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Math 8301: Topology & Manifolds

Homework 5

19/20



(1) For a space X , we'd like to define a groupoid $\Pi_1(X)$ as follows. The objects of $\Pi_1(X)$ are the points of X . The morphisms $\text{Hom}_{\Pi_1(X)}(x, y)$ are homotopy classes of paths γ starting at x and ending at y . The composition of morphisms is given by the path composition $\gamma \cdot \gamma'$.

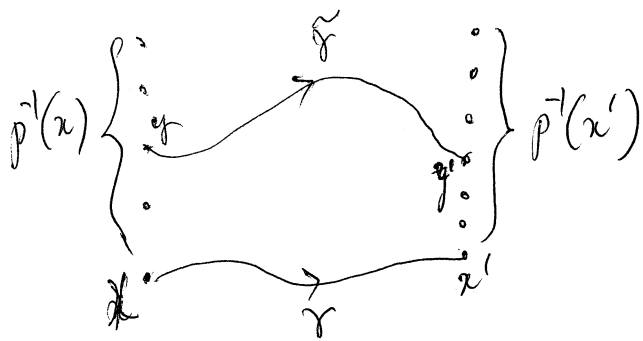
Explain why this, strictly speaking, does not give a definition of a category, and explain how to alter the definition of composition to fix it.

Proof $\Pi_1(X)$ is a collection of objects, which are points in X ; and for two objects x and y a set $\text{Hom}(x, y)$ called morphisms; and for three objects x, y, z a law of composition $\text{Hom}(x, y) \times \text{Hom}(y, z) \rightarrow \text{Hom}(x, z)$ which is defined by path composition. The standard notation for composition of morphisms in a category is, however, $\text{Hom}(y, z) \times \text{Hom}(x, y) \rightarrow \text{Hom}(x, z)$. Thus we only need the exchange $[\gamma']$ and $[\gamma]$ when taking their composition. Particularly, we define $[\gamma'] \circ [\gamma] := [\gamma \cdot \gamma']$, where \circ is morphism's composition and \cdot is the usual path-composition. This is just the matter of notation. All of the

properties of path-composition (associativity, unitality and inverse) are induced straightly to this morphism composition. Then $\Pi_1(X)$ will be a category. 4/4

(2) If $p: Y \rightarrow X$ is a covering space, generalise the action of fundamental group $\pi_1(X, x)$ on $p^{-1}(x)$ to show that the assignment $x \mapsto p^{-1}(x)$ extends to a functor p^{-1} from $\Pi_1(X)$ to the category of sets.

Proof We already have object assignments. Now we need ~~how~~ morphism assignments. Consider two points x and x' in the base space X , and a path γ connecting them (to be precise, γ is a representative of a class of homotopy class of paths from x to x'). We need to assign to γ a map ~~between~~ ^{from} $p^{-1}(x)$ ~~to~~ $p^{-1}(x')$. We see that



at each $y \in p^{-1}(x)$, there exists a unique lift of γ in Y , say $\tilde{\gamma}$, such that $\tilde{\gamma}(0) = y$ and $p \circ \tilde{\gamma} = \gamma$. Then

$$x' = \gamma(1) = (p \circ \tilde{\gamma})(1) = p(\tilde{\gamma}(1))$$

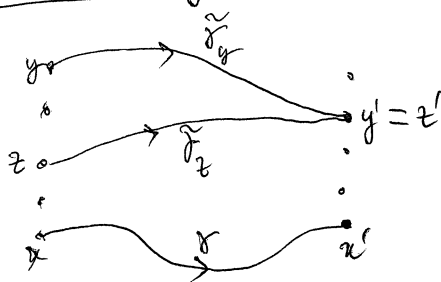
thus $\tilde{\gamma}(1) \in p^{-1}(x')$. Then we assign $y \mapsto \tilde{\gamma}(1)$. Since $\tilde{\gamma}$ depends on

y , we should use subscript to denote the dependancy $\tilde{\gamma} = \tilde{\gamma}_y$. Then

we have a map ~~$p^{-1}(x) \rightarrow p^{-1}(x')$~~ $p^{-1}_\gamma: p^{-1}(x) \rightarrow p^{-1}(x')$
 $y \mapsto \tilde{\gamma}_y(1) \checkmark$

We will show, moreover, that p_γ^{-1} is in fact a bijection.

