

CS 261: Data Structures

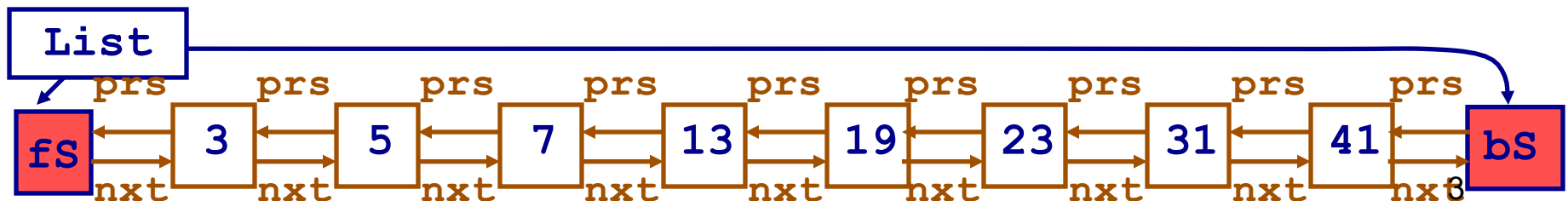
Skip Lists

Complexity – Lists and Arrays

OPERATIONS	ORDINARY LISTS AND ARRAYS	SORTED ARRAYS	SORTED LISTS
Add	$O(1)$	$O(n)$	$O(n)$
Remove	$O(n)$	$O(n)$	$O(n)$
Contains	$O(n)$	$O(\log n)$	$O(n)$

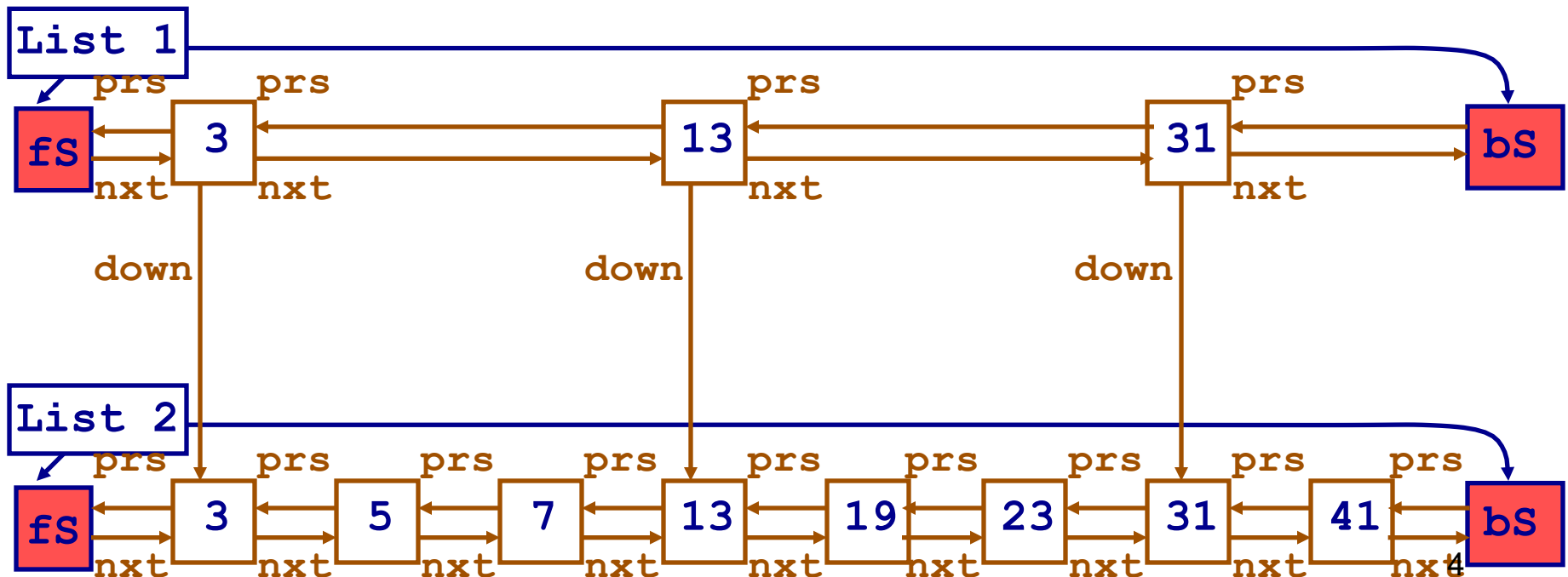
Sorted Linked Lists

- How to improve complexity of a sorted linked list?
- We could use two sorted linked lists, with pointers between them



