

Name: Tuan Pham

ID: 4652218

Math 8301: Topology & Manifolds 1

Homework 6

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① For an integer n and a real number $R > 0$, find the effect of the map $w \mapsto (Rw)^n : S^1 \rightarrow \mathbb{C} \setminus \{0\}$ on π_1 .

Proof We will follow the following steps:

Step 0:

We know that $\pi_1(S^1, 1)$ is a cyclic group generated by the path $\gamma : [0, 1] \rightarrow S^1$, $\gamma(t) = e^{i2\pi t}$. If we denote $\gamma^k := \gamma \cdot \gamma \cdots \gamma$ (mod homotopy equivalence), then

$$\pi_1(S^1, 1) = \{ \gamma^k : k \in \mathbb{Z} \}$$

Let $f : S^1 \rightarrow \mathbb{C} \setminus \{0\}$, $f(w) = (Rw)^n$. Then $f^{-1}(0) = \emptyset$.

$f^* : \pi_1(\mathbb{C} \setminus \{0\}, R^n)$ is defined by

$$f^*(\alpha) = f \circ \alpha \quad \forall \alpha \in \pi_1(S^1, 1).$$

Step 1 Find $\pi_1(\mathbb{C} \setminus \{0\}, R^n)$

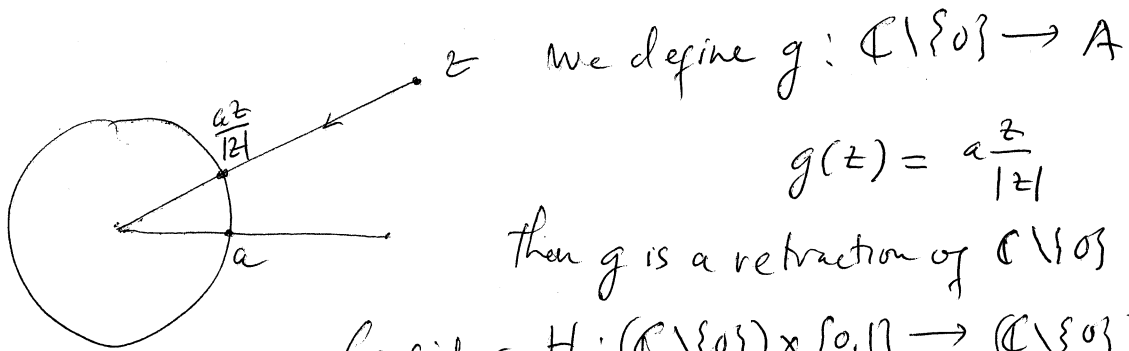
Step 2 Find f^* .

Details: Step 1 Let $A = \{w \in \mathbb{C} : |w| = R\}$. We'll show that

$$\pi_1(\mathbb{C} \setminus \{0\}, R^n) = \pi_1(A, R^n).$$

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Put ~~$a = R^n \in \mathbb{C} \setminus \{0\}$~~ $a = R^n > 0$.



$$g(z) = a \frac{z}{|z|}$$

Then g is a retraction of $\mathbb{C} \setminus \{0\}$ on A .

Consider $H: (\mathbb{C} \setminus \{0\}) \times [0, 1] \rightarrow (\mathbb{C} \setminus \{0\})$

$$H(z, s) = sz + (1-s) a \frac{z}{|z|}$$

Then H is a basepoint-preserving homotopy from $\text{id}_{(\mathbb{C} \setminus \{0\}, a)}$ to g . Therefore

each loop $\tilde{\gamma}$ based at a in $\mathbb{C} \setminus \{0\}$ will be homotopic to $\tilde{\gamma}'$ based at a in

A where ~~$\tilde{\gamma}'(t) = H(\tilde{\gamma}(t), 1)$~~ $\tilde{\gamma}'(t) = H(\tilde{\gamma}(t), 1)$. Why? Put $H': [0, 1] \times [0, 1] \rightarrow X$

defined by $H'(t, s) = H(\tilde{\gamma}(t), s)$. Then H' is continuous and

$$H'(t, 0) = H(\tilde{\gamma}(t), 0) = \tilde{\gamma}(t)$$

$$H'(t, 1) = H(\tilde{\gamma}(t), 1) = \tilde{\gamma}'(t)$$

$$H'(0, s) = H(\tilde{\gamma}(0), s) = H(a, s) = a \text{ because } H \text{ is basepoint-preserving}$$

$$H'(1, s) = H(\tilde{\gamma}(1), s) = H(a, s) = a$$

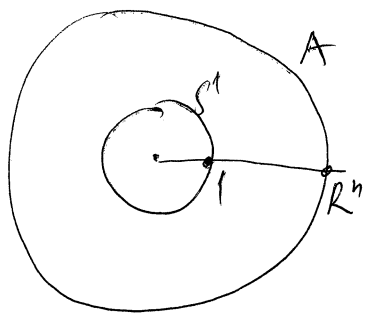
Therefore $\tilde{\gamma}'$ is path-homotopic to $\tilde{\gamma}$. Thus $\tilde{\gamma} \in \pi_1(A, a)$. Thus