Check injectivity:



Suppose that $p_\gamma^{-1}(y) = p_\gamma^{-1}(z)$ ^{and $y \neq z$} . Then

$$\tilde{\gamma}_y(1) = \tilde{\gamma}_z(1)$$

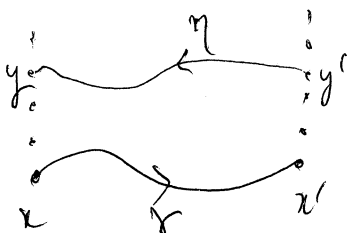
The inverse of γ is $\gamma^{-1}: t \mapsto \gamma(1-t)$. Then

γ^{-1} is a path from x' to x . We have

$$\tilde{\gamma}_y^{-1}: t \mapsto \tilde{\gamma}_y(1-t) \text{ and } \tilde{\gamma}_z^{-1}: t \mapsto \tilde{\gamma}_z(1-t)$$

Then $\tilde{\gamma}_y^{-1}(0) = \tilde{\gamma}_z^{-1}(0) = y'$. Thus $\tilde{\gamma}_y^{-1}$ and $\tilde{\gamma}_z^{-1}$ are two different lifts of γ^{-1} into the covering space at y' . This is a contradiction.

Check surjectivity:



For each $y' \in p^{-1}(x')$, we'll find $y \in p^{-1}(x)$ such that $y' = p_\gamma^{-1}(y)$. There exists a lift of γ^{-1} into the covering space, called η , such that $\eta(0) = y'$.

Then η^{-1} but $\eta(1) = y$. Thus η^{-1} is a lift of

$$\gamma \text{ at } y. \text{ Thus } p_\gamma^{-1}(y) = \eta^{-1}(1) = \eta(0) = y'.$$

Now we have p_γ^{-1} is a bijection. Let \mathcal{S} be the category of sets; the morphisms are bijections; the morphism composition is the usual map composition. Then \mathcal{S} is actually a groupoid (see Problem 4, Homework #1). We define a functor p^{-1} from $\Pi_1(X)$, the groupoid of points in X , to

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the \mathcal{S} , the groupoid of sets as follow:

• Object assignment: $x \mapsto p^{-1}(x)$ (the fiber over X).

• Morphism assignment: $\gamma \mapsto p \circ \gamma$

Then what we need to check is just the compatibility of morphism composition

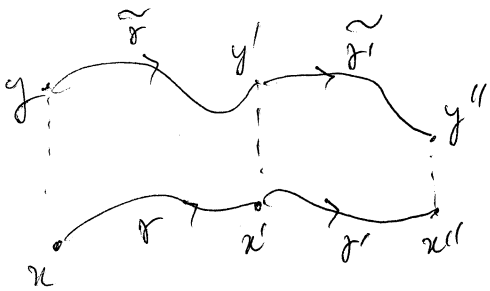
in $\Pi_1(X)$ and that in \mathcal{S} . Let γ be a path from x to x' , and γ' be

a ^{path} map from x' to x'' . We know that $[\gamma'] \circ [\gamma] = [\gamma \cdot \gamma']$. We have

$$p^{-1}([\gamma'] \circ [\gamma]) = p^{-1}([\gamma \cdot \gamma']) = p_{\gamma \cdot \gamma'}^{-1}$$

which is a map from $p^{-1}(x)$ to $p^{-1}(x'')$ such that

$$p_{\gamma \cdot \gamma'}^{-1}(y) = \widetilde{\gamma \cdot \gamma'}_y(1) \quad (*)$$



Since $\tilde{\gamma \cdot \gamma'}$ is a lift of $\gamma \cdot \gamma'$ such that (up to a homotopy) and that $\tilde{\gamma \cdot \gamma'}(0) = y$, it is equal to $\tilde{\gamma \cdot \gamma'}$ (up to a homotopy),

which is the lift we have

$$p \circ (\tilde{\gamma \cdot \gamma'}) \stackrel{(*)}{=} p(\tilde{\gamma \cdot \gamma'}(t)) = \begin{cases} p(\tilde{\gamma}(2t)) & 0 \leq t \leq 1/2 \\ p(\tilde{\gamma}'(2t-1)) & 1/2 \leq t \leq 1 \end{cases}$$