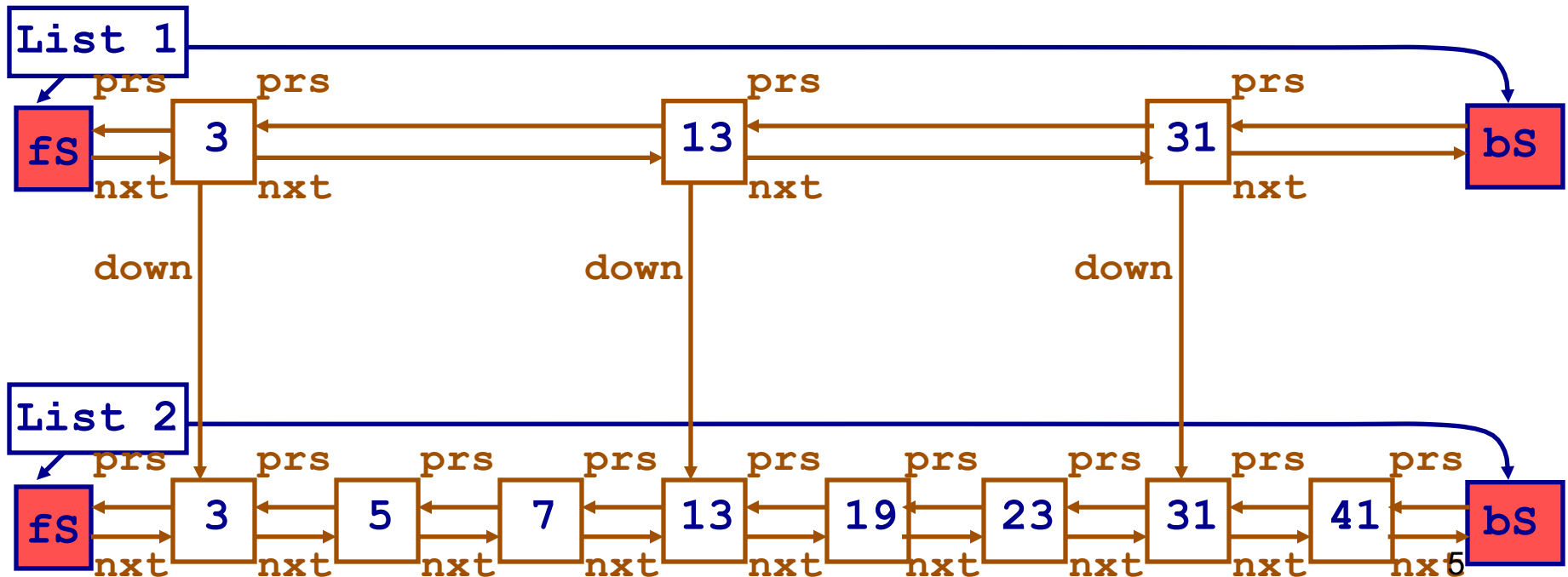
Two Sorted Linked Lists

- Constructed from the same elements
- Establish pointers between equal links



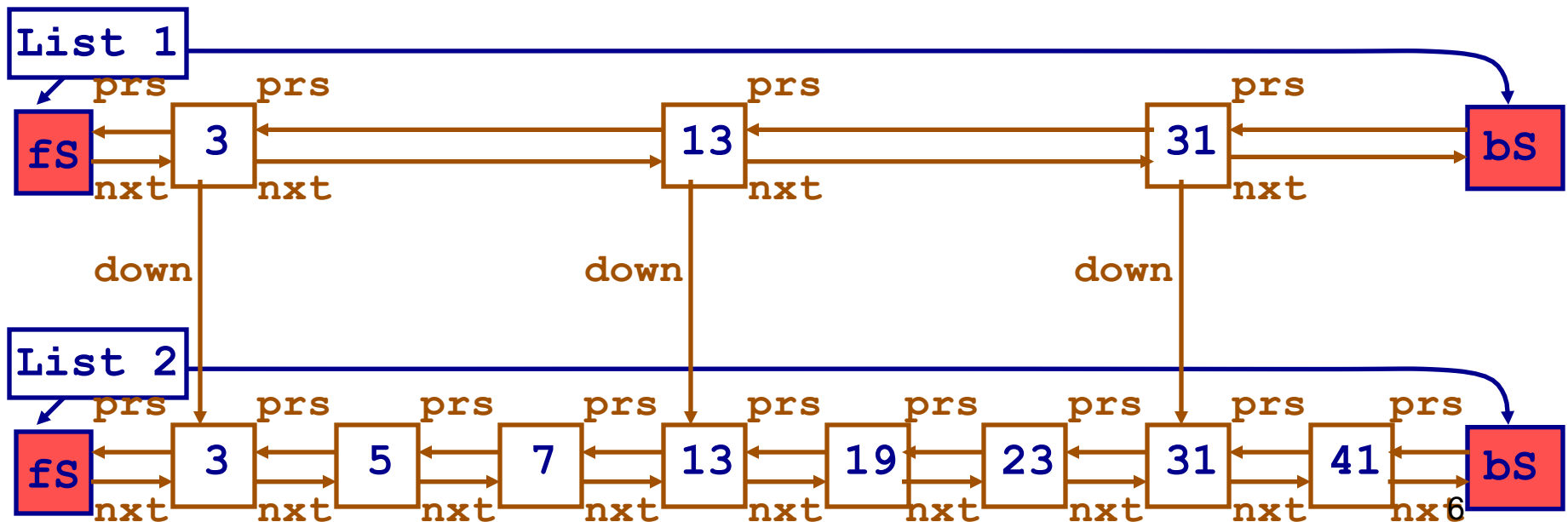
Motivation

- Regular Trains vs. Express Trains



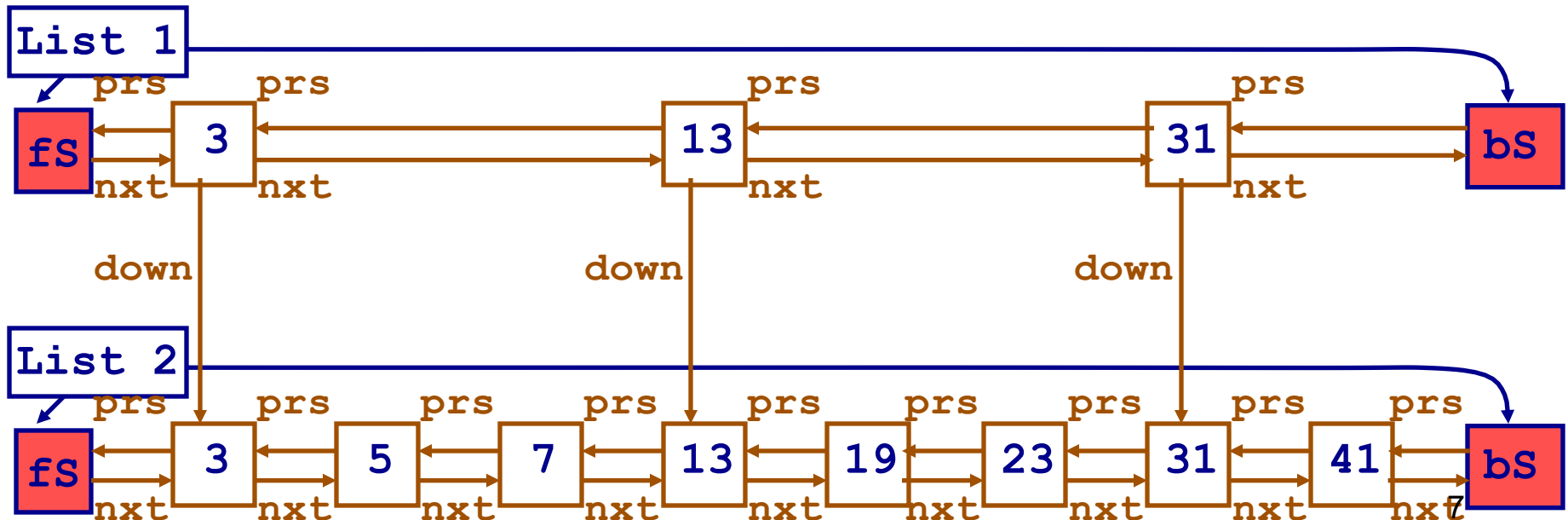
Two Sorted Linked Lists

- List 2: stores all elements
- List 1: stores only a subset of elements



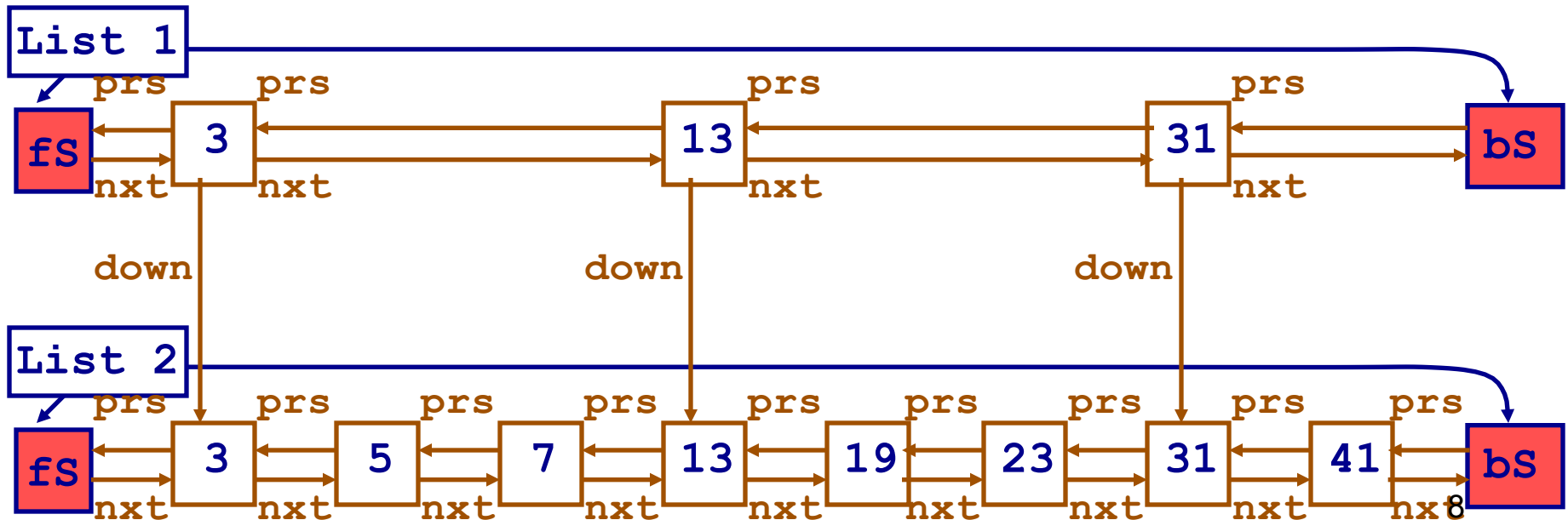
How to Search for an Element?

- We start from the 1st element of List 1
- Stay on the "express line" as long as you can
- Then, take the "local line"



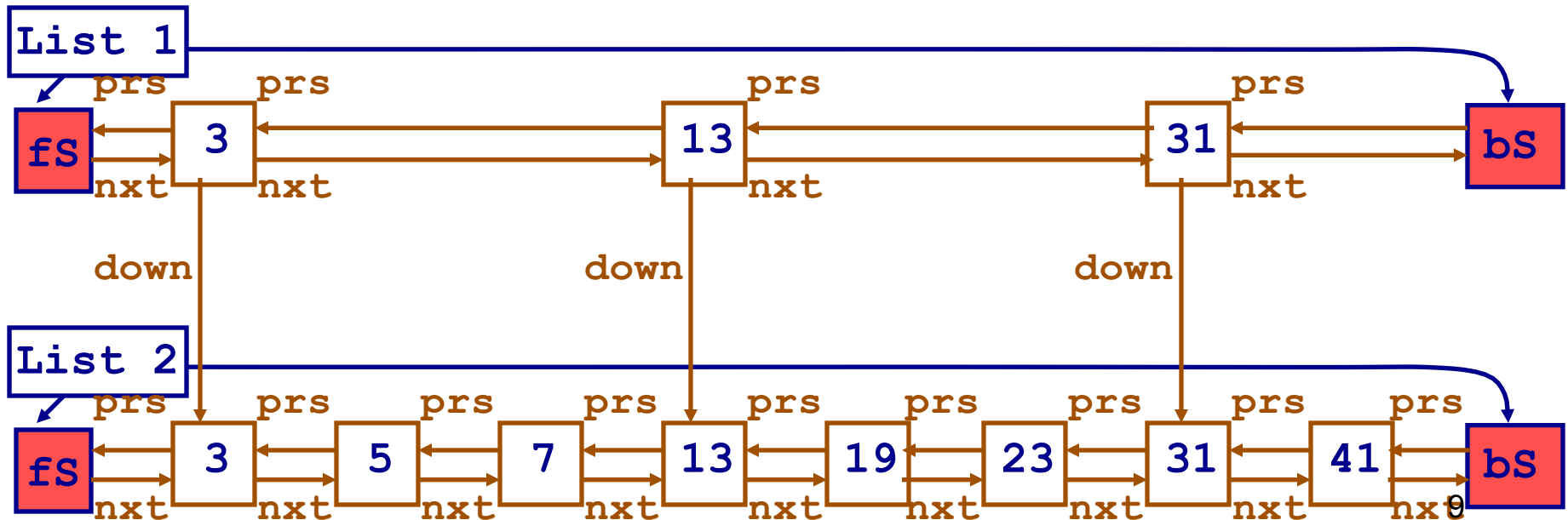
How to Choose Elements for List 1?

- Goal: Maximize fast access to all elements
- List 1 picks uniformly a subset of elements
(e.g. every 2nd, or 3rd, or 4th ... element)

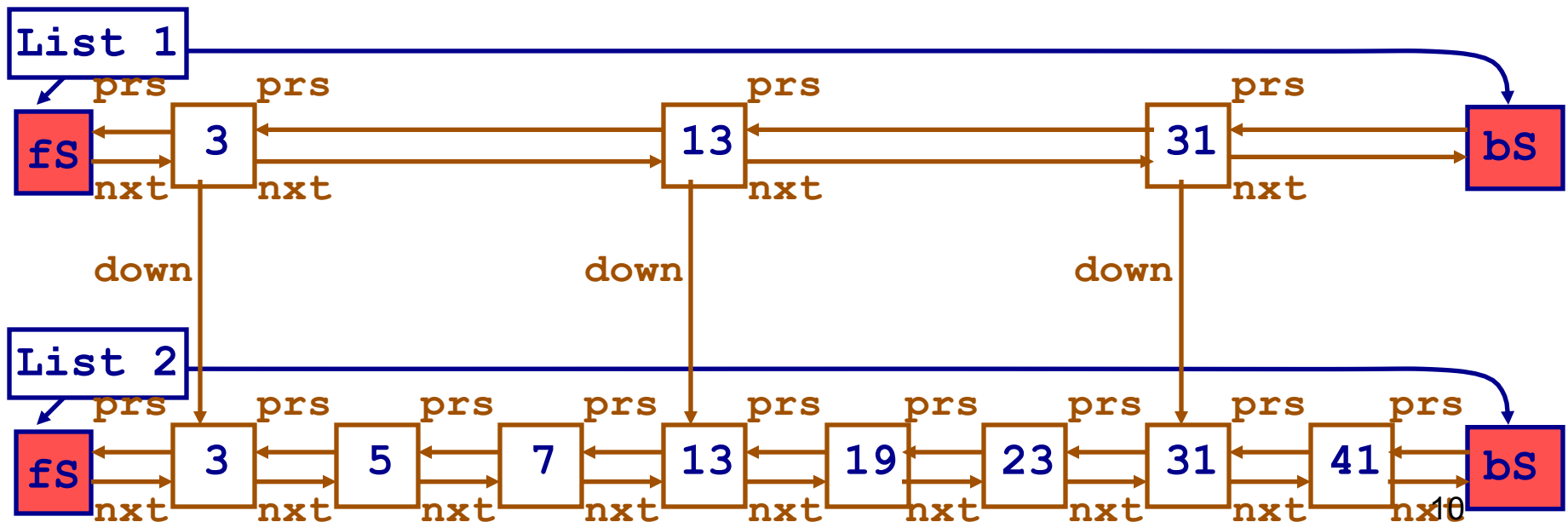


How to Choose Elements for List 1?

