6t_2^2 + 6t_4^2) x y^2 z + (-6t_1^2 + 6t_4^2 + 9t_1 t_4) x y z^2. \end{aligned}$$

The formulae for $\alpha\beta^3$ and $\beta\gamma^3$ are then obtained by replacing the triple (t_1, t_2, t_4) by (t_2, t_4, t_1) and (t_4, t_1, t_2) respectively in the

formula of $\delta\alpha^3$. Using the fact that $t_1 t_2 + t_2 t_4 + t_4 t_1 = 0$,

$$\begin{aligned} \text{we get } \alpha\beta^3 + \beta\delta^3 + \delta\alpha^3 &= (-7t_1^2 - 7t_2^2 - 7t_3^2)xy^3 + (-7t_1^2 - 7t_2^2 - 7t_3^2)yz^3 \\ &\quad + (-7t_1^2 - 7t_2^2 - 7t_3^2)zx^3 \\ &\stackrel{\text{by (2)}}{=} 49(xy^3 + yz^3 + zx^3) \\ &= 0. \end{aligned}$$

We have proved that $\pi_c(X) \subset X$. Thus, $\tilde{\pi}_c \in \text{Aut}(X)$ and $\text{ord}(\tilde{\pi}_c) = 2$.

So far, we have found 3 elements of $\text{Aut}(X)$: $\tilde{\pi}_A$ with order 3, $\tilde{\pi}_B$ with order 7, and $\tilde{\pi}_c$ with order 2.

$$\tilde{\pi}_A \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} = \begin{Bmatrix} y \\ z \\ x \end{Bmatrix},$$

$$\tilde{\pi}_B \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} = \begin{Bmatrix} x \\ ry \\ r^3z \end{Bmatrix} \quad \text{with } r = \exp\left(\frac{2\pi i}{7}\right),$$

$$\tilde{\pi}_c \begin{Bmatrix} x \\ y \\ z \end{Bmatrix} = \begin{Bmatrix} t_1 x + t_4 y + t_2 z \\ t_4 x + t_2 y + t_1 z \\ t_2 x + t_4 y + t_1 z \end{Bmatrix} \quad \text{with } t_j = r^j - r^{-j}.$$

Therefore, $|\text{Aut}(X)|$ is divisible by $3 \times 7 \times 2 = 42$. Since $|\text{Aut}(X)| \leq 168$, there are only 4 possibilities, namely $|\text{Aut}(X)| \in \{42, 84, 126, 168\}$.

We will show that only the case $|\text{Aut}(X)| = 168$ can happen. Denote

$$G = \text{Aut}(X).$$

46

In all of these cases, $7 \mid |G|$ but $7^2 \nmid |G|$. Thus all 7-Sylow subgroups of G are of order 7. Moreover, by Sylow's theorem, all of these 7-subgroups are conjugate and the number of them is ~~congruent~~ congruent to 1 (mod 7). Denote $H = \langle \tilde{\pi}_B \rangle$ - the cyclic group generated by $\tilde{\pi}_B$. Let N_H be the normalizer of H in G . Then we also know that the number of subgroups of G that are conjugate to H is $(G:N_H)$. Thus $(G:N_H) \equiv 1 \pmod{7}$. Moreover, since $H \subset N_H$, $(G:N_H)$ divides

$$(G:H) = \frac{|G|}{7}. \text{ Thus we have } \begin{cases} (G:N_H) \equiv 1 \pmod{7} \\ (G:N_H) \mid \frac{|G|}{7} \end{cases} \quad (***)$$

If $|G| = 42$ then

$$(***) \Leftrightarrow \begin{cases} (G:N_H) \equiv 1 \pmod{7} \\ (G:N_H) \mid 6 \end{cases}$$

$$\Leftrightarrow (G:N_H) = 1$$

$\Leftrightarrow H$ is normal in G .