$$= \begin{cases} \gamma(2t) & 0 \leq t \leq 1/2 \\ \gamma'(2t-1) & 1/2 \leq t \leq 1 \end{cases}$$

$$= (\gamma \cdot \gamma')(t)$$

Thus $\tilde{\gamma \cdot \gamma'}$ is a lift of $\gamma \cdot \gamma'$ such that $\tilde{\gamma \cdot \gamma'}(0) = y$. By the uniqueness

of lifts, we have $\tilde{r} \cdot \tilde{r}' = \tilde{r} \cdot \tilde{r}'$. Thus

$$(*) = \tilde{r} \cdot \tilde{r}'(1) = \tilde{r}_y \cdot \tilde{r}'_{y'}(1) = \tilde{r}'_{y'}(1) = y''$$

Thus $P_{r,r'}^{-1}(y) = y''$. We have $P_{r,r'}^{-1} \circ P_r^{-1}(y) = P_{r,r'}^{-1}(P_r^{-1}(y)) = P_{r,r'}^{-1}(y') = y''$.

Thus $P_{r,r'}^{-1}(y) = P_r^{-1} \circ P_{r'}^{-1}(y) \forall y \in p^{-1}(x)$. Thus $P_{r,r'}^{-1} = P_r^{-1} \circ P_{r'}^{-1}$. ✓

You also need to check that $p^{-1} : \pi_1(X) \rightarrow \text{Set}$ sends the identity to the identity. 3/4

Remark

We have $p^{-1}(x) \cong p^{-1}(y)$ in sense of set isomorphism. This implies

if there exists $x_0 \in X$ such that $|p^{-1}(x_0)| = n$ finite then $|p^{-1}(y)| = n$

for every $y \in X$ which is path-connected to x_0 .

(3) Suppose $p: Y \rightarrow X$ and $p': Y' \rightarrow X$ are covering maps, and $\phi: Y \rightarrow Y'$ is a homeomorphism such that $p' \circ \phi = p$. Show that the functors p^{-1} and $(p')^{-1}$, from $\pi_1(X)$ to the category of sets, are naturally isomorphic.

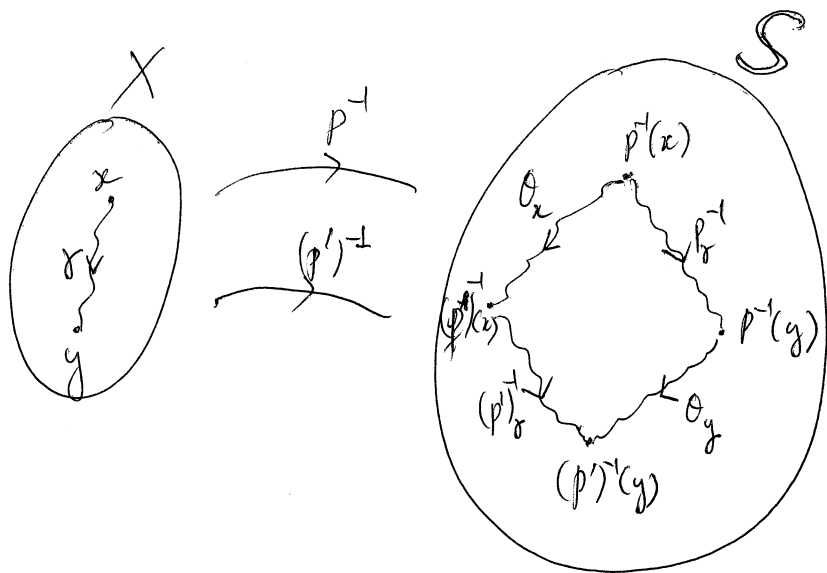
Proof Let \mathcal{S} be the groupoid of sets as the previous problem. The existence of a homeomorphism $\phi: Y \rightarrow Y'$ such that $p' \circ \phi = p$ says that p and p' are the same in some sense. We need to ^{clarify} specify that sense under the light of "natural isomorphism". We have $p, p' : \pi_1(X) \rightarrow \mathcal{S}$. We need,

for every $x \in X$, a choice of set-isomorphism from $p^{-1}(x)$ to $(p')^{-1}(x)$, called