- But how exactly to uniformly sample elements for List 1?

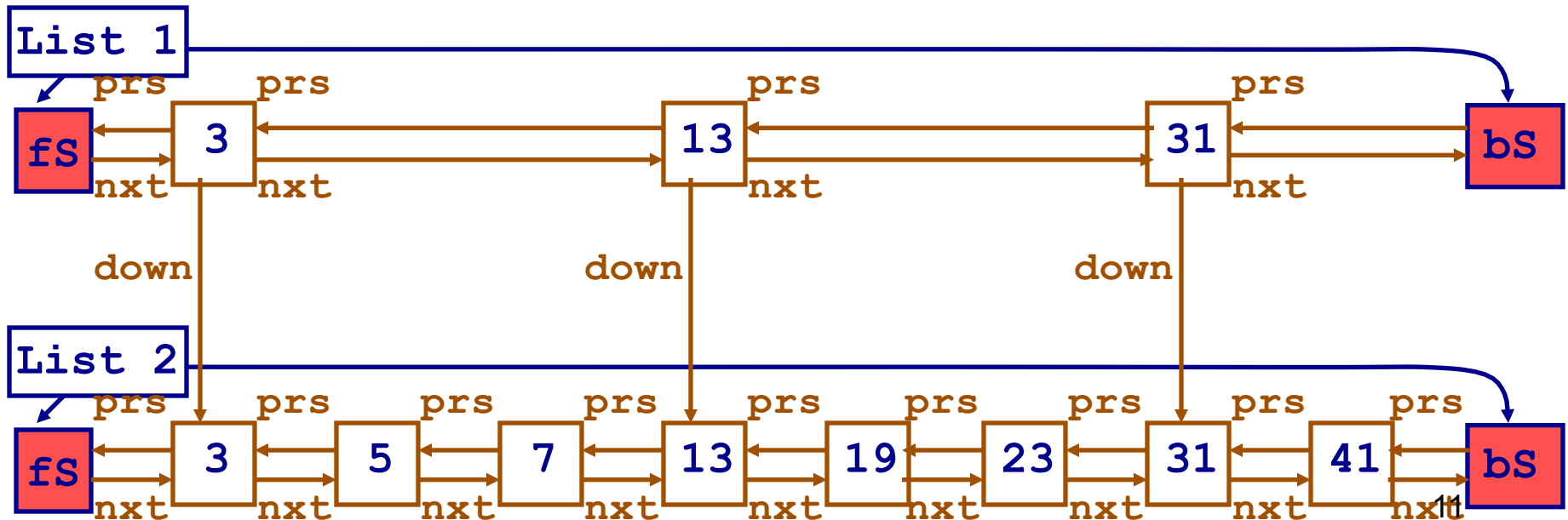


The Goal: Minimize Complexity of Search



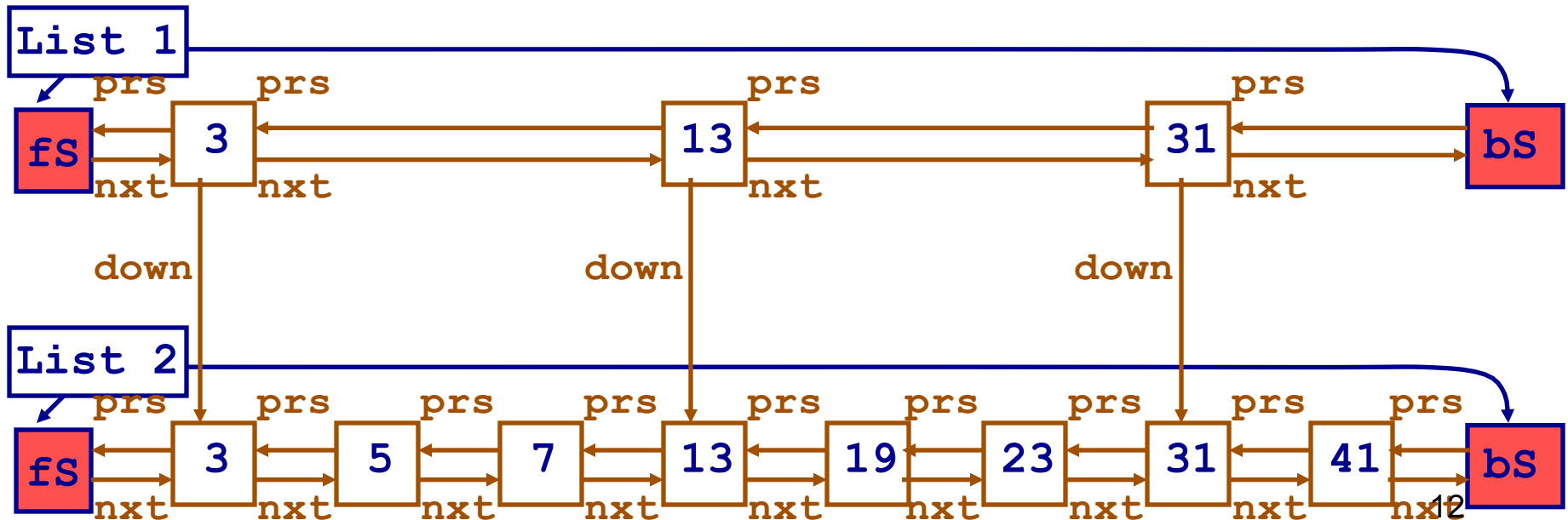
What is Complexity of Search?

num. of elements in List 1 \longrightarrow $|L_1| + \frac{|L_2|}{|L_1|}$ \longleftarrow num. of elements in a segment of List 2



What is Complexity of Search?

$$x = |L_1| + \frac{|L_2|}{|L_1|} = n \text{ input} = x$$



What is Complexity of Search?

minimize $x + \frac{n}{x}$

What is Complexity of Search?

minimum
of the function

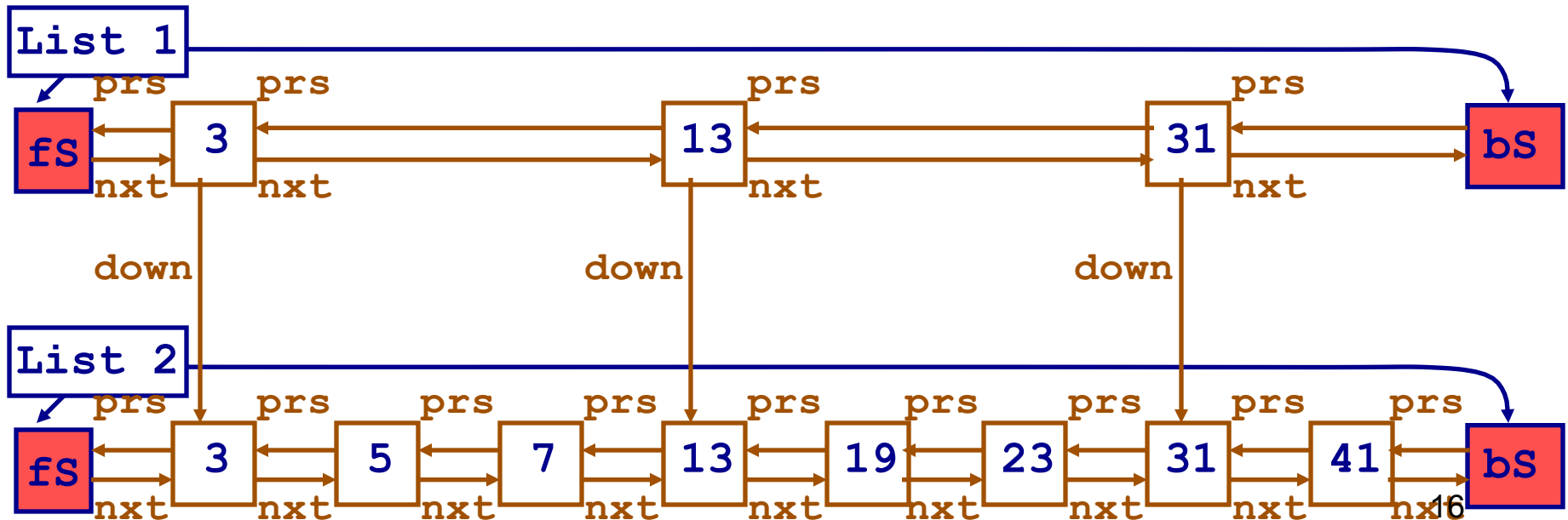
$$\frac{d \left(x + \frac{n}{x} \right)}{dx} = 0$$

What is Complexity of Search?

$$\frac{d \left(x + \frac{n}{x} \right)}{dx} = 1 - \frac{n}{x^2} = 0 \quad \Rightarrow \quad x = \sqrt{n}$$

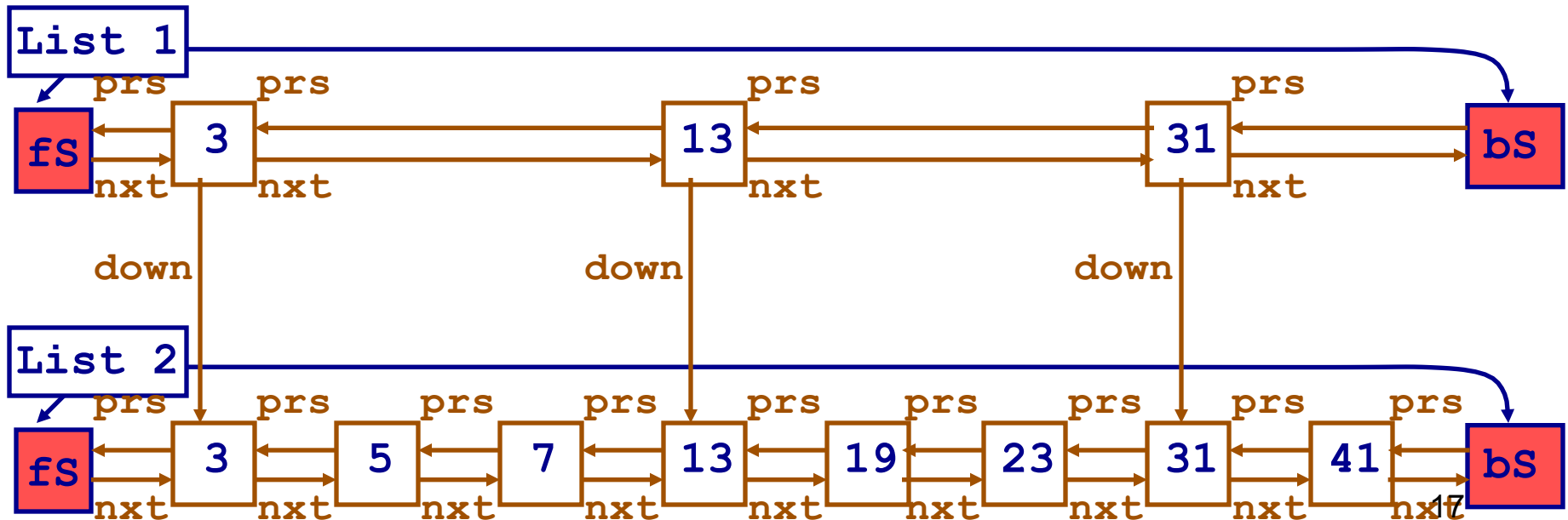
What is Complexity of Search?