$\pi_1(X, a) \subset \pi_1(A, a)$. Since $\pi_1(A, a) \subset \pi_1(\mathbb{C} \setminus \{0\}, a)$, we have

$\pi_1(\mathbb{C} \setminus \{0\})$

$$\pi_1(\mathbb{C} \setminus \{0\}, a) = \pi_1(A, a)$$

Therefore, $\pi_1(\mathbb{C} \setminus \{0\}, \mathbb{R}^n) = \pi_1(A, \mathbb{R}^n)$.



The map $g \circ h: S^1 \rightarrow A$ is a homeomorphism.
 $w \mapsto \mathbb{R}^n w$

Thus $h_*: \pi_1(S^1, 1) \rightarrow \pi_1(A, \mathbb{R}^n)$ is a group-isomorphism. Because $\pi_1(S^1, 1) = \langle \gamma \rangle$ - cyclic group

generated by γ , which is the ^{loop} map $[0, 1] \rightarrow S^1$, $\gamma(t) = e^{i2\pi t}$, we have

$\pi_1(A, \mathbb{R}^n) = \langle \alpha \rangle$ - cyclic group generated by α , which is the loop
 $[0, 1] \rightarrow A$, $\alpha(t) = h \circ \gamma(t) = h(e^{i2\pi t}) = \mathbb{R}^n e^{i2\pi t}$. Therefore,

$$\pi_1(\mathbb{C} \setminus \{0\}, \mathbb{R}^n) = \langle \alpha \rangle = \{ \alpha^k : k \in \mathbb{Z} \}$$

Step 2 By definition, $f_*: \pi_1(S^1, 1) \rightarrow \pi_1(\mathbb{C} \setminus \{0\}, \mathbb{R}^n)$ such that

$f_*(\beta) = f \circ \beta$. Thus $f_*(\gamma) = f \circ \gamma$. Particularly,

$$f_*(\gamma)(t) = f(\gamma(t)) = f(e^{i2\pi t}) = \mathbb{R}^n e^{i2\pi t}$$

Put $\beta = f_*(\gamma)$. We have $\beta(t) = \mathbb{R}^n e^{i2\pi t}$. Thus

$$\beta|_{[0, \frac{1}{n}]} = \alpha,$$

$$\beta|_{[\frac{1}{n}, \frac{2}{n}]} = \alpha,$$

$$\beta|_{[\frac{n-1}{n}, \frac{n}{n}]} = \alpha,$$

A

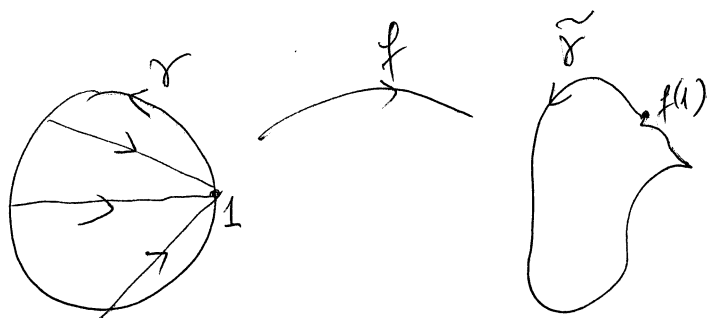
Therefore, as prove in Problem 3, Homework 4, β is path-homotopic to $\alpha \cdot \alpha \cdots \alpha = \alpha^n$. Thus, $f^*(\alpha) = \alpha^n$. Thus,

$$f^*(\gamma^k) = \alpha^{nk} \quad \forall k \in \mathbb{Z}$$

This is the effect of f on π_1 which we need to find. In the special case $n=0$, f^* is the trivial homomorphism. Otherwise, $\text{Im} f^* \cong \pi_1(\mathbb{C} \setminus \{0\}, \mathbb{R})$ and f^* is surjective. 4/4

(2) Show that if a polynomial $f(z)$ with complex coefficients has no zeros, then the induced map $\pi_1(S^1, 1) \rightarrow \pi_1(\mathbb{C} \setminus \{0\}, f(1))$ send all elements to the identity.