α_x such that

$$\begin{array}{ccc} p^{-1}(x) & \xrightarrow{P_r^{-1}} & p^{-1}(y) \\ \alpha_x \downarrow & & \downarrow \alpha_y \\ (p')^{-1}(x) & \xrightarrow{(p')^{-1}} & (p')^{-1}(y) \end{array} \quad \text{Commutates for every } x, y \in X \text{ and path } \gamma \text{ from } x \text{ to } y.$$

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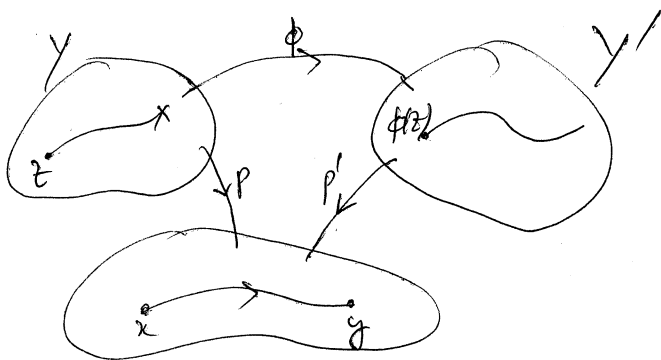
Since $p' \circ \phi = p$, we have $p^{-1}(x) = \phi^{-1}(p')^{-1}(x)$. Thus $\phi(p^{-1}(x)) = (p')^{-1}(x)$. Thus ϕ is a ~~map~~ isomorphism from $p^{-1}(x)$ to $(p')^{-1}(x)$. Thus we choose $\mathcal{O}_x = \phi$ for all $x \in X$. Strictly speaking, it should be $\mathcal{O}_x = \phi|_{p^{-1}(x)}$. We have

$$(p')^{-1} \circ \mathcal{O}_x(z) = (p')^{-1}(\phi(z)) \stackrel{\text{def}}{=} \tilde{\gamma}'_{\phi(z)}(1) \quad \forall z \in p^{-1}(x)$$

where $\tilde{\gamma}'_{\phi(z)}$ is the lift of γ' into Y' such that $\tilde{\gamma}'_{\phi(z)}(0) = \phi(z)$. Similarly,

$$\mathcal{O}_y \circ p^{-1}(z) = \phi(p^{-1}(z)) = \phi(\tilde{\gamma}_z(1)) \quad \forall z \in p^{-1}(x)$$

where $\tilde{\gamma}_z$ is the lift of γ into Y such that $\tilde{\gamma}_z(0) = z$. Thus we need to check $\phi(\tilde{\gamma}_z(1)) = \tilde{\gamma}'_{\phi(z)}(1)$.



we have

$$\gamma = p \circ \tilde{\gamma}_z = p' \circ \phi \circ \tilde{\gamma}'_{\phi(z)}$$

$$\gamma = p' \circ \tilde{\gamma}'_{\phi(z)}$$

Since $\phi \circ \tilde{\gamma}'_{\phi(z)}(0) = \phi(\phi(z)) = \tilde{\gamma}_z(0)$,

both $\phi \circ \tilde{\gamma}'_{\phi(z)}$ and $\tilde{\gamma}_z$ are lifts

of γ into γ' at $\phi(z)$. By the uniqueness of the lifting path, we have $\phi \circ \tilde{\gamma}_z = \tilde{\gamma}_{\phi(z)}$. In particular, $\phi \circ \tilde{\gamma}_z(1) = \tilde{\gamma}'_{\phi(z)}(1)$. 4/4

④ Suppose $f(z)$ is a monic polynomial $z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$ whose coefficients are complex numbers. Define

$$S = \{z \in \mathbb{C} \mid f'(z) = 0\} \text{ and } T = f(S)$$

Show that the restricted map $f: \mathbb{C} \setminus f^{-1}(T) \rightarrow \mathbb{C} \setminus T$ is a covering map.

Proof First we'll prove the following lemma:

Let $g: \Omega \rightarrow \mathbb{C}$ analytic where Ω is open in \mathbb{C} ; and $w \in \Omega$ such that $g'(w) \neq 0$. Then

(i) There exists $r_1 > 0$ such that $g|_{B(w, r_1)}$ is injective

(ii) There exists $r_2 > 0$ such that $g|_{B(w, r_2)}: B(w, r_2) \rightarrow g(B(w, r_2))$

is a homeomorphism. Consequently, $g(B(w, r_2))$ is open in \mathbb{C} .