$$\sqrt{n} = |L_1| + \frac{|L_2|}{|L_1|} = n = \sqrt{n}$$



What is Complexity of Search?

$$2\sqrt{n}$$

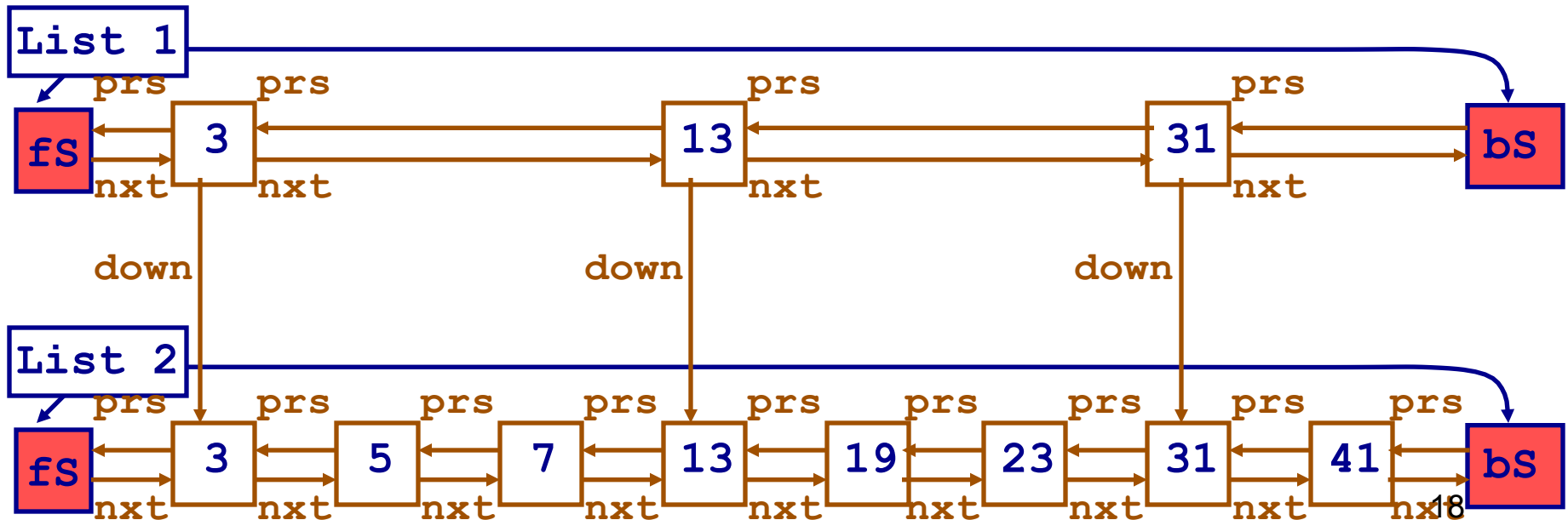


What is Complexity of Search?

two
sorted
lists

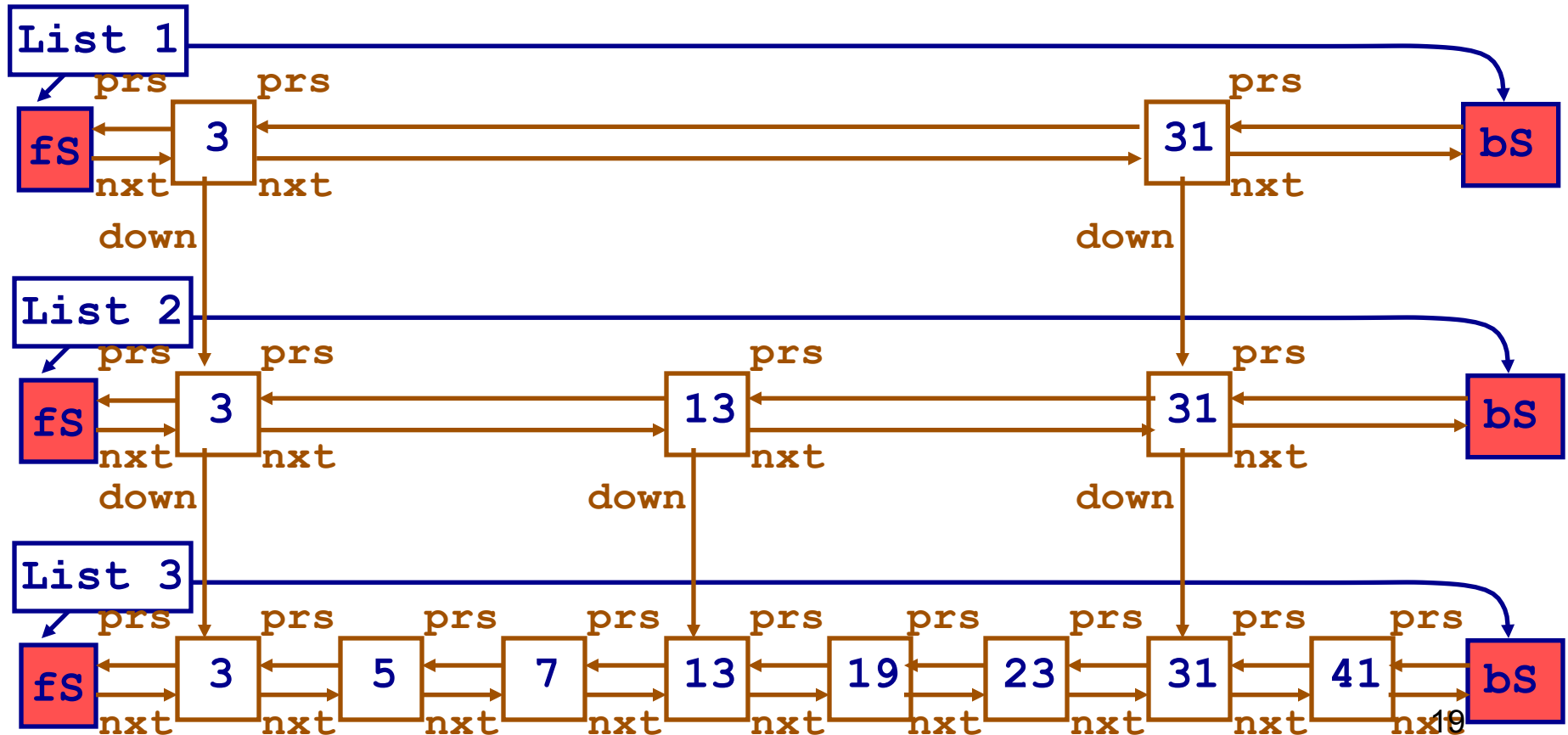
$$O(\sqrt{n}) < O(n)$$

one
sorted
list



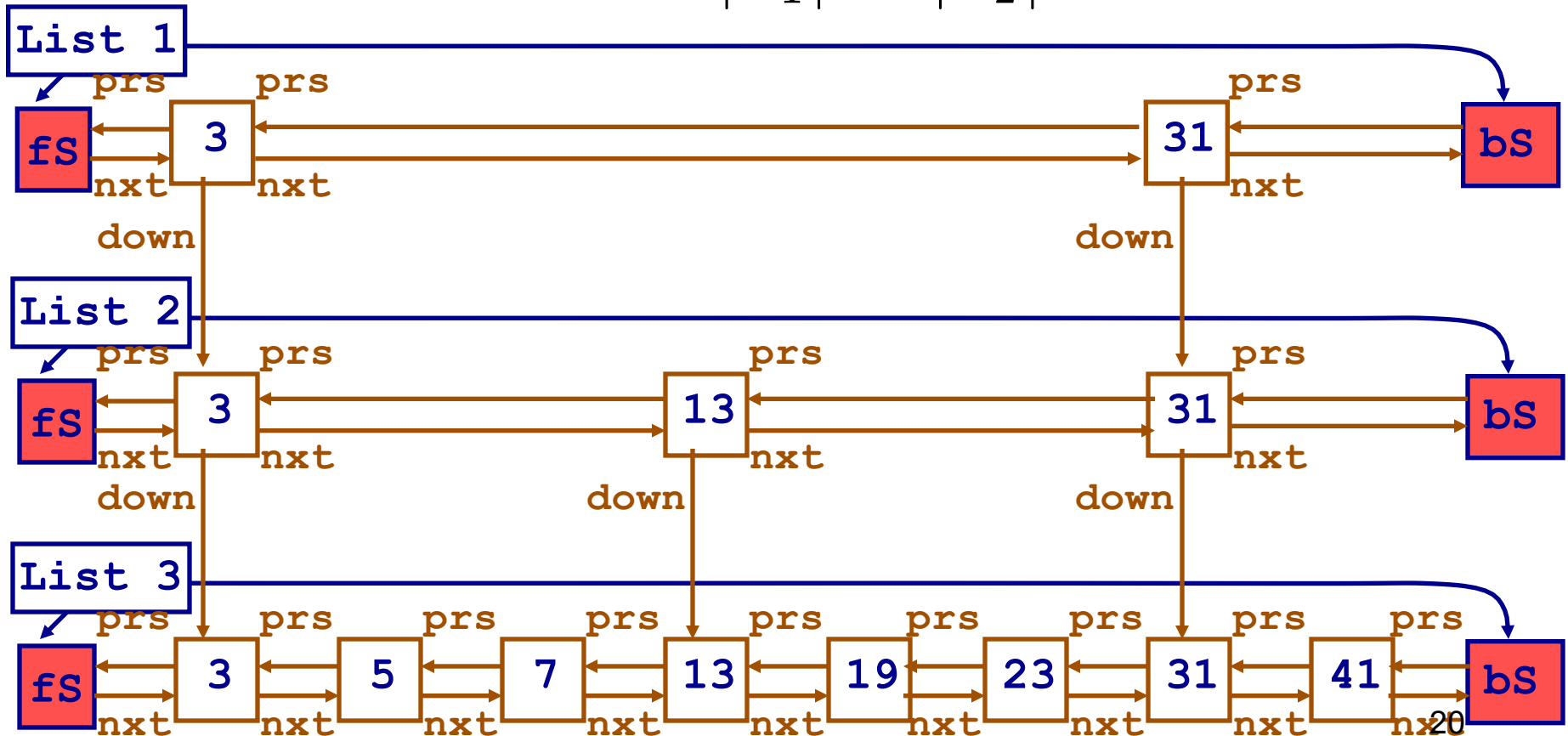
How Many Lists Should We Form?