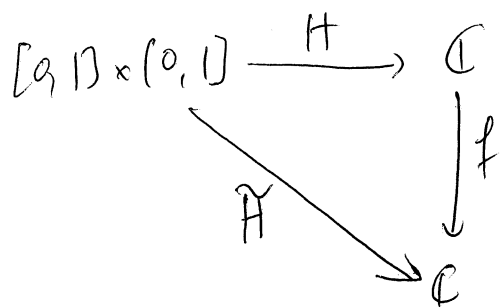
Proof Because $\pi_1(S^1, 1)$ is a cyclic group generated by $\gamma: [0, 1] \rightarrow S^1$, $\gamma(t) = e^{i2\pi t}$, it suffices to show that $f_*\gamma$ is path-homotopic to the constant path $t \in [0, 1] \mapsto f(1)$. Put $\tilde{\gamma} = f_*\gamma = f \circ \gamma$.



We have $f: \mathbb{C} \rightarrow \mathbb{C}$ and γ is a curve loop based at 1 in \mathbb{C} , Thus

there is a homotopy $H: [0, 1] \times [0, 1] \rightarrow \mathbb{C}$, $H(t, s) = s + (1-s)\gamma(t)$

between γ and the constant map $t \in [0,1] \mapsto 1$. Put $\tilde{H} = f \circ H$



Then \tilde{H} is a homotopy between $\tilde{\gamma} = f \circ \gamma$ and the constant map $t \in [0,1] \mapsto f(1)$. Since $f(z)$ is never zero, $\tilde{H} : [0,1] \times (0,1] \rightarrow \mathbb{C} \setminus \{0\}$. Thus $\tilde{\gamma}$ and $f(1)$ are path-homotopic in $\mathbb{C} \setminus \{0\}$, which concludes the proof.

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Combining Prob. 1, 2. of Homework 6 and Prob. 2 of Homework 4 to ^{prove} show

the Fundamental theorem of Algebra:

For $R > 0$, we put $g_R : S^1 \rightarrow \mathbb{C} \setminus \{0\}$
 $g_R(w) = (Rw)^n,$

and $f_R : S^1 \rightarrow \mathbb{C} \setminus \{0\}$

$f_R(w) = f(Rw)$, where f is a polynomial of complex

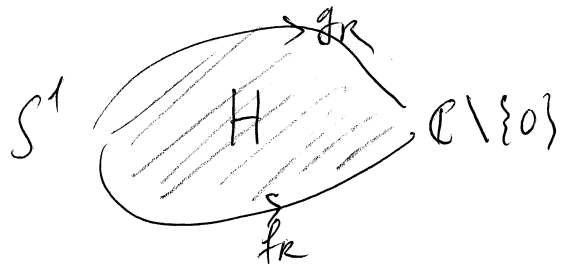
coefficients and of order $n \geq 1$, ~~which~~ Here we assumed that f had

no zero in \mathbb{C} , and we will try to find a contradiction. For R

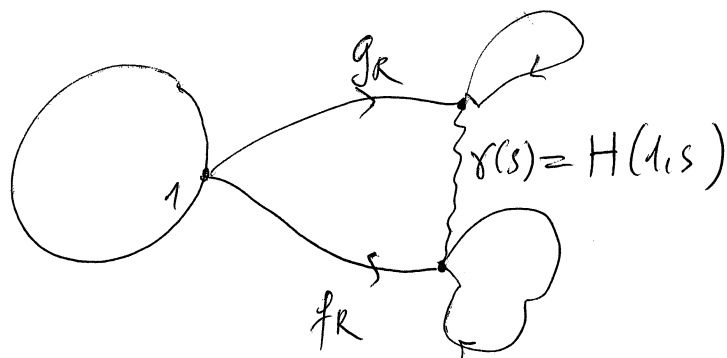
sufficiently large, Problem 2 in Homework 4 said that there exists a

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homotopy between them



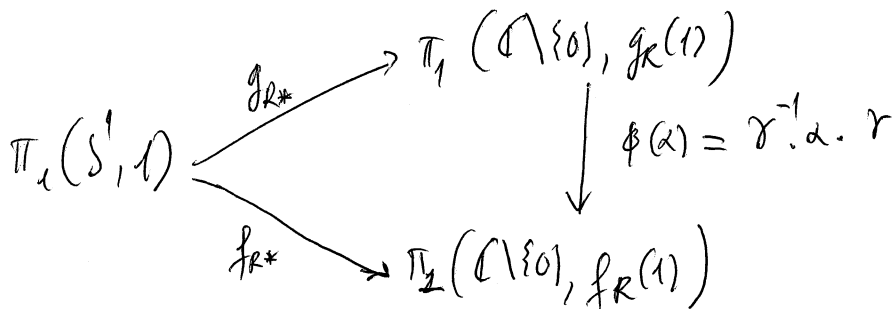
where $H: S^1 \times [0,1] \rightarrow \mathbb{C} \setminus \{0\}$, $H(x,0) = f_R(x)$ and $H(x,1) = g_R(x)$.



$$\gamma(0) = H(1,0) = f_R(1)$$

$$\gamma(1) = H(1,1) = g_R(1)$$

Then there exists a path γ in $\mathbb{C} \setminus \{0\}$ from $f_R(1)$ to $g_R(1)$. Then there exists ϕ such that $f_R = g_R \circ \phi$.