Proof of the lemma.

(i) There is a disk $B(w, r)$ containing w in Ω . Since g is analytic on this disk, ~~it is~~ definitely its derivative is also continuous on this disk.

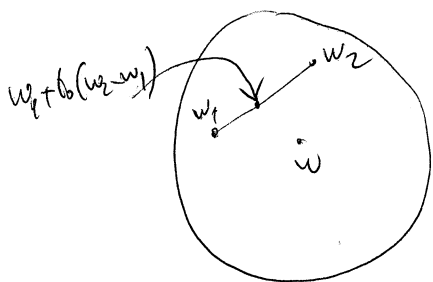
Since $g'(w) \neq 0$, there exists $0 < r_1 < r$ such that $g'(z) \neq 0$ for every

Not needed. Just use the inverse function theorem.

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$z \in B(w, r_1)$. We'll show that g is injective on $B(w, r_1)$. Suppose by contradiction that there exist w_1 and $w_2 \in B(w, r_1)$ such that $w_1 \neq w_2$ and $g(w_1) = g(w_2)$. We define $h: [0, 1] \rightarrow B(w, r_1)$ given by

$$h(t) = g(w_1 + t(w_2 - w_1)) \quad \forall t \in [0, 1]$$



Then h is continuous on $[0, 1]$, and differentiable on $(0, 1)$. Thus by Mean-Value-Theorem, there exists $t_0 \in (0, 1)$

$$\text{such that } h'(t_0) = \frac{h(1) - h(0)}{1 - 0}$$

$$\text{Thus } \lim_{t \rightarrow t_0} \frac{g(w_1 + t(w_2 - w_1)) - g(w_1 + t_0(w_2 - w_1))}{t - t_0} = \frac{g(w_2) - g(w_1)}{1 - 0} = 0$$

$$\text{Thus } \lim_{t \rightarrow t_0} \frac{g(w_1 + t(w_2 - w_1)) - g(w_1 + t_0(w_2 - w_1))}{t(w_2 - w_1) - t_0(w_2 - w_1)} = 0$$

Thus $g'(w_1 + t_0(w_2 - w_1)) = 0$. Because $B(w, r_1)$ is convex, and

w_1, w_2 are in $B(w, r_1)$, the point $w_1 + t_0(w_2 - w_1)$ is also in $B(w, r_1)$. This

contradicts the fact that $g'(z) \neq 0$ for every $z \in B(w, r_1)$.

(ii) Let r_2 be such that $0 < r_2 < r_1$ where r_1 was found in (i). Then $\overline{B(w, r_2)} \subset B(w, r_1)$. Thus $g|_{\overline{B(w, r_2)}} : \overline{B(w, r_2)} \rightarrow g(\overline{B(w, r_2)})$ is injective,

surjective and continuous map from a compact space to a Hausdorff space.

Thus g is a homeomorphism from $\overline{B(w, r_2)}$ to $g(\overline{B(w, r_2)})$. Thus g is

a homeomorphism from $B(w, r_2)$ to $g(B(w, r_2))$. Since they are both subsets in \mathbb{C} and we know that $B(w, r_2)$ is open in \mathbb{C} , by the Invariance of the Domain theorem, $g(B(w, r_2))$ is open in \mathbb{C} . \odot

Back to the problem: take $z_0 \in \mathbb{C} \setminus T$. We'll find an open neighborhood U of z_0 in $\mathbb{C} \setminus T$ such that $f^{-1}(U)$ is homeomorphic to $U \times F$ where F

is discrete and

$$\begin{array}{ccc}
 f^{-1}(U) & \xrightarrow{f} & U \\
 \phi \downarrow & \nearrow \text{proj} & \\
 U \times F & &
 \end{array}$$

commutes.

By the Fundamental theorem of Algebra, there exists ~~no~~ n roots of the equation $f(z) = z_0$ called z_1, z_2, \dots, z_n . They are pairwise distinct because otherwise, if $z_i = z_j$ is a multiple root then $f'(z_i) = 0$, and $z_0 = f(z_i) \in T$, which is not allowed. Now, since $z_0 \in \bar{T}$, $f'(z_k) \neq 0 \quad \forall k = 1, \dots, n$. Applying the above

lemma, for each $k = 1, \dots, n$, there exists $r_k > 0$ such that the map

$$f_k: B(z_k, r_k) \longrightarrow f(B(z_k, r_k)) = U_k \quad \text{defined as } f_k(z) = f(z),$$

is a homeomorphism. Since z_k 's are distinct, we can take assume that r_k 's are already small enough so that $B(z_k, r_k)$'s are pairwise disjoint.