If 2 lists have lower complexity, maybe 3 lists will have even lower complexity



What is Complexity for 3 Lists?

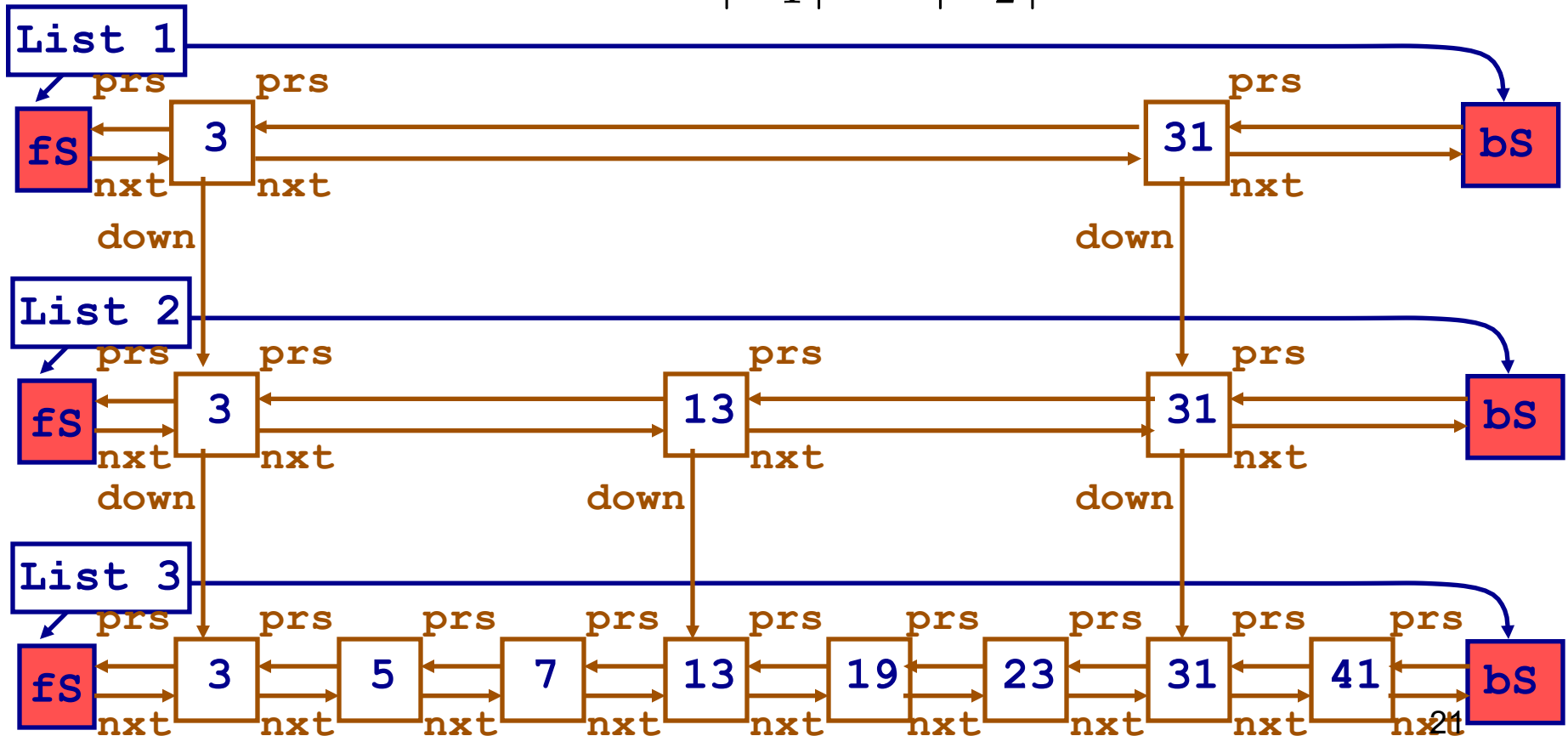
$$|L_1| + \frac{|L_2|}{|L_1|} + \frac{|L_3|}{|L_2|}$$



What is Complexity for 3 Lists?

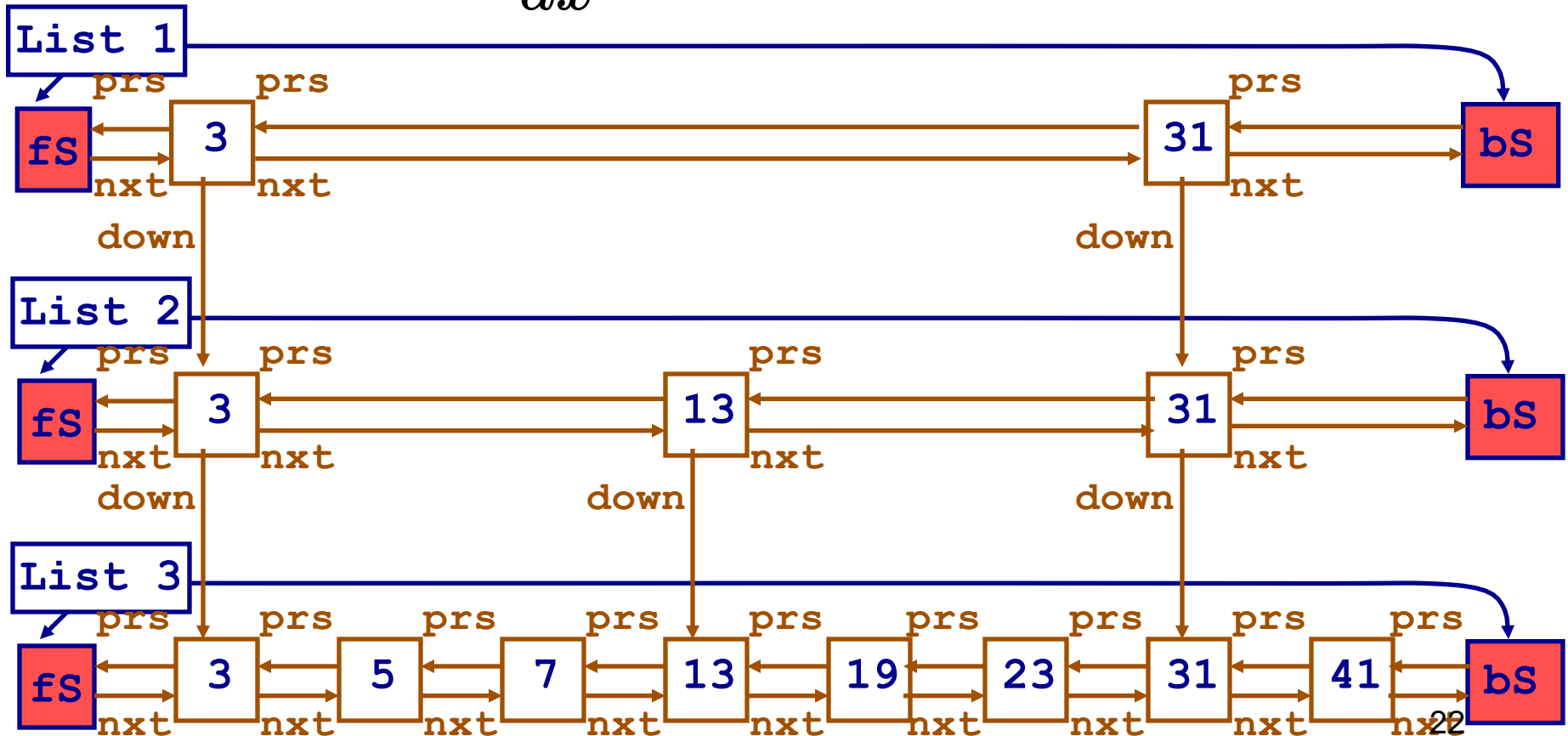
$$x = |L_1| + \frac{|L_2|}{|L_1|} + \frac{|L_3|}{|L_2|} = n \text{ input}$$