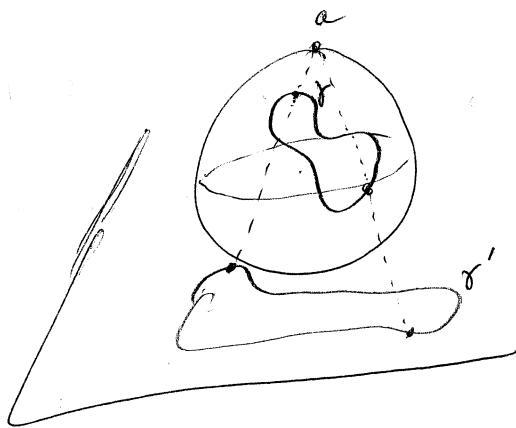
Problem 1 said that g_{R*} is injective (since $n \geq 1$). Thus $f_{R*} = g_{R*} \circ \phi$ is injective. This contradicts problem 2, which says f_{R*} must be trivial on $\pi_1(S^1, 1)$.

(3) Show that the fundamental group of the n -sphere S^n is trivial for $n \geq 1$, by directly showing that any loop γ is homotopic to the trivial loop.

Proof Here we will consider in detail the case $n=2$ because it will give us insight, probably sufficient, to deal with any case $n \geq 2$.

Let $\gamma: [0,1] \rightarrow S^2$, $\gamma(0) = \gamma(1)$ be a loop on S^2 . We will show that γ is homotopic to the trivial loop $t \in [0,1] \mapsto \gamma(0)$ by through the following steps:

1) If $\text{Im } \gamma = \gamma([0,1]) \neq S^2$ then there exists a $e \in S^2 \setminus \gamma([0,1])$, or equivalently $\gamma([0,1]) \subset S^2 \setminus \{e\}$. The idea then is to ~~show~~ use the stereographic projection P at pole e to project γ onto a plane to a loop $\tilde{\gamma} = P \circ \gamma$ in \mathbb{R}^2 .



Since \mathbb{R}^2 is strongly contractible, $\tilde{\gamma}$ is homotopic to the trivial loop $t \in [0,1] \mapsto \tilde{\gamma}(0)$. Then γ , as the image of $\tilde{\gamma}$ under P^{-1} , is also homotopic to the trivial loop $t \in [0,1] \mapsto \gamma(0)$ on S^2 .

Note that S^2 in our circumstance is a modelling object, or mathematical object. It is described as a set of all points of distance 1 from the origin

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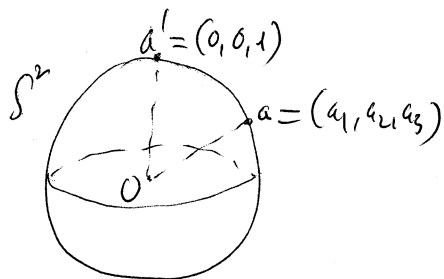
of a certain Cartesian coordinate system (x_1, x_2, x_3) .

$$S^2 = \{(x_1, x_2, x_3) : x_1^2 + x_2^2 + x_3^2 = 1\}$$

Thus $S^2 \setminus \{a\}$ and $S^2 \setminus \{(0, 0, 1)\}$ are really different sets. The stereographic projection is meant for the latter. The real object (object in the real life) that S^2 models is the sphere in our intuition, in which the spheres punctured at any (one) point are topologically the same. To fit our intuition, it is necessary to show that $S^2 \setminus \{a\}$ and $S^2 \setminus \{(0, 0, 1)\}$ are homeomorphic.

2) Find a homeomorphism from $S^2 \setminus \{a\}$ to $S^2 \setminus \{(0, 0, 1)\}$:

To do so, we only need to find a rotation, or a chain of rotations on S^2 that maps a to $(0, 0, 1)$.



We will rotate in the (x_1, x_2) -plane first, then rotate in the (x_2, x_3) -plane. First, if $a = (0, 0, 1)$, the rotation is just the identity map.