If $|G| = 84$ then

$$(***) \Leftrightarrow \begin{cases} (G:N_H) \equiv 1 \pmod{7} \\ (G:N_H) \mid 12 \end{cases}$$

$$\Leftrightarrow (G:N_H) = 1$$

$\Leftrightarrow H$ is normal in G

If $|G|=126$ then

$$(*) \Leftrightarrow \begin{cases} (G:N_H) \equiv 1 \pmod{7} \\ (G:N_H) \mid 18 \end{cases}$$

$$\Leftrightarrow (G:N_H) = 1$$

$\Leftrightarrow H$ is a ~~sub~~normal in G .

Therefore, if $|G| \neq 168$ then $H = \langle \tilde{\pi}_B \rangle$ must be a normal subgroup of G . However, we'll show that H is actually not normal in G .

Suppose that H is normal in G . Then $\tilde{\pi}_C \tilde{\pi}_B \tilde{\pi}_C \in H$, i.e. $\tilde{\pi}_{CBC} \in H$.

Then there exists $k \in \mathbb{N}$ such that $\tilde{\pi}_{CBC} = \tilde{\pi}_{B^k}$. Thus CBC and B^k differ by a factor $\lambda \in \mathbb{C}^*$. In particular, CBC must be diagonal because B^k is diagonal. We have

$$\begin{aligned} CBC &= -\frac{1}{7} \begin{pmatrix} t_1 & t_4 & t_2 \\ t_4 & t_2 & t_1 \\ t_2 & t_1 & t_4 \end{pmatrix} \begin{pmatrix} 1 & & \\ & r & \\ & & r^3 \end{pmatrix} \begin{pmatrix} t_1 & t_4 & t_2 \\ t_4 & t_2 & t_1 \\ t_2 & t_1 & t_4 \end{pmatrix} \\ &= -\frac{1}{7} \begin{pmatrix} t_1 & rt_4 & r^3 t_2 \\ t_4 & rt_2 & r^3 t_1 \\ t_2 & rt_1 & r^3 t_4 \end{pmatrix} \begin{pmatrix} t_1 & t_4 & t_2 \\ t_4 & t_2 & t_1 \\ t_2 & t_1 & t_4 \end{pmatrix} \end{aligned}$$

The coefficient at row 2, column 1, is supposed to be zero. However,

$$\begin{aligned} t_1 t_4 + r t_2 t_4 + r^3 t_1 t_2 &= (r - r^{-1})(r^4 - r^{-4}) + r(r^2 - r^{-2})(r^2 - r^{-4}) \\ &\quad + r^3(r - r^{-1})(r^2 - r^{-2}) \end{aligned}$$

48

$$\begin{aligned} &= (r^2 + r^{-2} - r^4 - r^{-4}) + r(r + r^{-1} - r^2 - r^{-2}) + r^3(r^2 + r^{-3} - r - r^{-1}) \\ &= r^2 + r^{-2} + 2 - 2r^4 - 2r^{-4} \\ &= r^4(r^6 + 2r^4 + r^2 - 2r - 2) \quad (\S) \end{aligned}$$

Since $\Phi_7(X) = X^6 + X^5 + X^4 + X^3 + X^2 + X + 1$ is the irreducible polynomial of r over \mathbb{Q} , r is not a root of $X^6 + 2X^4 + X^2 - 2X - 2$. Thus (8) implies $t_1 t_4 + r t_2 t_4 + r^3 t_1 t_2 \neq 0$. This is a contradiction.

The above arguments also show that every subgroup of G containing $\tilde{\pi}_A, \tilde{\pi}_B, \tilde{\pi}_C$ must be of order 168, and thus must be G . Therefore, $G = \langle \tilde{\pi}_A, \tilde{\pi}_B, \tilde{\pi}_C \rangle$, which implies

$$G \simeq \underbrace{\langle A, B, C \rangle}_{\text{Subgroup of } GL(3, \mathbb{C})} / \mathcal{E}$$

(recall that $\mathcal{E} = \{ \lambda I_3 : \lambda \in \mathbb{C}^* \}$)