Put $B_k = B(z_k, r_k)$. Since z_1, \dots, z_n are all root of the equation $f(z) - z_0 = 0$,

there exists $\varepsilon > 0$ such that $|f(z) - z_0| > \varepsilon > 0 \quad \forall z \in \tilde{C} \setminus \bigcup_{k=1}^n B_k$.

where $\tilde{C} = \mathbb{C} \setminus f^{-1}(T)$.

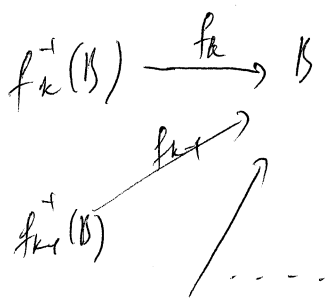
~~Put $B = B(z_0, \epsilon')$ where $0 < \epsilon' < \epsilon$ small enough~~

By the above lemma, U_k 's are open. Since $z_0 \in U_k$ for every k , $z_0 \in \bigcap_{k=1}^n U_k$. Thus there exist $0 < \epsilon' < \epsilon$ such that $B \subset \left(\bigcap_{k=1}^n U_k\right)$.

For each $x \in f^{-1}(B)$, we have $f(x) \in B$; thus $|f(x) - z_0| < \epsilon' < \epsilon$; thus $x \in \mathbb{C} \setminus \left(\bigcup_{k=1}^n B_k\right)$. Then $f^{-1}(B) \subset \mathbb{C} \setminus \left(\bigcup_{k=1}^n B_k\right)$

$$\begin{aligned} f^{-1}(B) &= f^{-1}(B) \cap \left(\bigcup_{k=1}^n B_k\right) = \bigcup_{k=1}^n (f^{-1}(B) \cap B_k) \\ &= \bigcup_{k=1}^n f_k^{-1}(B) \quad (\text{because } B \subset U_k) \end{aligned}$$

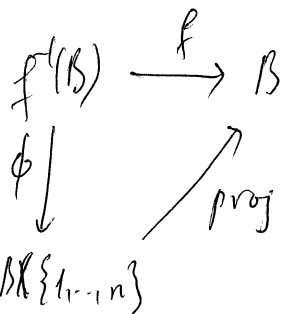
Each $f_k^{-1}(B)$ is homeomorphic to B , and $f_k^{-1}(B)$'s are disjoint. Thus



$$\phi: f^{-1}(B) \longrightarrow B \times \{1, \dots, n\}$$

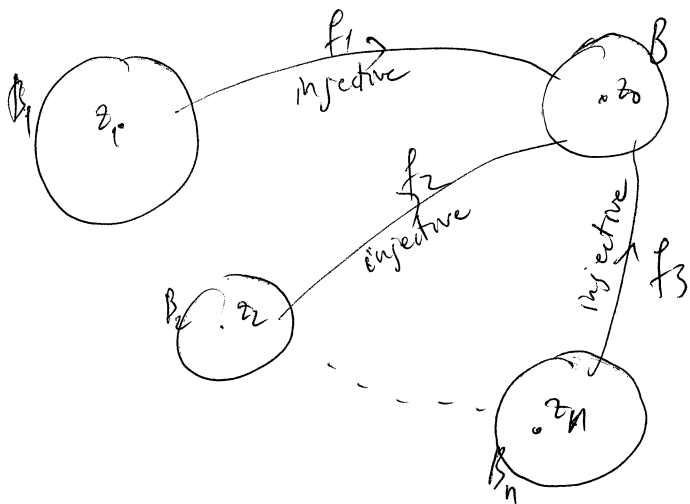
$$x \in f_k^{-1}(B) \longmapsto (f_k(x), k) = (f(x), k)$$

is a homeomorphism. Moreover



Commutates by definition of ϕ .

Thus ϕ is a covering map from $\mathbb{C} \setminus f^{-1}(T)$ to $\mathbb{C} \setminus T$. Below is the picture illustrating the idea.