~~Now if $a \neq (0, 0, 1)$ then $a = (a_1, a_2, a_3)$ has $a_1^2 + a_2^2 \neq 0$.~~

If $a = (0, 0, -1)$ then we simply have the symmetry

$$S^2 \setminus \{a\} \rightarrow S^2 \setminus \{(0, 0, 1)\}$$

$$w \mapsto -w, \text{ which is a homeomorphism.}$$

If $a \neq (0, 0, \pm 1)$, then $a = (a_1, a_2, a_3)$ with $a_1^2 + a_2^2 \neq 0$. We will find two rotations as follow

$$a \xrightarrow{A} a'' \xrightarrow{A'} a' \xrightarrow{A''} (0, 0, 1)$$

$$(a_1, a_2, a_3) \mapsto (0, a_2'', a_3) \mapsto (0, 0, a_3') \mapsto (0, 0, 1)$$

Here $A = \begin{pmatrix} \cos \alpha & -\sin \alpha & \\ \sin \alpha & \cos \alpha & \\ & & 1 \end{pmatrix}$

We need $Aa = a''$, which is equivalent to $a_1 \cos \alpha - a_2 \sin \alpha = 0$. We can choose such an α that $\cos \alpha = \frac{a_2}{\sqrt{a_1^2 + a_2^2}}$ and $\sin \alpha = \frac{a_1}{\sqrt{a_1^2 + a_2^2}}$.

If $a'' = (0, a_2'', a_3)$ is already $a' = (0, 0, 1)$, A' is just the identity map.

Otherwise, we pick another rotation

$$A' = \begin{pmatrix} 1 & & \\ & \cos \beta & -\sin \beta \\ & \sin \beta & \cos \beta \end{pmatrix}$$

We need $A'a'' = a'$, which is equivalent to $a_2'' \cos \beta - a_3 \sin \beta = 0$. We can choose β such that $\cos \beta = \frac{a_3}{\sqrt{a_2''^2 + a_3^2}}$ and $\sin \beta = \frac{a_2''}{\sqrt{a_2''^2 + a_3^2}}$.

If $a' = (0, 0, a_3')$ is already $(0, 0, 1)$ then A'' is just the identity map.

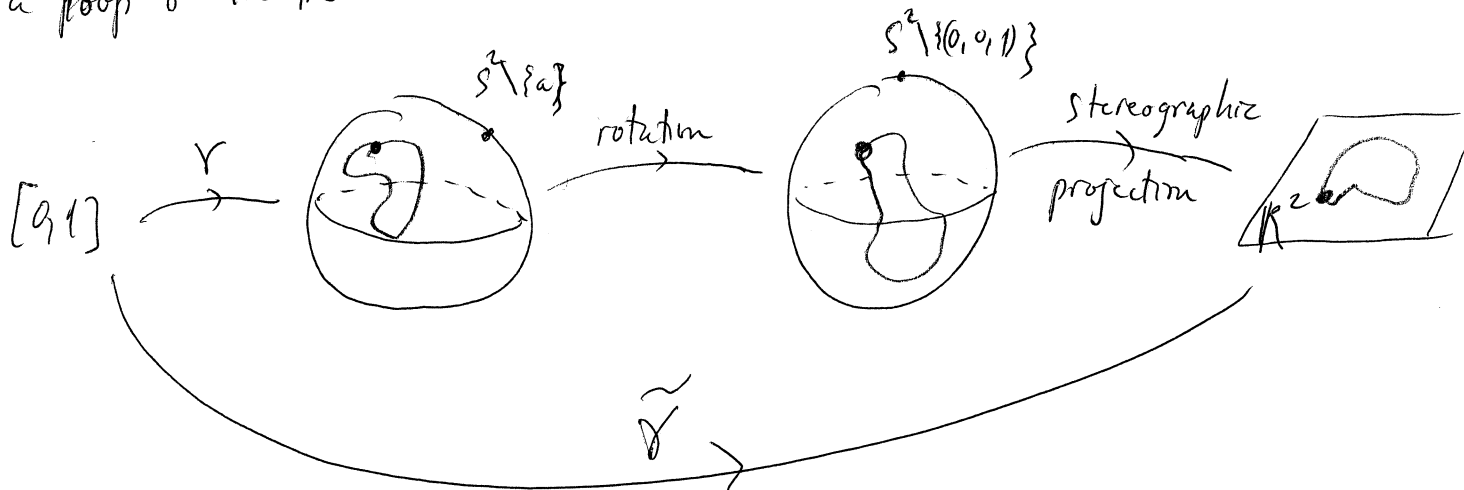
Otherwise, we take another 180° -rotation, or symmetry

$$A'' = \begin{pmatrix} 1 & & \\ & 1 & \\ & & -1 \end{pmatrix}$$

Therefore, $A''A'A$ is a rotation in S^2 ^{centered at 0} that maps a to $(0, 0, 1)$.