$$= x^2$$



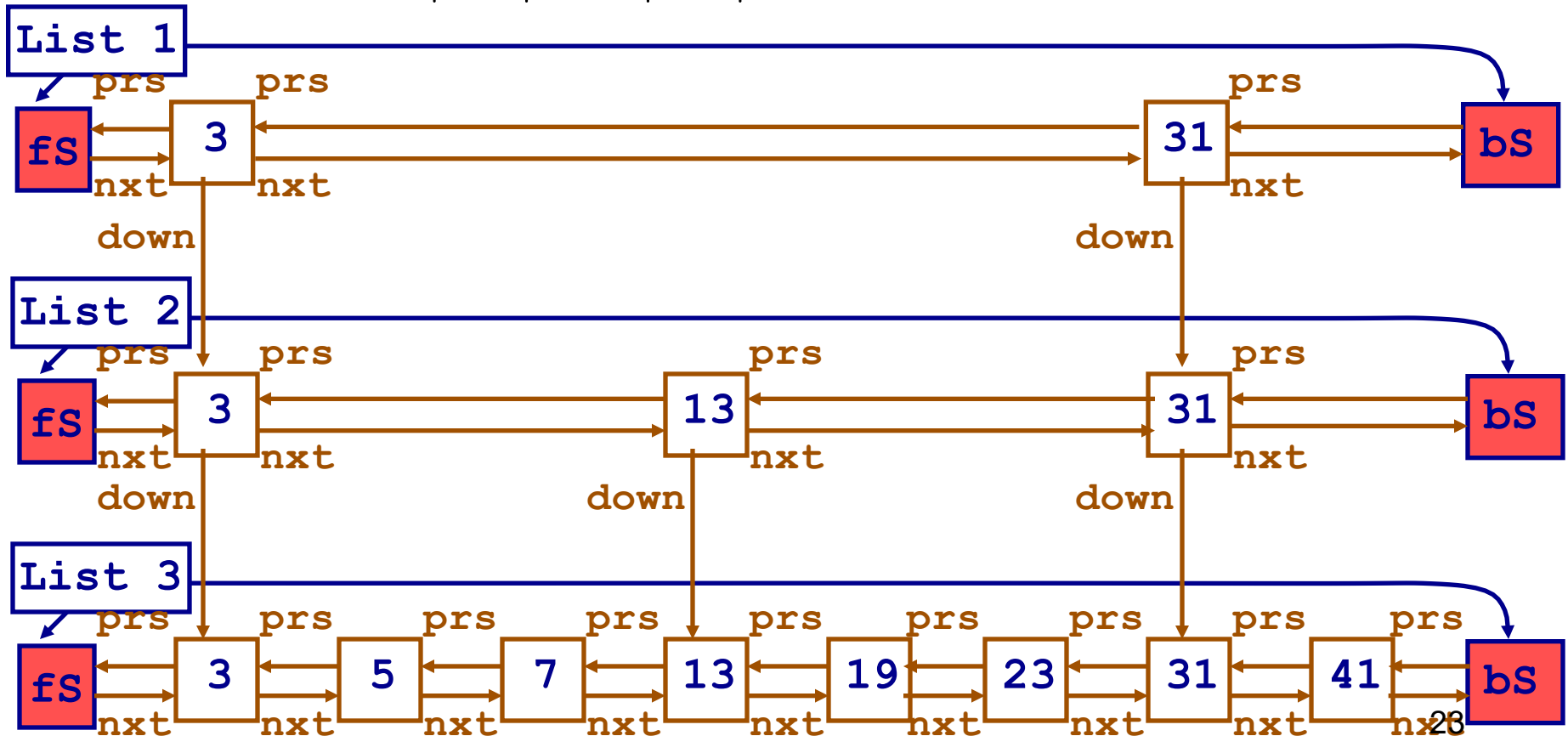
What is Complexity for 3 Lists?

$$\frac{d(x + x + n/x^2)}{dx} = 0 \Rightarrow x = \sqrt[3]{n}$$



What is Complexity for 3 Lists?

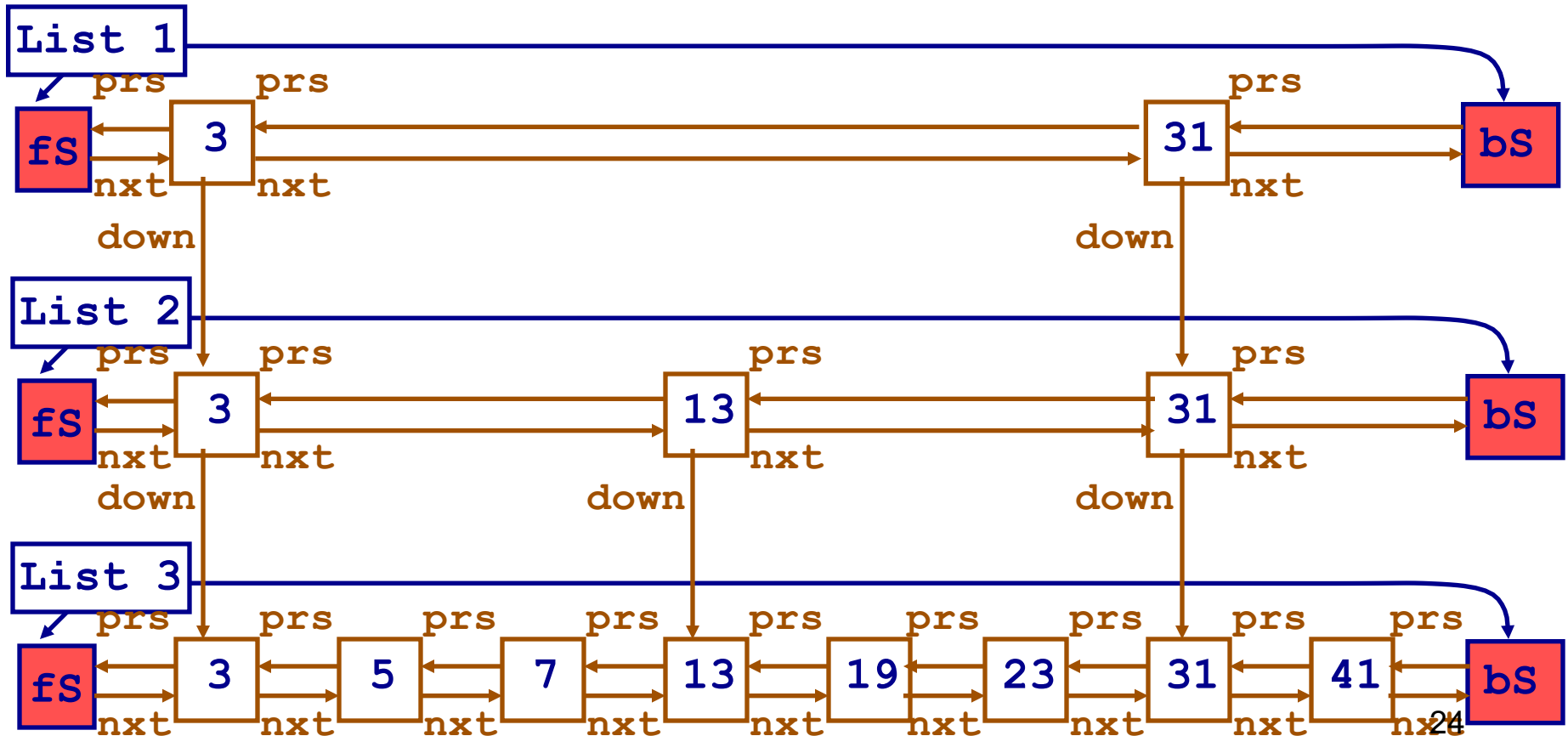
$$|L_1| + \frac{|L_2|}{|L_1|} + \frac{|L_3|}{|L_2|} = 3 \sqrt[3]{n}$$



What is Complexity for 3 Lists?