⑤ Let X and Y be two topological spaces. Assume that there exists a covering map $p: Y \rightarrow X$. We'll examine whether the "locally homeomorphic to \mathbb{R}^n " property, Hausdorff property and second countable properties can ~~be~~ ~~succeeded~~ succeed to be transferred along p .

Examine the "locally homeomorphic to \mathbb{R}^n " property

Let's call a topological space to satisfy property (*) if it is locally homeomorphic to \mathbb{R}^n . We'll show that this property can be transfer along p from Y to X and viceversa.

Suppose Y has prop. (*)

Recall that we have the property: any open set of a topological space satisfying (*) also satisfying (*). This was a part of a proof that every open set of an n -manifold is also an n -manifold. For each $x \in X$, we'll find an open neighborhood of x in X that is homeomorphic to \mathbb{R}^n .

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Since p is a covering map, there exists an open neighborhood V of x in X , a discrete set F and a homeomorphism $\phi: p^{-1}(V) \rightarrow V \times F$ such

that

$$\begin{array}{ccc}
 p^{-1}(V) & \xrightarrow{p} & V \\
 \phi \downarrow & \nearrow \text{proj} & \\
 V \times F & &
 \end{array}$$

commutes

Since $p^{-1}(V)$ is open in Y , it also has prop. (*). Since $V \times F \cong p^{-1}(V)$, $V \times F$ has prop. (*). Take $\alpha \in F$ arbitrarily. Since $(x, \alpha) \in V \times F$, it has an open neighborhood W in $V \times F$ such that $W \cong \mathbb{R}^n$. In particular, W has prop. (*). Since $V \times \{\alpha\}$ is a product of an open set in X and an open set in F , it is open in $V \times F$. Thus $(V \times \{\alpha\}) \cap W$ is open in W . Thus $(V \times \{\alpha\}) \cap W$ has prop. (*), we have

$$(V \times \{\alpha\}) \cap W = U \times \{\alpha\}$$

where U is some ~~set~~ subset of X . ^{containing x} Since $U = \text{proj}((V \times \{\alpha\}) \cap W)$, and

that $(V \times \{\alpha\}) \cap W$ is open in $V \times F$, and that proj is an open map, U is also open in

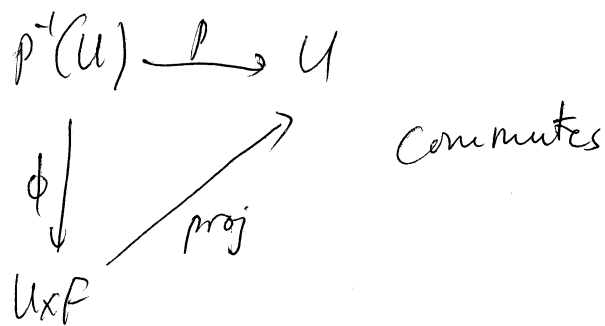
V . Thus U is open in X . Since $U \cong U \times \{\alpha\} = (V \times \{\alpha\}) \cap W$, U has prop.

(*) . Therefore we can find an open neighborhood of x in U (thus also in X)

that is homeomorphic to \mathbb{R}^n .

Suppose X has prop. (*)

For each $y \in Y$, we'll find an open neighborhood of y in Y that has prop. (*). Let $x = f(y)$. There exists U -open neighborhood of x in X , a discrete space F , and a homeomorphism ϕ such that



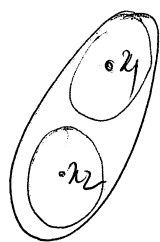
Since U is open in X , U also has prop. (*). For each $(z, \alpha) \in U \times F$, $(z, \alpha) \in U \times \{\alpha\}$ - an open set in $U \times F$. Since $U \times \{\alpha\} \cong U$, it also has prop. (*). Thus every $(z, \alpha) \in U \times F$ has an open neighborhood having prop. (*). Thus $U \times F$ has prop. (*). Since $p^{-1}(U) \cong U \times F$, $p^{-1}(U)$ has prop. (*).