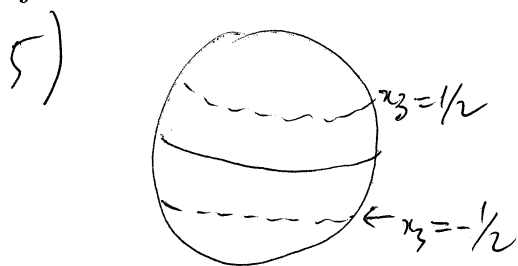
3) If $\gamma([0, 1]) \not\subset S^2$ then there exists $a \in S^2$ such that $\gamma([0, 1]) \subset S^2 \setminus \{a\}$.

Then there exists a homeomorphism $\phi: S^2 \setminus \{a\} \rightarrow \mathbb{R}^2$ that maps γ into a loop $\tilde{\gamma}$ in \mathbb{R}^2 .



Since $\tilde{\gamma} \underset{\substack{\uparrow \\ \text{path-homotopic}}}{\sim} \tilde{\gamma}(0)$, we get $\gamma \sim \gamma(0)$.

4) If $\gamma([0, 1]) = S^2$, i.e. γ is a sphere-filling curve, then all we need is another γ' in S^2 such that $\gamma' \sim \gamma$ and $\gamma'([0, 1]) \not\subset S^2$. The strategy is to divide $[0, 1]$ into smaller segments and deal with γ on each segment.



we define $U_1 = \{(x_1, x_2, x_3) \in S^2, x_3 > -1/2\}$

$U_2 = \{(x_1, x_2, x_3) \in S^2, x_3 < 1/2\}$

Then $\{U_1, U_2\}$ is an open cover of S^2

such that $U_1 \not\subseteq S^2$ and $U_2 \not\subseteq S^2$. Then $\{\gamma^{-1}(U_1), \gamma^{-1}(U_2)\}$ is an open cover of $[0,1]$. Since $[0,1]$ is compact, this cover has a Lebesgue number $\varepsilon = \frac{1}{N}$ for some $N \in \mathbb{N}$, i.e. for every subset A in $[0,1]$, if A has the diameter of at most $\frac{1}{N}$, then A is contained in either $\gamma^{-1}(U_1)$ or $\gamma^{-1}(U_2)$. We subdivide $[0,1]$ into N intervals of equal length. For each $k=0, \dots, N-1$,

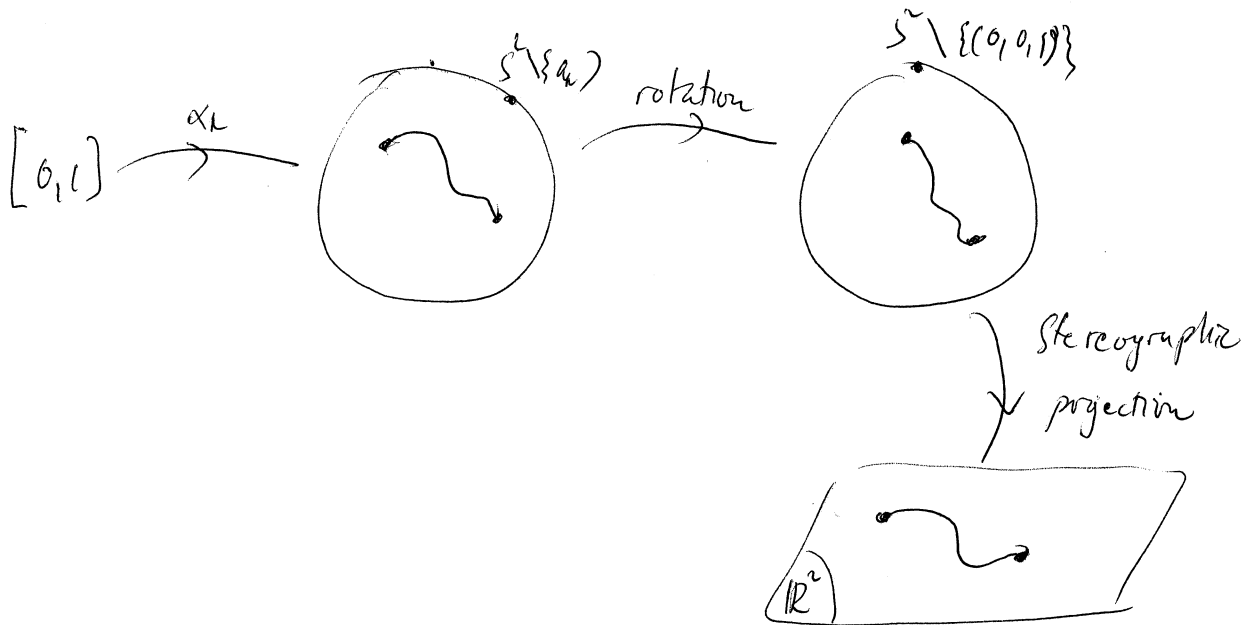
we define α_k as follow

$$[0,1] \xrightarrow{t \mapsto \frac{k}{N} + \frac{t}{N}} \left[\frac{k}{N}, \frac{k+1}{N} \right] \xrightarrow{\gamma} \gamma \left(\left[\frac{k}{N}, \frac{k+1}{N} \right] \right)$$