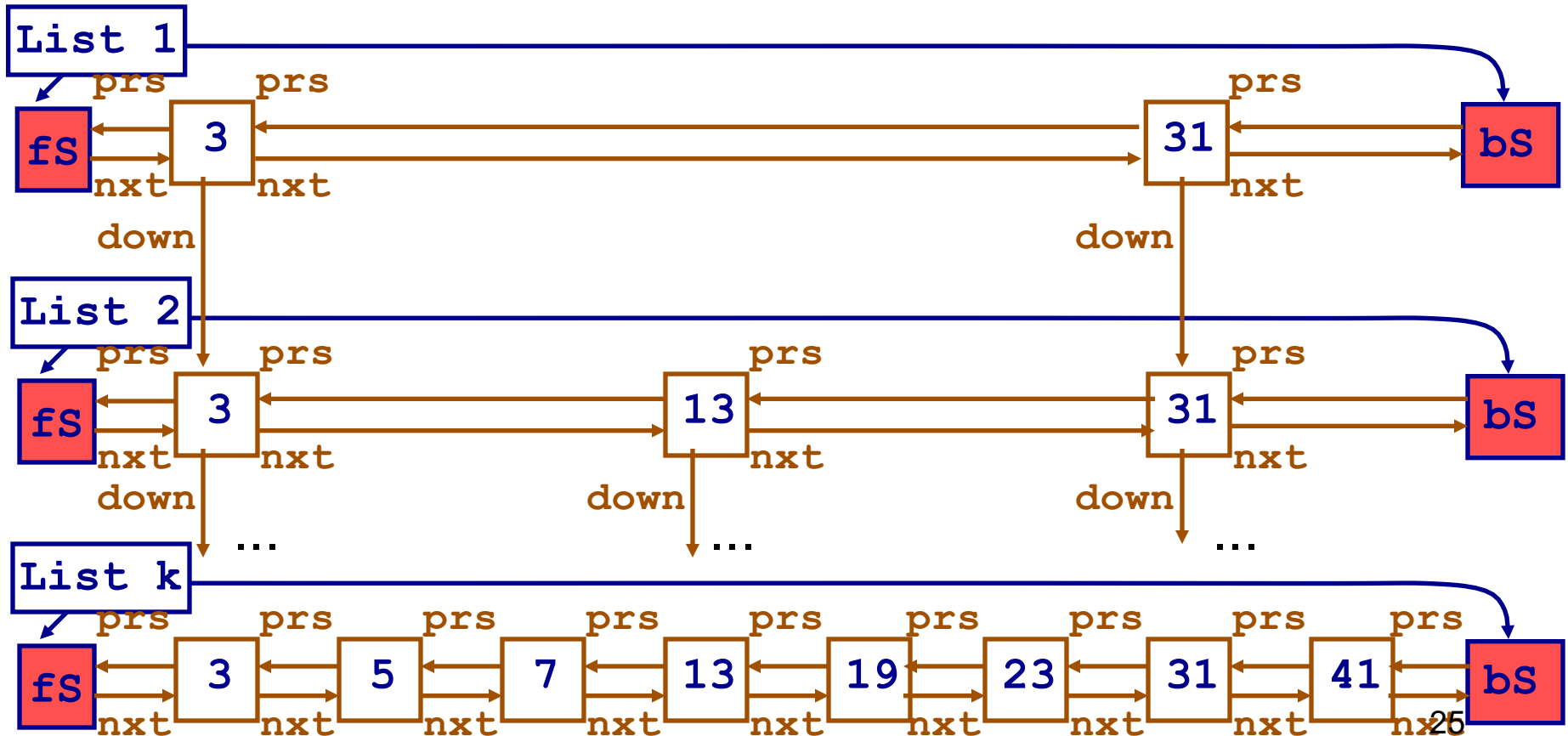
3 lists 2 lists single list

$$O(\sqrt[3]{n}) < O(\sqrt{n}) < O(n)$$



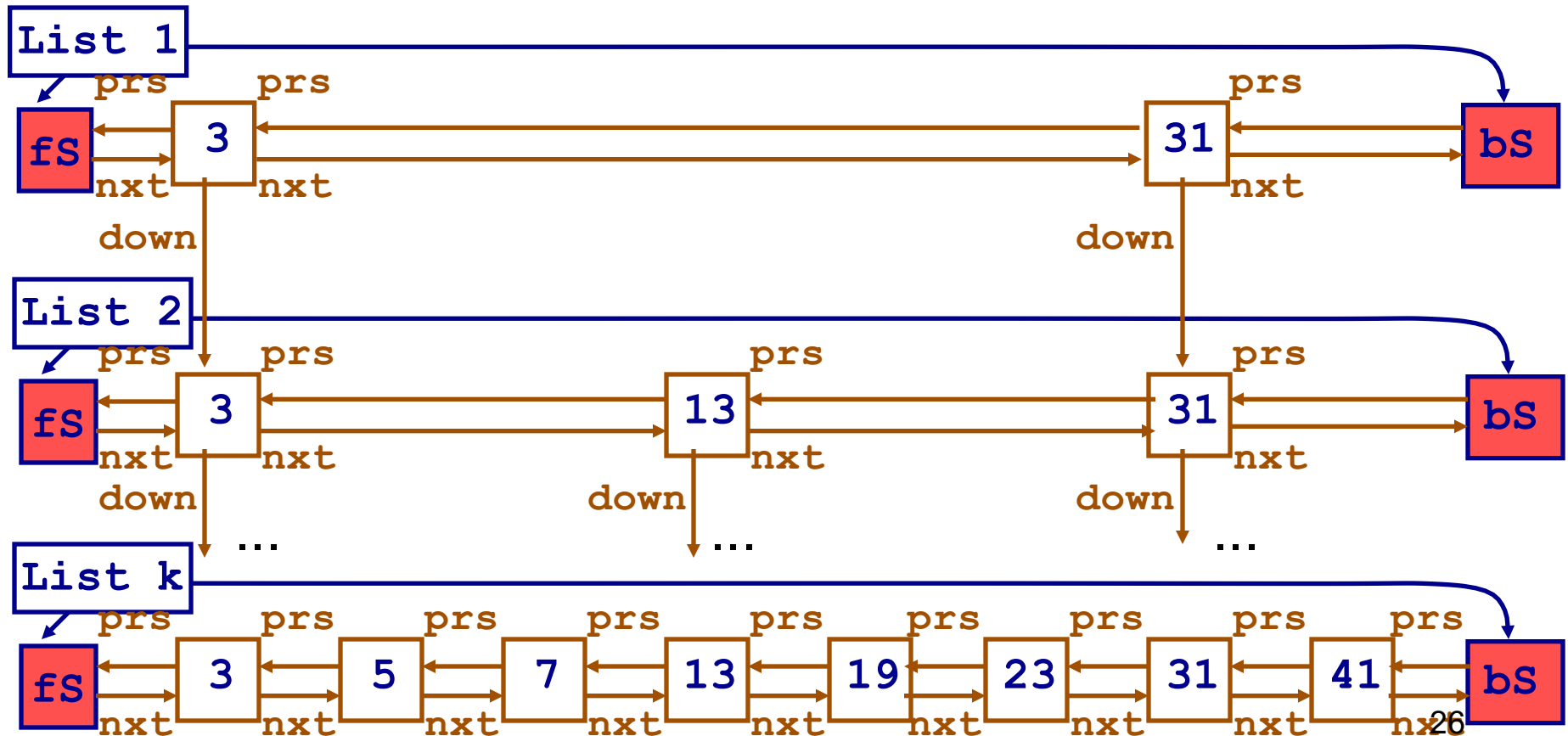
What is Complexity for k Lists?

for k linked lists: $k \sqrt[k]{n}$



How Many Lists?

minimize $k \sqrt[k]{n}$



How Many Lists?

$$\text{minimize}_k k n^{\frac{1}{k}}$$

||

$$\text{minimize}_k \log \left(k n^{\frac{1}{k}} \right)$$

How Many Lists?

$$\text{minimize}_k \quad k n^{\frac{1}{k}}$$

||

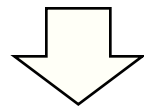
$$\text{minimize}_k \quad \left(\log k + \frac{1}{k} \log n \right)$$

How Many Lists?

$$\frac{d \left(\log k + \frac{1}{k} \log n \right)}{dk} = 0$$

||

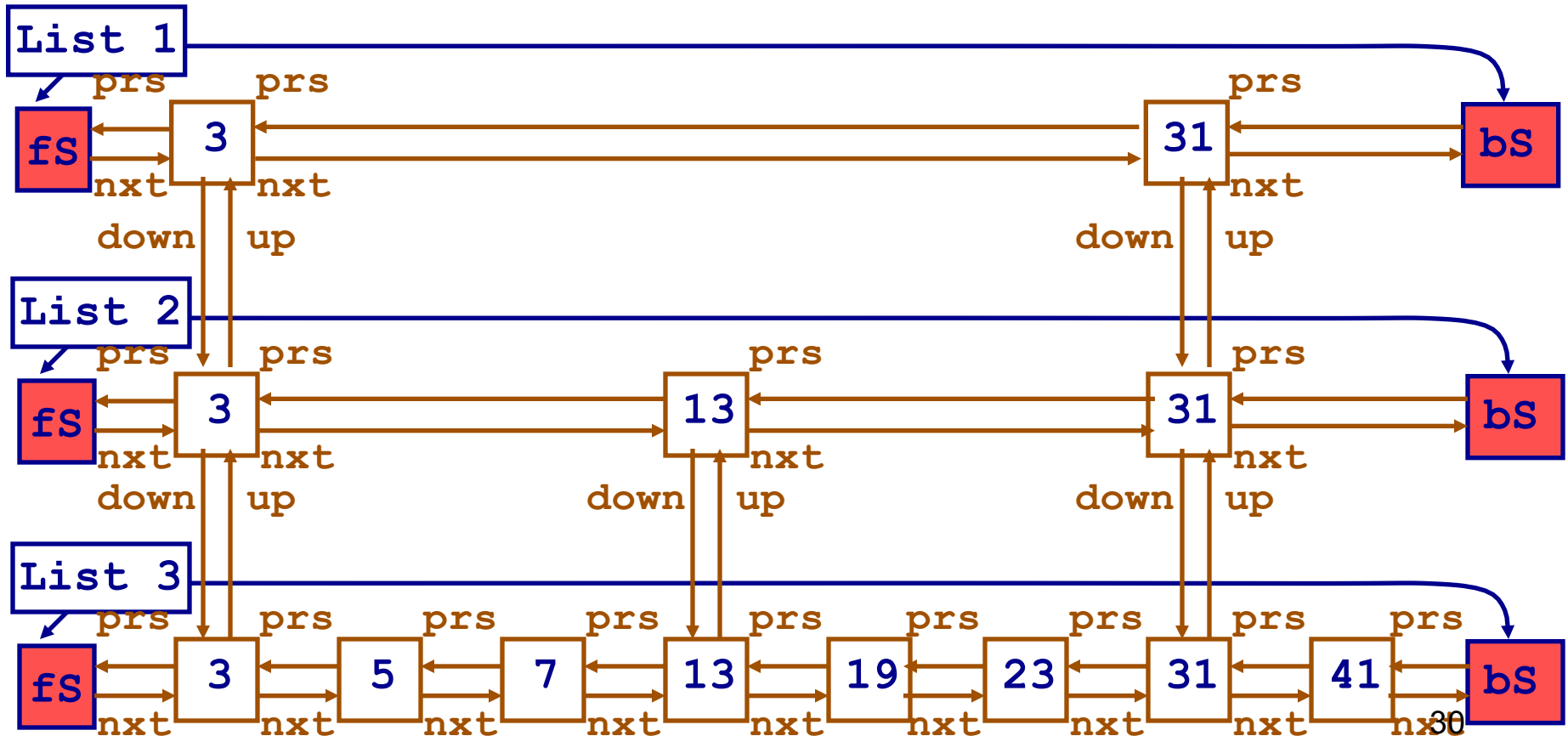
$$\frac{1}{k} - \frac{1}{k^2} \log n = 0$$



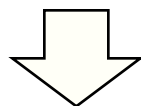
$$k = \log n$$

Complexity for $k=\log n$ Lists?

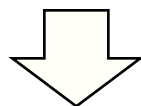
$$k n^{\frac{1}{k}} = (\log n) n^{\frac{1}{\log n}}$$



$$n = 2^{\log n}$$



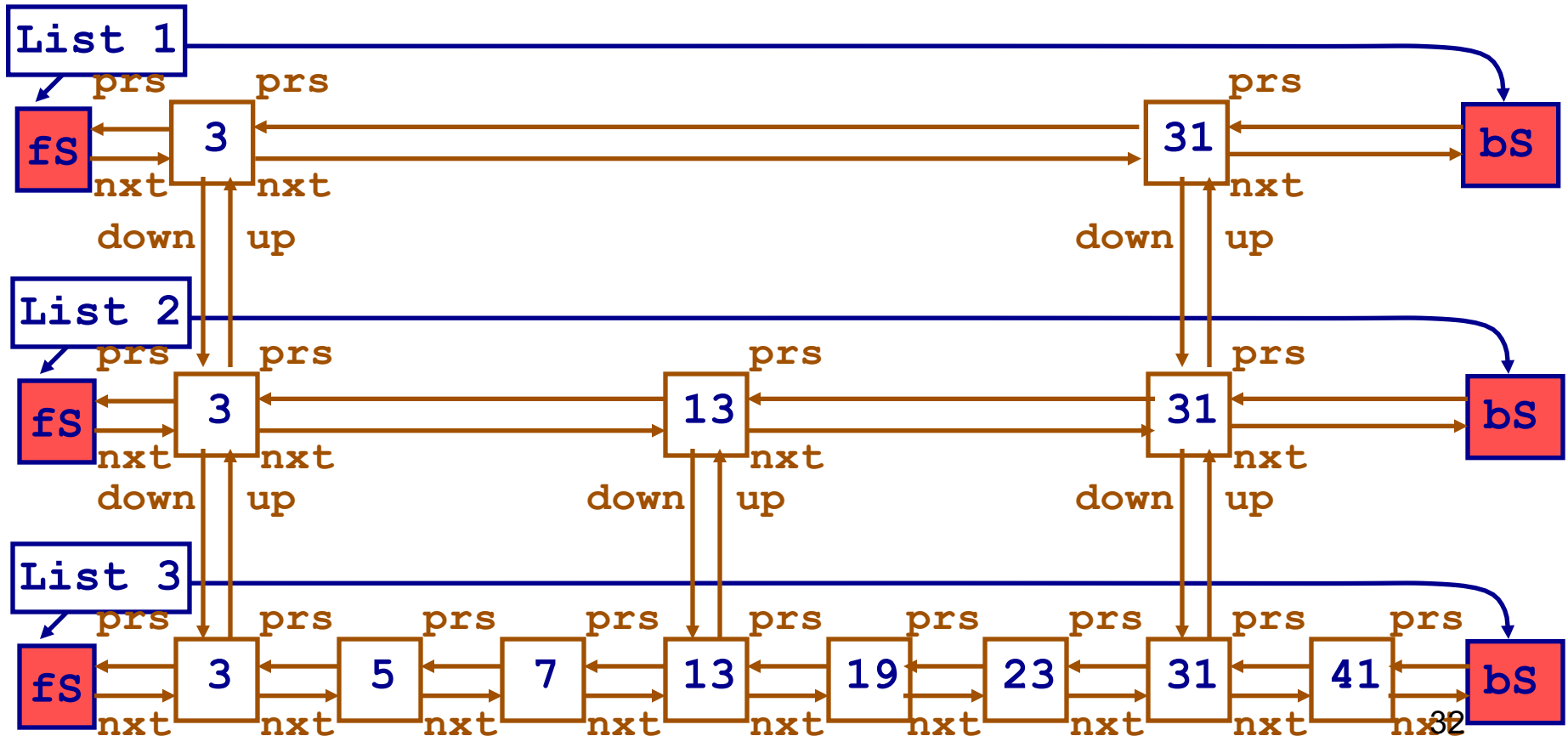
$$n^{\frac{1}{\log n}} = \left(2^{\log n}\right)^{\frac{1}{\log n}} = 2$$