Moreover, $p^{-1}(U)$ is open, contains y and contains y . \checkmark

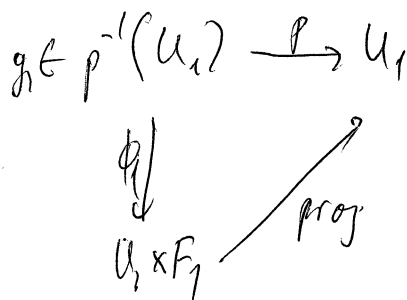
Examine the Hausdorff property

First we have one thing may not be true (questionable): "Let X be a topological space in which every point has an open neighborhood that is Hausdorff if viewed as a subspace. Is X necessarily Hausdorff?" "

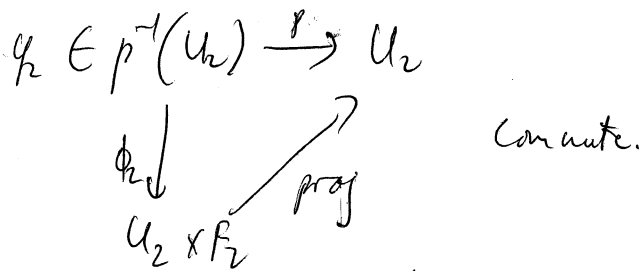
Now first assume that X is Hausdorff. Then we take y_1, y_2 distinct in Y and put $x_1 = p(y_1), x_2 = p(y_2)$. ~~Then there~~ ^{if $x_1 \neq x_2$} there exists $U_1 \ni x_1$



$U_2 \ni x_2$ open, disjoint. There exist F_1, F_2, ϕ_1, ϕ_2 such that this is if $p(y_1) \neq p(y_2)$. What if $p(y_1) = p(y_2)$?



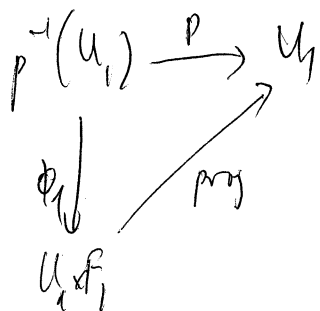
and



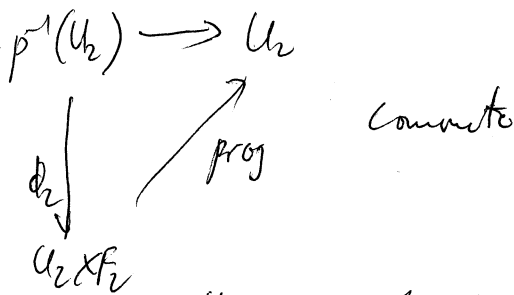
Since U_1 and U_2 are disjoint, $p^{-1}(U_1)$ and $p^{-1}(U_2)$ are disjoint. Thus Y is also Hausdorff. ^{provided that p is injective. If it's not, we cannot} ~~Next, assume that Y is Hausdorff.~~ ^{and open} conclude Y is Hausdorff.

property, assume that Y is Hausdorff. Take x_1 and x_2 in X . There

exists $U_1 \ni x_1$ and $U_2 \ni x_2$ open such that



and



Since Y is Hausdorff, $p^{-1}(U_1)$ and $p^{-1}(U_2)$ are also Hausdorff. Thus $U_1 \times F_1$ and $U_2 \times F_2$ are Hausdorff. Thus U_1 and U_2 are Hausdorff. Thus, X is a

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Space in which every point has an open neighborhood that is Hausdorff.
We ~~are~~ are really not sure whether X is ~~Hausdorff~~ Hausdorff or not. ✓

Examine the second countable property

If a topological space has the property that every point has an open neighborhood that is second countable if viewed as a subspace, it is not necessarily a second countable space (for example the long line).

First, assume X is second countable. Then the continuity of p doesn't tell us anything about the second countability of Y . The covering property of p , on the other hand, only gives us information about locals of Y ; thus we cannot make a "global" statement about countability of Y .

Next, assume Y is second countable. The same reason as above; and we cannot judge the countability of X .

A counterexample in which X is countable while Y is not countable is

$$X = \{0\}, \quad \tau_X = \{\emptyset, X\}$$

$$Y = X \times [0,1] \text{ where } [0,1] \text{ has discrete topology.}$$

$$p: Y \rightarrow X \text{ such that } p(\text{~~set~~ } (0, x)) = 0 \quad \forall x \in [0,1]. \quad /$$