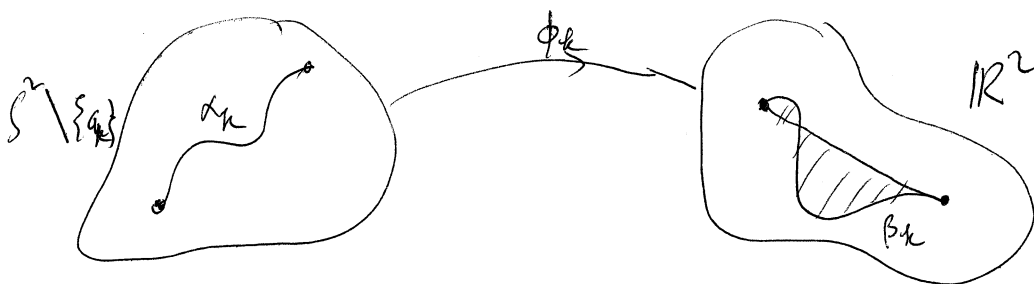
α_k

then $\alpha_k(0) = \gamma\left(\frac{k}{N}\right)$, $\alpha_k(1) = \gamma\left(\frac{k+1}{N}\right)$. As proved in Problem 3, Homework 4, $\gamma \sim \alpha_1 \cdot \alpha_2 \cdots \alpha_{N-1}$. Because γ is a sphere-filling curve, each α_k may be very "large", i.e. $\alpha_k([0,1])$ may contain a nonempty open subset of S^2 .

6) For each $k=0, \dots, N-1$, we try to replace α_k by a "smaller" path α'_k . Because $\alpha_k([0,1]) = \gamma\left(\left[\frac{k}{N}, \frac{k+1}{N}\right]\right)$, which is contained in either U_1 or U_2 , there exists $a_k \in S^2$ such that $\alpha_k([0,1]) \subset S^2 \setminus \{a_k\}$. Then there exists a homeomorphism $\phi_k: S^2 \setminus \{a_k\} \rightarrow \mathbb{R}^2$ which maps α_k to a path β_k in \mathbb{R}^2 .



β_k is homotopic to the line segment connecting $\beta_k(0)$ to $\beta_k(1)$ by the following homotopy $H_k : [0,1] \times [0,1] \rightarrow \mathbb{R}^2$

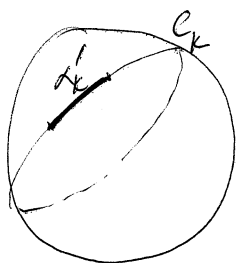
$$H_k(t,s) = (t\beta_k(1) + (1-t)\beta_k(0))s + (1-s)\beta_k(t)$$


Then α_k is homotopic to $\alpha'_k = \phi_k^{-1} \beta_k$. Because the inverse image of a line under the stereographic projection is a circle passing through $(0,0,1)$ on S^2 , and a circle passing through $(a,0,0)$ on S^2 become a circle passing through a^2 on S^2 . - draw backward... rotation, $\alpha_k([0,1])$ is contained in a circle C_k passing through point a in S^2 .

7) We have $\alpha_k \sim \alpha'_k \forall k=0,1,\dots,N-1$. Thus

$$\gamma = \alpha_1 \dots \alpha_{N-1} \sim \alpha'_1 \dots \alpha'_{N-1} = \gamma'$$

what we need is to show that $\gamma'(P, D) \not\subseteq S^2$.

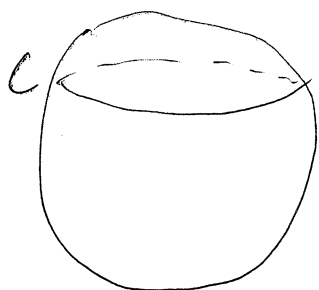


We have

$$\gamma'(P, D) = \bigcup_{k=0}^{N-1} \alpha'_k(P, D) = \bigcup_{k=0}^{N-1} C_k$$

We'll show that ~~a~~ the union of finitely many circles passing through a is not equal to S^2 . Since we already have a ~~rotation~~ rotation on S^2 that maps a to $(0, 0, 1)$, it suffices to show that the union of finitely many circles C_k passing through $(0, 0, 1)$ is not equal to S^2 . But

$$C = \{ (x_1, x_2, x_3) \in S^2 : x_3 = 1/2 \}$$



Since C does not pass through $(0, 0, 1)$, each C_k is none of C_k 's. Thus each C_k intersects C at at most two points. Thus $C \cap (\bigcup_{k=0}^{N-1} C_k)$ is finite.