$$(\log n) n^{\frac{1}{\log n}} = 2 \log n$$

Complexity for log n Lists

$$O(\log n)$$



Skip Lists – Have It All

Pugh 1989

- Fast addition $O(\log n)$
- Fast search $O(\log n)$
- Fast removal $O(\log n)$

- Disadvantage: - *Slightly* more complicated

Contains Skip List

- Makes a zig-zag motion top-to-bottom
- Complexity: $O(\log n)$, i.e., proportional to the number of linked lists

Contains Skip List

1. Start at topmost sentinel
2. Loop as follows
 1. Slide right, get a link right before
 2. If next element is OK, return true
 3. If no down element, return false
 4. Move down

Remove Skip List

- Makes a zig-zag motion top-to-bottom
- Only decrement the size at the bottom level
- Complexity: $O(\log n)$, i.e., proportional to the number of linked lists

Remove Skip List

1. Start at topmost sentinel
2. Loop as follows
 1. Slide right, get a link right before
 2. If next element is OK, remove it
 3. If no down element, reduce size
 4. Move down

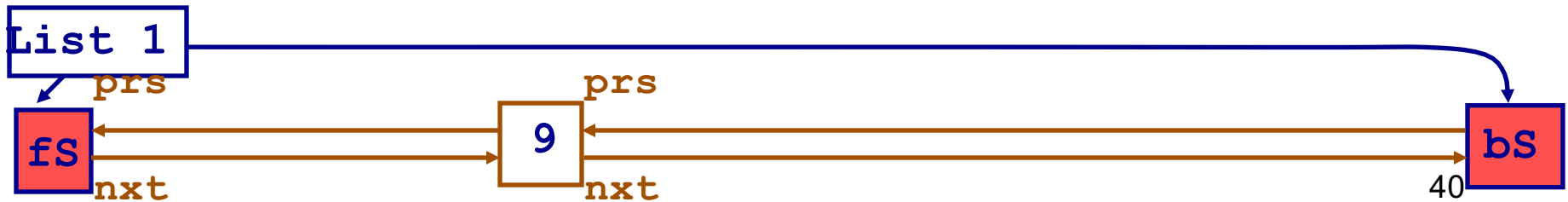
How to construct a skip list
when we do not know
the number of elements
in advance?

Add Skip List

- Add the element to the bottom list
 - must increment size
- To move up to existing lists:
 - Flip a coin, and if heads add the element up
- To add a new list at the top
 - Flip a coin, and if heads make a new top list

Add Example

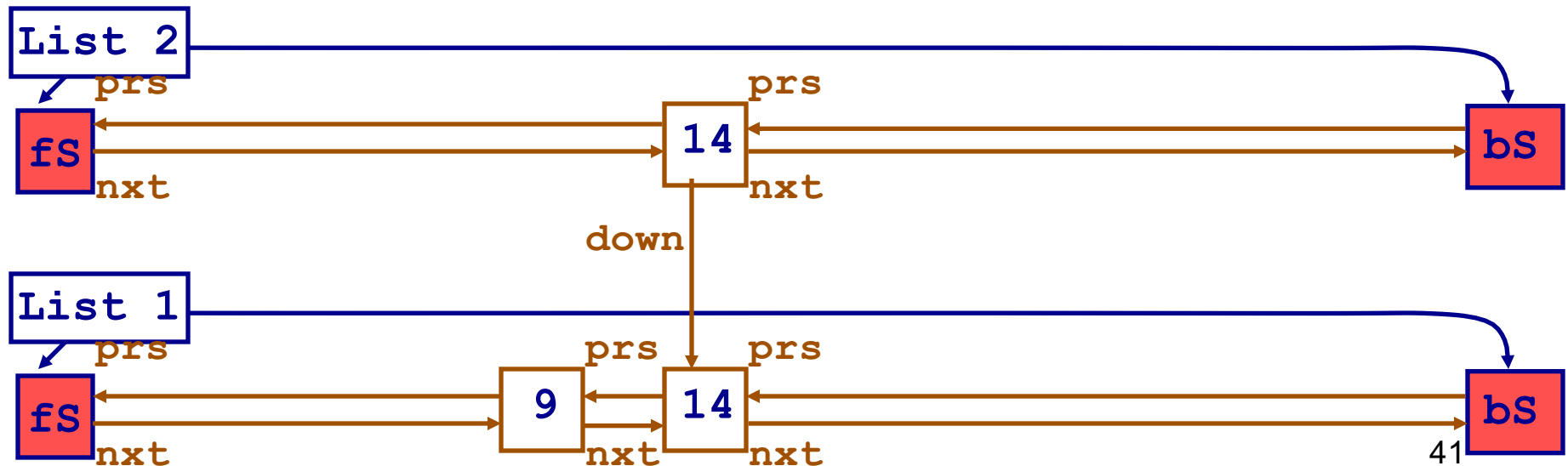
Insert the following: 9 14 7 3 20



Add Example:

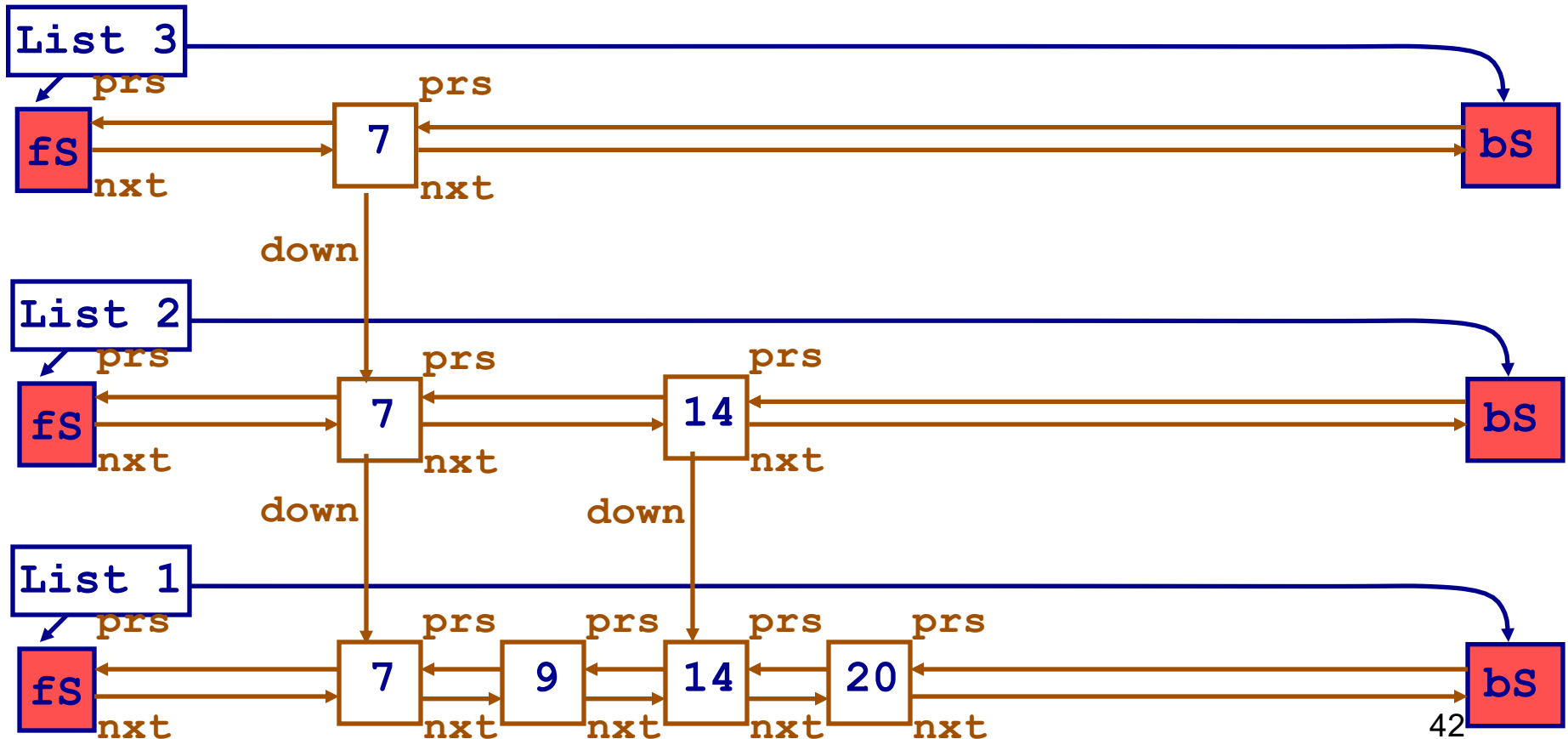
Inserted : 9 14

Coin toss: T HT (H = move up)



Add Example:

Inserted : 9 14 7 20
Coin toss: T HT HHH T



Complexity of Add

- Proportional to the height, not to the number of nodes in the list
- $O(\log n)$

Skip List Sorting Algorithm

Problem: Sort an array A

Step 1. Copy elements from A into a skip list

Step 2. Copy elements from the skip list to A

Skip List Sorting Algorithm

Complexity:

Step 1. Copy elements from A into a skip list

$O(??)$

Step 2. Copy elements from the skip list to A

$O(??)$