Suppose by contradiction that $\bigcup_{k=0}^{N-1} C_k = S^2$, then

$$C = C \cap S^2 = C \cap \left(\bigcup_{k=0}^{N-1} C_k \right), \text{ which is finite. For each } n \in \mathbb{N}, n \geq 2,$$

we have $(\frac{1}{n}, \sqrt{\frac{3}{4} - \frac{1}{n^2}}, \frac{1}{2}) \in C$, thus C must be infinite.

This contradiction completes the proof.

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(5) A graph is a simplicial complex with only vertices and edges, i.e. where no faces have dimension higher than one. A tree is a graph, with at least one vertex, such that for any vertices $p \neq q$, there exists a unique sequence e_1, e_2, \dots, e_n of edges such that

- $e_i \neq e_j$ for $i \neq j$
- e_i and e_{j+1} always share a common vertex
- p is a vertex of e_1 , and
- q is a vertex of e_n .

Show that any tree gives rise to a space with trivial fundamental group.

Rough idea

We will examine the problem with simple case: finite set of vertices.

Let $V = \{v_1, \dots, v_n\}$ be the set of vertices and E be the set of edges of the simplicial complex. We consider the case V finite mainly because we do know that it has a geometric realization in \mathbb{R}^n , which is a very natural candidate for the topological space we are looking for. The following are steps to show that we can find a space that is contractible.

1) Let $u_i = (0, \dots, \underset{i}{1}, \dots, 0)$ be the standard basis of \mathbb{R}^n . Consider the map $v_i \in V \mapsto u_i \in \mathbb{R}^n$.

This map gives rise to the geometric realization of (V, E) in \mathbb{R}^k as follows

$$K = \bigcup_{\{v_i, v_j\} \in E} [v_i, v_j]$$

where $[v_i, v_j] = \{tv_i + (1-t)v_j : 0 \leq t \leq 1\}$.

2) Then K is path-connected. Here is how to show it:

- Each point $x \in K$ is contained in a segment $[v_i, v_j]$. It is path connected to v_i by $t \mapsto v_i t + (1-t)x$.

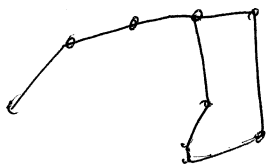
- Two points v_i and v_j is connected by a path connecting v_i and v_j . Each edge in this path in E share a common vertex, thus this path gives rise to a path from v_i to v_j in K .

3) We show by induction with respect to n that there is a homotopy $H_n: K \times [0, 1] \rightarrow K$ between id_K and the constant map $x \mapsto v_i$ (i is specified, but arbitrary).

- The base case is $n=1$

- For $n > 1$, in V there exists one vertex that appears in only one edge. Why? Starting from an arbitrary vertex in V , we go to another vertex and keep going and avoid ~~meeting an already-visited vertex~~ ^{going on an odd edge} meeting an already-visited vertex. Since there are only finitely many vertices, the process must stop. And it

stops because of either one of two reasons: ~~there is no new edge to follow,~~
~~or a new edge that~~ there is no new vertex to come. The vertex v
 that is the terminal vertex either has no adjacent vertices or there is an edge
 connecting v to an odd edge. The second possibility couldn't happen because
 it will give a cycle in (V, E) .



Thus v appears in only one edge. We can
 assume $v = v_n$ and the edge containing v is

$$e = \{v_{n-1}, v_n\}.$$

Put $V' = V \setminus \{v_n\}$ and $E' = E \setminus \{e\}$, $K' = K \setminus (v_{n-1}, v_n]$. Then (V', E')
 is a graph of $n-1$ vertices still satisfying the problem's axioms and K'
 is a geometric realization of (V', E') in \mathbb{R}^{n-1} (since we omitted v_n). Then
 by induction, there exists a homotopy $H_{n-1}: K' \times [0, 1] \rightarrow K'$ between
 $\text{id}_{K'}$ and $x \in K' \mapsto v_{n-1}$. We define $H_n: K \times [0, 1] \rightarrow K$ as follow

$$\begin{cases} H_n(x, t) = H_{n-1}(x, t) & \forall x \in K' \\ H_n(x, t) = (1-t)x + tv_{n-1} & \forall x \in [v_{n-1}, v_n] \end{cases}$$

Since the two formulas agree at v_{n-1} , H_n is continuous.

What about infinite trees?

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